

# Adaptive recurrent-functional-link-network control for hypersonic vehicles with atmospheric disturbances

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**Abstract** The controller design for a near-space hypersonic vehicle (NHV) is challenging due to its plant uncertainties and sensitivity to atmospheric disturbances such as gusts and turbulence. This paper first derives 12 states equations of NHVs subjected to variable wind field, and presents a novel recurrent neural network (RNN) control method for restraining atmospheric disturbances. The method devises a new B-spline recurrent functional link network (BRFLN) and combines it with the nonlinear generalized predictive control (NGPC) algorithm. Moreover, the proportional-derivative (PD) correction BRFLN is proposed to approximate atmospheric disturbances in flight. The weights of BRFLN are online tuned by the adaptive law based on Lyapunov stability theorem. Finally, simulation results show a satisfactory performance for the attitude tracking of the NHV in the mesosphere, and also illustrate the controller's robustness to wind turbulence.

**Keywords** near-space hypersonic vehicle, atmospheric disturbances, nonlinear predictive control, adaptive control, B-spline recurrent functional link network

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## 1 Introduction

There are several critical problems facing the controller design of near-space hypersonic vehicles (NHVs). Two of them make precise attitude control of NHVs very challenging. One is the high nonlinearity of system dynamics and the strong coupling between the airframe, propulsion and control system; the other is that we have to deal with heavy uncertainties including aerodynamic parameter variations, aeroelastic effects, propulsion system perturbation, atmospheric disturbances, etc.

Recently, many researchers utilized different nonlinear control strategy to handle model nonlinearity to the first problem [1–3]. As for the second, lots of literatures presented adaptive or robust longitudinal control laws under aerodynamic parameter variations [3, 4]. In addition, Buschek [5] designed fixed-order  $\mu$  controllers considering the aeroelastic deformation, and Wilcox [6] presented a robust output feedback controller for capturing aerothermoelastic effects. Except for above researches, the authors of this paper proposed partially-feedback-functional-link-network (PFFLN) adaptive control method [7],

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and applied it to an NHV in the presence of fast-loop moment disturbance induced by propulsion system perturbation. However, as far as we know, no literature has discussed in detail the NHV controller design with atmospheric disturbances which involve gusts, turbulence and wind shear. The major difficulty lies in the derivation of NHV's nonlinear model under variable wind field and the lack of atmospheric data in the near space (20–100 km above the earth). Based on the analysis of near space wind model (newly published in [8]), this paper derives 6 degree-of-freedom and 12 states nonlinear equations of NHVs subjected to wind field. In order to handle these wind disturbances, we also propose a new nonlinear adaptive controller to improve the attitude tracking performance.

The control strategy mainly consists of a continuous-time nonlinear generalized predictive control (NGPC) law and a B-spline recurrent-functional-link-network (BRFLN) control adjustment. The nominal NGPC law [9] can avoid the online computation and it is an effective algorithm for fast time-varying systems, especially for NHVs' control system. Hence, the NGPC is used to stabilize the dynamics of an NHV. The functional link network (FLN) [10] is a single-layer NN with functionally mapped inputs. It has simpler structure and higher computation speed than a multi-layer perceptron (MLP) [11, 12]. The BRFLN, presented in this paper, not only possesses characteristics as those of the FLN, but also is capable of learning dynamical high-order nonlinear functions. Moreover, we first introduce the  $n$ -order B-spline functions in this network. As we know, atmospheric disturbances are dynamically changeable, so RNNs will be more suitable for their approximation than static NNs. Because the BRFLN has simpler structure than common RNNs with hidden layers, it may be relatively easy for us to implement the control algorithms of NHVs. Accordingly, the BRFLN acts as an aid to the NGPC law for compensating disturbances/uncertainties of the fast-loop attitude system, and the proportional-derivative (PD) correction BRFLN is used for learning disturbances/uncertainties of the slow-loop attitude system. The feedforward and feedback weights of the BRFLN are online tuned by the adaptive law based on Lyapunov stability theorem. A robust control (RC) item with adaptive gain is also proposed to counteract errors between the BRFLN and disturbances. In summary, the presented BRFLN-based NGPC method does not require the knowledge about uncertainty bounds, and it is an effective algorithm to resolve NHV control problems arising out of atmospheric disturbances.

The paper is organized as follows: in section 2, we derive 12 states nonlinear equations of NHVs subjected to variable wind field. Section 3 discusses the design of the BRFLN-based NGPC method for an NHV. In section 4, we give the stability analysis of the closed-loop attitude system. Finally, simulation results of the airflow angles and angular rates control systems are shown followed by the conclusions.

## 2 The NHV model with atmospheric disturbances

NHVs fly in the upper stratosphere and the whole mesosphere which is often called "high altitude troposphere". Because atmospheric convective motions are strong in the mesosphere, the wind shear and turbulence frequently occur there [8]. Hence, the effects that near-space wind field produces cannot be ignored. The NHV model comes from a winged-cone configuration vehicle [13]. Figure 1 shows the variables relationship of the NHV.

The wind vector  $\mathbf{V}_W = [u_{Wb}, v_{Wb}, w_{Wb}]^T$ , the airspeed vector  $\mathbf{V}_A = [u_{Ab}, v_{Ab}, w_{Ab}]^T$  and the ground-speed vector  $\mathbf{V}_K = [u_{Kb}, v_{Kb}, w_{Kb}]^T$  satisfy the triangular relationship as Figure 1 shows, that is,  $\mathbf{V}_K = \mathbf{V}_A + \mathbf{V}_W$ .  $D$ ,  $Y$ ,  $L$  and  $G$  denote the resistance force, the side force, the lift force and the gravity. Considering the low efficiency of aerodynamic control surfaces in the near-space, we employ motor thrust vector  $\mathbf{T} = [T_{xb}, T_{yb}, T_{zb}]^T$ . All variables' subscripts, the lowercase letters  $g$ ,  $b$ ,  $a$  and  $k$  mean that these vectors are expressed in the ground, the body, the airflow and the path axis coordinate system. Hence,  $T_{gb}$ ,  $T_{ba}$ ,  $T_{ag}$  and  $T_{gk}$  are transformation matrices between two different coordinate systems [14]. We will derive 12 states equations including angle of attack  $\alpha$ , angle of sideslip  $\beta$  and angle of bank  $\mu$ , because the precise control of these angles is essential to the control system.

Firstly, from  $\mathbf{V}_K = \mathbf{V}_A + \mathbf{V}_W$ , we have the NHV position equation as follows:

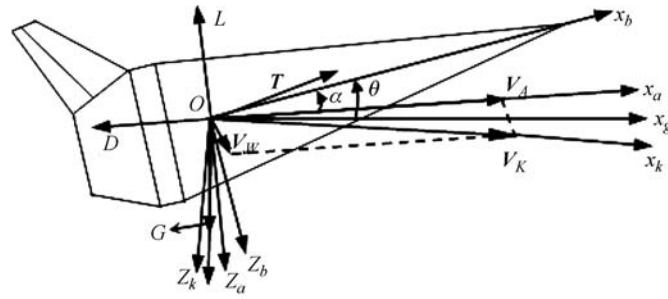


Figure 1 The variables relationship of the NHV longitudinal model.

$$\begin{aligned}
 \begin{bmatrix} \dot{x}_g \\ \dot{y}_g \\ \dot{z}_g \end{bmatrix} &= \begin{bmatrix} u_{Kg} \\ v_{Kg} \\ w_{Kg} \end{bmatrix} = T_{gb} \begin{bmatrix} u_{Kb} \\ v_{Kb} \\ w_{Kb} \end{bmatrix} = T_{gb} \left\{ \begin{bmatrix} u_{Ab} \\ v_{Ab} \\ w_{Ab} \end{bmatrix} + \begin{bmatrix} u_{Wb} \\ v_{Wb} \\ w_{Wb} \end{bmatrix} \right\} \\
 &= T_{gb} \left\{ T_{ba} \begin{bmatrix} V_A \\ 0 \\ 0 \end{bmatrix} + T_{bg} \begin{bmatrix} u_{Wg} \\ v_{Wg} \\ w_{Wg} \end{bmatrix} \right\}, \tag{1}
 \end{aligned}$$

where  $T_{gb} \cdot T_{ba} = T_{ga}$ , and  $T_{gb} \cdot T_{bg}$  equals to unit matrix. So we get

$$\begin{bmatrix} \dot{x}_g \\ \dot{y}_g \\ \dot{z}_g \end{bmatrix} = \begin{bmatrix} V_A \cos \gamma_a \cos \chi_a \\ V_A \cos \gamma_a \sin \chi_a \\ -V_A \sin \gamma_a \end{bmatrix} + \begin{bmatrix} u_{Wg} \\ v_{Wg} \\ w_{Wg} \end{bmatrix}, \tag{2}$$

where  $[V_A, 0, 0]^T$  is the expression of  $V_A$  in the airflow axis system and  $V_A$  is the module value of  $V_A$ .  $\gamma_a$  and  $\chi_a$  are the path angle and the heading angle.

Secondly, using the kinetics equation of NHVs, i.e.  $m \cdot dV_K/dt = \Sigma F$ , and treating the body axis system as the reference frame, we obtain

$$\begin{bmatrix} \dot{u}_{Kb} \\ \dot{v}_{Kb} \\ \dot{w}_{Kb} \end{bmatrix} + \begin{vmatrix} i & j & k \\ p_K & q_K & r_K \\ u_{Kb} & v_{Kb} & w_{Kb} \end{vmatrix} = \frac{1}{m} \left\{ \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ba} \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{bg} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\}, \tag{3}$$

where  $\omega = [p_K, q_K, r_K]^T$  is the NHV angular rate vector with respect to the ground and expressed in the body axis system. In addition,  $m$  is the NHV mass. The application of (1) yields

$$\begin{aligned}
 &\dot{T}_{ba} \begin{bmatrix} V_A \\ 0 \\ 0 \end{bmatrix} + T_{ba} \begin{bmatrix} \dot{V}_A \\ 0 \\ 0 \end{bmatrix} + \dot{T}_{bg} \begin{bmatrix} u_{Wg} \\ v_{Wg} \\ w_{Wg} \end{bmatrix} + T_{bg} \begin{bmatrix} \dot{u}_{Wg} \\ \dot{v}_{Wg} \\ \dot{w}_{Wg} \end{bmatrix} \\
 &= \frac{1}{m} \left\{ \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ba} \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{bg} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\} - \begin{bmatrix} 0 & -r_K & q_K \\ r_K & 0 & -p_K \\ -q_K & p_K & 0 \end{bmatrix} \begin{bmatrix} u_{Kb} \\ v_{Kb} \\ w_{Kb} \end{bmatrix}, \tag{4}
 \end{aligned}$$

where  $T_{bg}$  is the function matrix of Euler angles  $\phi$ ,  $\theta$  and  $\psi$ . These angles are not the controlled variables in this paper, so  $\dot{T}_{bg} = 0$ . Multiplying the two sides of (4) by  $T_{ab}$ , we get

$$T_{ab} \dot{T}_{ba} \begin{bmatrix} V_A \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} \dot{V}_A \\ 0 \\ 0 \end{bmatrix} + T_{ag} \begin{bmatrix} \dot{u}_{Wg} \\ \dot{v}_{Wg} \\ \dot{w}_{Wg} \end{bmatrix}$$

$$= \frac{1}{m} \left\{ \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{ab} \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ag} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\} - T_{ab} \begin{bmatrix} 0 & -r_K & q_K \\ r_K & 0 & -p_K \\ -q_K & p_K & 0 \end{bmatrix} \left\{ T_{ba} \begin{bmatrix} V_A \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} u_{Wb} \\ v_{Wb} \\ w_{Wb} \end{bmatrix} \right\}. \tag{5}$$

We ignore the angular rate effect which  $[u_{wb}, v_{wb}, w_{wb}]^T$  brings about because of its tiny influence. Then,

$$\begin{bmatrix} \dot{V}_A \\ V_A \cdot \dot{\beta} \\ (V_A \cos \beta) \cdot \dot{\alpha} \end{bmatrix} = \frac{1}{m} \left\{ \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{ab} \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ag} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\} - \begin{bmatrix} 0 \\ r_K V_A \cos \alpha - p_K V_A \sin \alpha \\ r_K V_A \sin \beta \sin \alpha + p_K V_A \sin \beta \cos \alpha - q_K V_A \cos \beta \end{bmatrix} - T_{ag} \begin{bmatrix} \dot{w}_{Wg} \\ \dot{v}_{Wg} \\ \dot{u}_{Wg} \end{bmatrix}. \tag{6}$$

Thirdly,  $m \cdot d\mathbf{V}_K/dt = \Sigma F$  is still utilized, and the reference frame is the airflow axis system. We have

$$\begin{bmatrix} \dot{V}_A \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} \dot{u}_{W_a} \\ \dot{v}_{W_a} \\ \dot{w}_{W_a} \end{bmatrix} + \begin{vmatrix} i & j & k \\ -\dot{\chi}_a \sin \gamma_a & \dot{\gamma}_a & \dot{\chi}_a \cos \gamma_a \\ \dot{V}_A & 0 & 0 \end{vmatrix} = \frac{1}{m} \left\{ \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{ab} \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ag} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\}. \tag{7}$$

Rearranging this equation yields

$$\begin{bmatrix} \dot{V}_A \\ (V_A \cos \gamma_a) \cdot \dot{\chi}_a \\ -V_A \cdot \dot{\gamma}_a \end{bmatrix} = \frac{1}{m} \left\{ \begin{bmatrix} -D \\ Y \\ -L \end{bmatrix} + T_{ab} \begin{bmatrix} T_{xb} \\ T_{yb} \\ T_{zb} \end{bmatrix} + T_{ag} \begin{bmatrix} 0 \\ 0 \\ mg \end{bmatrix} \right\} - T_{ag} \begin{bmatrix} \dot{u}_{Wg} \\ \dot{v}_{Wg} \\ \dot{w}_{Wg} \end{bmatrix}. \tag{8}$$

Then, in order to get the state equation of  $\mu$ , we need the following relationship:

$$\dot{\mu} = \sin \gamma_a \cdot \dot{\chi}_a - \sin \beta \cdot \dot{\alpha} + p_K \cos \beta \cos \alpha + r_K \cos \beta \sin \alpha + q_K \sin \beta. \tag{9}$$

Substituting  $\dot{\alpha}$  and  $\dot{\chi}_a$  of (6) and (8) into (9), we can obtain  $\dot{\mu}$ . Now the state equations of  $x_g, y_g, z_g, V_A, \chi_a, \gamma_a, \alpha, \beta$  and  $\mu$  have been gotten. Their last items denote the wind shear described by

$$\begin{bmatrix} \dot{u}_{Wg} \\ \dot{v}_{Wg} \\ \dot{w}_{Wg} \end{bmatrix} = \begin{bmatrix} u_{wx} \cdot \dot{x}_g + u_{wy} \cdot \dot{y}_g + u_{wz} \cdot \dot{z}_g \\ v_{wx} \cdot \dot{x}_g + v_{wy} \cdot \dot{y}_g + v_{wz} \cdot \dot{z}_g \\ w_{wx} \cdot \dot{x}_g + w_{wy} \cdot \dot{y}_g + w_{wz} \cdot \dot{z}_g \end{bmatrix}, \tag{10}$$

where wind field gradients  $u_{wx} = \partial u_{Wg}/\partial x_g, v_{wy} = \partial v_{Wg}/\partial y_g, w_{wz} = \partial w_{Wg}/\partial z_g$ , etc. Eq. (1) can be rewritten as

$$\begin{bmatrix} \dot{x}_g \\ \dot{y}_g \\ \dot{z}_g \end{bmatrix} = \begin{bmatrix} u_{Kg} \\ v_{Kg} \\ w_{Kg} \end{bmatrix} = T_{gk} \begin{bmatrix} V_K \\ 0 \\ 0 \end{bmatrix}, \tag{11}$$

where  $[V_K, 0, 0]^T$  is the expression of  $\mathbf{V}_K$  in the path axis system and  $V_K$  is the module value of  $\mathbf{V}_K$ . Substituting (11) into (10) yields the derivative of wind vector.

Finally, using the angular motion equation of NHVs, i.e.  $dH/dt = \sum M$  [14], we have

$$\begin{bmatrix} \dot{p}_K \\ \dot{q}_K \\ \dot{r}_K \end{bmatrix} = \begin{bmatrix} -(I_z - I_y)/I_x \cdot q_K \cdot r_K + l_A/I_x \\ -(I_x - I_z)/I_y \cdot p_K \cdot r_K + m_A/I_y \\ -(I_y - I_x)/I_z \cdot p_K \cdot q_K + n_A/I_z \end{bmatrix} + \begin{bmatrix} 1/I_x & 0 & 0 \\ 0 & 1/I_y & 0 \\ 0 & 0 & 1/I_z \end{bmatrix} \cdot M_C, \quad (12)$$

where  $H$  is the momentum moment and  $M$  is the total moment.  $I_x$ ,  $I_y$  and  $I_z$  are moments of inertia.  $M_C = g_{f,\delta}\delta_C$  represents the moment produced by all control surfaces.  $l_A$ ,  $m_A$  and  $n_A$  are aerodynamic moments and they are functions of  $V_A$ ,  $\alpha$ ,  $\beta$ ,  $p_A$ ,  $q_A$ ,  $r_A$ , etc.  $p_A$ ,  $q_A$  and  $r_A$  can be expressed as

$$\begin{bmatrix} p_A \\ q_A \\ r_A \end{bmatrix} = \begin{bmatrix} p_K \\ q_K \\ r_K \end{bmatrix} - \begin{bmatrix} p_W \\ q_W \\ r_W \end{bmatrix} = \begin{bmatrix} p_K \\ q_K \\ r_K \end{bmatrix} - \begin{bmatrix} w_{wy} \\ -w_{wx} \\ v_{wx} \end{bmatrix}, \quad (13)$$

where  $[p_A, q_A, r_A]^T$  is the NHV angular rate vector with respect to the airflow field and  $[p_W, q_W, r_W]^T$  is the angular rate of airflow field with respect to the ground. Besides, the wind gradients  $w_{wy} = \partial w_{Wg}/\partial y_g$ ,  $w_{wx} = \partial w_{Wg}/\partial x_g$  and  $v_{wx} = \partial v_{Wg}/\partial x_g$ . Because  $[p_A, q_A, r_A]^T$  cannot be measured and  $[p_K, q_K, r_K]^T$  is able to be obtained by rate gyro, we substitute (13) into (12) and get

$$\begin{bmatrix} \dot{p}_K \\ \dot{q}_K \\ \dot{r}_K \end{bmatrix} = \begin{bmatrix} -(I_z - I_y)/I_x \cdot q_K \cdot r_K + l_{A0}/I_x \\ -(I_x - I_z)/I_y \cdot p_K \cdot r_K + m_{A0}/I_y \\ -(I_y - I_x)/I_z \cdot p_K \cdot q_K + n_{A0}/I_z \end{bmatrix} + \begin{bmatrix} 1/I_x & 0 & 0 \\ 0 & 1/I_y & 0 \\ 0 & 0 & 1/I_z \end{bmatrix} \cdot M_C + \begin{bmatrix} \Delta l_A/I_x \\ \Delta m_A/I_y \\ \Delta n_A/I_z \end{bmatrix}, \quad (14)$$

where  $l_{A0}$ ,  $m_{A0}$  and  $n_{A0}$  are in relation to  $[p_K, q_K, r_K]^T$ , and  $\Delta l_A$ ,  $\Delta m_A$  and  $\Delta n_A$  represent the items induced by wind gradients.  $[p_K, q_K, r_K]^T$  can also be written as  $[p, q, r]^T$ .

Thus (2), (6), (8), (9) and (14) constitute 12 states equations with atmospheric disturbances. Their last items embody the wind impact. Since it is difficult to measure wind shear and turbulence in hypersonic flight, we need to design adaptive controllers to compensate for the effect of atmospheric disturbances.

### 3 Attitude controller design of the NHV

#### 3.1 The NGPC law with disturbances

Owing to the strong nonlinearity and coupling of the NHV's model, nonlinear control methodologies become necessary means to its controller design. The nominal NGPC [9] is an effective NPC law designed in continuous-time domain. It can be applied to the NHV attitude controller design [7]. By (6), (9) and (14), the expressions of  $\dot{\alpha}$ ,  $\dot{\beta}$ ,  $\dot{\mu}$ ,  $\dot{p}_K$ ,  $\dot{q}_K$  and  $\dot{r}_K$  can be written as the affine nonlinear equations as

$$\begin{cases} \dot{\Omega} = f_s(\Omega) + g_s(\Omega) \cdot \omega_c + \Delta_s, \\ y_s = \Omega, \end{cases} \quad (15)$$

$$\begin{cases} \dot{\omega} = f_f(\omega) + g_f(\omega) \cdot M_C + \Delta_f, \\ y_f = \omega \end{cases} \quad (16)$$

where

$\Omega = [\alpha, \beta, \mu]^T$  is the slow-loop state, the attitude angle vector. It is the output of the control system.  $\omega = [p, q, r]^T$  is the fast-loop state which includes three angular velocities.

$M_C = g_{f,\delta}\delta_C \in \mathbb{R}^3$  is the control moment.  $\delta_C = [\delta_e, \delta_a, \delta_r, \delta_x, \delta_y, \delta_z]^T$  where  $\delta_e$ ,  $\delta_a$  and  $\delta_r$  denote the aero control surface deflection and  $\delta_x$ ,  $\delta_y$  and  $\delta_z$  represent the deflection of the thrust vector control surfaces.  $g_{f,\delta} \in \mathbb{R}^{3 \times 6}$  is the control allocation matrix.

$g_s \in \mathbb{R}^{3 \times 3}$  and  $g_f \in \mathbb{R}^{3 \times 3}$  are invertible matrices, and  $f_s, f_f \in \mathbb{R}^3$ . The expressions of above matrices can be derived from (6), (9) and (14). In general,  $f_s$ ,  $f_f$ ,  $g_s$  and  $g_f$  are the nonlinear functions of  $\Omega$ ,  $\omega$ , flight velocity, thrust, aerodynamic coefficients and aerodynamic moment coefficients.

$\Delta_s = [\Delta_\alpha, \Delta_\beta, \Delta_\mu]^T$  and  $\Delta_f = [\Delta_p, \Delta_q, \Delta_r]^T$  are lumped disturbances upon the slow and fast loop respectively. They include parameter uncertainties, moment disturbances and atmospheric disturbances.

Systems (15) and (16) satisfy the assumptions [9]: The zero dynamics are stable, all states are available, the system input and lumped disturbances have the relative degree  $\bar{\rho}$  [15] and Assumption 1.

**Assumption 1.** The unknown disturbances in systems (15) and (16) are bounded, i.e.,  $|\Delta_i| \leq \nu_i$ ,  $i = 1, \dots, 3$ .

**Remark 1.** In practice, the exact bound value is not necessary, and any number larger than  $\nu_i$  can be used.

The control purpose is to design a controller such that the closed-loop system is asymptotically stable and the output  $y$  optimally tracks a reference output  $y_r$ , in terms of a given performance index as

$$J = \frac{1}{2} \int_0^T e^T(t + \tau)e(t + \tau)d\tau, \tag{17}$$

where  $T$  is the predictive period.  $e$  is the predictive tracking error, defined by  $e(t + \tau) = y(t + \tau) - y_r(t + \tau)$  with  $y(t + \tau)$  the predictive output during  $T$  and  $y_r(t + \tau)$  the prescribed reference output.

For the NHV model, the state variable  $\omega$  is the first to be affected, so the first step is to design fast-loop controller. In (16),  $\omega$  is the fast-loop output.  $\omega_r$  is the fast-loop reference output and it is actually the control vector  $\omega_c$  in (15). Within the predictive period  $T_f$ ,  $\omega(t + \tau)$  and  $\omega_r(t + \tau)$  at the time  $\tau$  are approximately predicted by their Taylor-series expansions up to  $(\bar{\rho} + \bar{r})$  th order. That is,

$$y_f(t + \tau) = \omega(t + \tau) \cong \Gamma(\tau)\bar{Y}(t), \quad y_{fr}(t + \tau) = \omega_r(t + \tau) \cong \Gamma(\tau)\bar{Y}_r(t), \tag{18}$$

where  $\Gamma(\tau) = [I_3 \quad \bar{\tau} \quad \dots \quad \bar{\tau}^{\bar{\rho} + \bar{r}} / (\bar{\rho} + \bar{r})!]$ ,  $\bar{\tau} = \text{diag}(\tau, \tau, \tau)$ ,  $\bar{Y}(t) = [\omega(t)^T, \dot{\omega}(t)^T, \dots, \omega^{[\bar{\rho} + \bar{r}]}(t)^T]^T$ ,  $\bar{Y}_r(t) = [\omega_r(t)^T, \dot{\omega}_r(t)^T, \dots, \omega_r^{[\bar{\rho} + \bar{r}]}(t)^T]^T$  and  $I_3$  is a  $3 \times 3$  unit matrix.

Repeated differentiating  $\omega(t)$  in (16) up to  $\bar{\rho} + \bar{r}$  times with respect to  $t$ , we obtain

$$\begin{aligned} \dot{\omega}(t) &= f_f(\omega(t)) + g_f(\omega(t))M_c(t) + \Delta_f(\omega, M_c, t), \\ &\vdots \\ \omega^{[\bar{\rho}]}(t) &= L_{f_f}^{\bar{\rho}} \omega(t) + L_{g_f} L_{f_f}^{\bar{\rho}-1} \omega(t) M_c(t) + L_{I_3} L_{f_f}^{\bar{\rho}-1} \omega(t) \Delta_f(\omega, M_c, t), \\ &\vdots \\ \omega^{[\bar{\rho} + \bar{r}]}(t) &= L_{f_f}^{\bar{\rho} + \bar{r}} \omega(t) + p_{\bar{r}1}(M_c(t), \omega(t), \Delta_f) + \dots + \\ &\quad p_{\bar{r}\bar{r}}(M_c^{[\bar{r}-1]}(t), \dots, M_c(t), \omega(t), \Delta_f) + L_{g_f} L_{f_f}^{\bar{\rho}-1} \omega(x) M_c^{[\bar{r}]}(t), \end{aligned} \tag{19}$$

where  $\bar{\rho}$  is the system relative degree and  $\bar{\rho} = 1$  for the NHV fast-loop system.  $p_{11}, \dots, p_{\bar{r}1}, \dots, p_{\bar{r}\bar{r}}$  are the complicated functions in terms of  $M_c, \dot{M}_c, M_c^{[\bar{r}-1]}$  and  $\omega$ . The standard Lie notation is used here.  $\bar{r}$  is the control order [9] which is often set zero for the realization simplicity.

Then from (18), the index (17) becomes

$$J = \frac{1}{2} (\bar{Y}(t) - \bar{Y}_r(t))^T \cdot \int_0^{T_f} \Gamma^T(\tau) \Gamma(\tau) d\tau \cdot (\bar{Y}(t) - \bar{Y}_r(t)). \tag{20}$$

Substituting (19) into (20) and letting  $\partial J / \partial M_c|_{M_c = M_c^*} = 0$ , we can derive the fast-loop control law as

$$M_C(t) = u_f = -g_f^{-1}(f_f + K_f e_f - \dot{\omega}_r) - g_f^{-1} \Delta_f = \bar{M} - g_f^{-1} \Delta_f, \tag{21}$$

where  $\bar{M} = -g_f^{-1}(f_f + K_f e_f - \dot{\omega}_r)$  denotes the nominal control law. The fast-loop error  $e_f$  equals  $\omega - \omega_r$  and  $K_f$  is composed of the first 3 rows of  $\bar{\Gamma}_{\bar{r}\bar{r}}^{-1} \cdot \bar{\Gamma}_{\bar{r}\bar{r}}^T$ . Depending on its definition in [9], we have

$$\begin{aligned} K_f &= \bar{\Gamma}_{(2,2)}^{-1} \cdot \bar{\Gamma}_{(1,2)}^T \\ &= \text{diag}\{3/T_f^3, 3/T_f^3, 3/T_f^3\} \cdot \text{diag}\{T_f^2/2, T_f^2/2, T_f^2/2\} \end{aligned}$$

$$= \text{diag}\{3/(2T_f), 3/(2T_f), 3/(2T_f)\}. \quad (22)$$

The control law of the slow-loop is similarly given by

$$\omega_c(t) = u_s = -g_s^{-1}(f_s + K_s e_s - \dot{\Omega}_r) - g_s^{-1}\Delta_s = \bar{\omega} - g_s^{-1}\Delta_s, \quad (23)$$

where  $e_s = \Omega - \Omega_r$ ,  $K_s = \text{diag}\{3/(2T_s), 3/(2T_s), 3/(2T_s)\}$  and  $T_s$  is the slow-loop predictive time.

Since  $\omega_c$  is the reference value of the fast-loop system, replacing  $\omega_r$  in (21) with  $\omega_c$  in (23) will yield the control moment  $M_c$  of the whole attitude system. Then, the control vector  $\delta_C$  is equal to  $g_{f,\delta}^{-1} \cdot M_C$ .

**Remark 2.** There are only  $K_f$  and  $K_s$  which need to be designed in the nominal control law  $\bar{M}$  and  $\bar{\omega}$ . The matrices  $K_f$  and  $K_s$  depend on the predictive time  $T_f$  and  $T_s$  given in advance. Therefore, the nominal NGPC is a quite efficient algorithm for the realization of the NHV controller.

### 3.2 The BRFLN-based adaptive NGPC

Since  $\Delta_f$  and  $\Delta_s$  in (21) and (23) are practically unknown, it is very necessary to replace them by  $\hat{\Delta}_f$  and  $\hat{\Delta}_s$  which can be calculated. Suppose that  $v_{adf}$  is the estimation of  $\hat{\Delta}_f$ , and let  $v_{rf}$  denote the estimation error (i.e.  $v_{rf} = \hat{\Delta}_f - v_{adf}$ ). Then the fast-loop control law can be designed as

$$M_C = \bar{M} - g_f^{-1}\hat{\Delta}_f = \bar{M} - M_{ad} - M_r, \quad M_{ad} = g_f^{-1} \cdot v_{adf}, \quad M_r = g_f^{-1} \cdot v_{rf}, \quad (24)$$

where  $M_{ad}$  is the control adjustment.  $M_r$  denotes a robust control (RC) item used for offsetting the error between  $\hat{\Delta}_f$  and  $v_{adf}$ . The control law of the slow-loop is similar to that of the fast-loop. It is

$$\omega_c = \bar{\omega} - g_s^{-1}\hat{\Delta}_s = \bar{\omega} - \omega_{ad} - \omega_r, \quad \omega_{ad} = g_s^{-1} \cdot v_{ads}, \quad \omega_r = g_s^{-1} \cdot v_{rs}, \quad (25)$$

where  $v_{ads}$  is the estimation of  $\hat{\Delta}_s$  and  $v_{rs} = \hat{\Delta}_s - v_{ads}$ .

$\Delta_f$  and  $\Delta_s$  are dynamically changeable functions, so dynamic mapping methods generally are fit for their approximation better than static ones. Consequently, a new RNN-based method, the BRFLN adaptive NGPC is proposed to estimate the lumped disturbances. Figure 2 shows the structure of the presented method. In this figure,  $W_f$  and  $W_s$  are the fast-loop weight matrix and the slow-loop weight matrix, respectively. They are both in need of online learning. Additionally, the RC gains, i.e.  $\psi_f$  and  $\psi_s$ , have their adaptive laws which can lead to less conservative controller design and weaken the chattering of the control vectors.

Because the dynamic property of the fast-loop system differs from that of the slow-loop system, there are some differences between the controller designs of the two loops. As Figure 2 shows, the control effect of the slow-loop BRFLN and RC must pass through the fast dynamics of the NHV, and then it can influence the slow dynamics of the NHV, whereas the effect of the slow-loop disturbances  $\Delta_s$  on the NHV's slow dynamics is just instantaneous. That is, there exists time difference between the two kinds of effect. Hence, we propose a solution that the proportional-derivative (PD) lead compensation is introduced in the slow-loop BRFLN and RC design so as to offset the time difference. As a result, the PD correction BRFLN and RC in the slow-loop controller may counteract the harmful effect that  $\Delta_s$  produces. The BRFLN design will be presented in the next section.

### 3.3 The design of BRFLN

FLN is a single-layer NN and it is capable of forming arbitrarily complex decision regions [10]. The prime advantage of the FLN is its reduced computational complexity without any sacrifice on its performance [10–12]. In order to approximate dynamical uncertainties during hypersonic flight, we propose a new RNN, the BRFLN. Since a feedforward network combined with recurrent feedback and input time delays can effectively capture the optimal temporal profiles of a dynamical system [16], the FLN outputs are directly fed into the input layer to build the structure of BRFLN. In addition, we add the self-feedback links on the context nodes so as to simulate high-order systems, which is one of the differences between the PFFLN [7] and the BRFLN. The BRFLN does not have hidden layers, which greatly reduces the computing loads of the network and enables the BRFLN to be employed in the online training. The architecture can be shown as Figure 3.

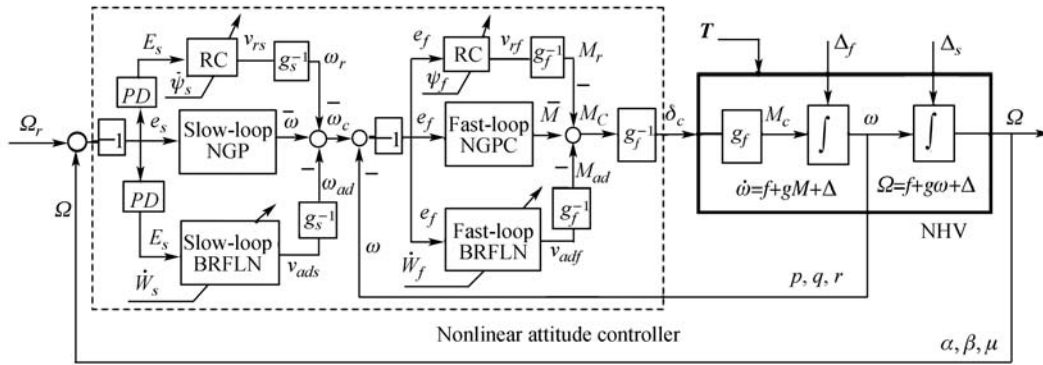


Figure 2 The BRFLN-based NGPC design of the NHV.

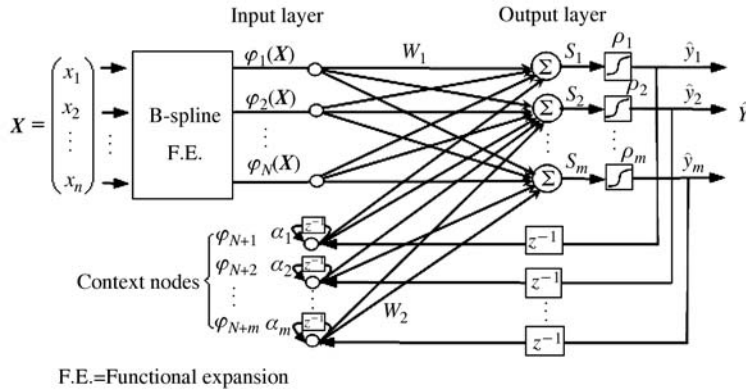


Figure 3 Structure of the BRFLN.

Suppose that the function set  $\mathcal{B} = \{\varphi_k \in \Phi(A)\}_{k \in K} (K = 1, \dots, N)$  satisfies: 1)  $\varphi_1 = 1$ ; 2) the subset  $\mathcal{B}_j = \{\varphi_i \in \mathcal{L}(A)\}_{i=1}^j$  is a linearly independent set; 3)  $\sup_j [\sum_{i=1}^j \|\varphi_i\|_A^2]^{1/2} < \infty$ . Hence, we can expand the input  $X \in A \subset \mathbb{R}^n$  into the functionally mapped inputs by  $\mathcal{B}$ . Then  $\hat{Y}$  can be expressed as

$$v_{ad} = \hat{Y}(k+1) = \rho(W^T \Phi(X(k+1), \hat{Y}(k), \hat{\alpha}(k))), \quad (26)$$

where  $W = [W_1^T, W_2^T]^T$  is the weight matrix.  $W_1 \in \mathbb{R}^{m \times N}$  is the feedforward weight matrix and  $W_2 \in \mathbb{R}^{m \times m}$  is the feedback one. Besides,  $\Phi = [\Phi_1^T(X), \Phi_2^T(\hat{Y}, \hat{\alpha})]^T \in \mathbb{R}^{N+m}$ .  $\Phi_1(X) = [\varphi_1(X), \varphi_2(X), \dots, \varphi_N(X)]^T \in \mathbb{R}^N$  is the basis function matrix and  $\hat{\alpha} = [\alpha_1, \dots, \alpha_m]^T$  is the self-connection weight matrix.

As mentioned previously, the basis functions (i.e.  $\mathcal{B}$ ) are orthogonal functions. They typically include Chebyshev, Legendre, Lagrange, Hermite polynomials, etc. Different from the traditional FLN and the PFFLN, the proposed network employs B-spline basis functions for the first time. In many application fields, the spline curve approximation is attractive in terms of some properties such as continuity, local controllability, low approximation error and simple implementation. It can reach a higher accuracy than polynomials approximation. So in this research, we utilize the central B-spline basis functions as the input expansion functions. The  $n$ -degree B-spline functions [17] are recursively defined by

$$N_1(x) = \begin{cases} -|x| + 1, & |x| < 1, \\ 0, & |x| \geq 1, \end{cases}$$

$$N_2(x) = \begin{cases} -x^2 + 3/4, & |x| \leq 1/2, \\ 1/2 \cdot (x^2 - 3|x| + 9/4), & 1/2 \leq |x| \leq 3/2, \end{cases}$$

$$N_3(x) = \begin{cases} 1/2 \cdot |x|^3 - x^2 + 2/3, & |x| \leq 1, \\ -1/6 \cdot |x|^3 + x^2 - 2|x| + 4/3, & 1 < |x| < 2, \dots, \end{cases}$$



$$N_n(x) = \sum_{j=0}^{n+1} (-1)^j C_{n+1}^j \cdot \left(x + \frac{n+1}{2} - j\right)_+^n / n!, \quad -\infty < x < \infty, \tag{27}$$

where  $C_{n+1}^j = \frac{(n+1)!}{j!(n+1-j)!}$  is the combination formula and  $(x+a)_+^n = \begin{cases} (x+a)^n & x+a \geq 0 \\ 0 & x+a < 0 \end{cases}$ . The support set of B-spline functions, the  $x$  value range can be described by  $SuppN_n \subseteq (-(n+1)/2, (n+1)/2)$ . And  $N_i = 0$  when  $x$  is outside of  $SuppN_i$ . Generally, we are concerned with cubic B-spline functions (order  $n \leq 3$ ) because they are simple for implementation and most frequently used in practice. That is,

$$\Phi_1(X) = [1, N_1(x_1), N_2(x_1), N_3(x_1), N_1(x_2), N_2(x_2), N_3(x_2), \dots, N_1(x_n), N_2(x_n), N_3(x_n))]^T \in \mathbb{R}^N.$$

And the input  $X$  must be normalized within the set which is  $U = (SuppN_1 \cap SuppN_2 \cap SuppN_3) \subseteq (-1, 1)$ .

It is noted that the input layer consists of the F.E. outputs and the context nodes outputs. The latter is denoted by  $\Phi_2(\hat{Y}, \hat{\alpha}) = [\varphi_{N+1}, \varphi_{N+2}, \dots, \varphi_{N+m}]^T \in \mathbb{R}^m$ , and  $\varphi_i$  satisfies

$$\varphi_i(t+1) = \alpha_p \varphi_i(t) + \hat{y}_p(t) \quad |\varphi_i| \leq 1, \quad i = N+1, \dots, N+m; \quad p = 1, \dots, m, \tag{28}$$

where  $\varphi_i(t+1)$  represents the node output at the instant  $t+1$ , and  $\alpha_p$  ( $0 \leq \alpha_p < 1$ ) is the self-connection weight. If the recursion operation is performed for  $\varphi_i(t+1)$ , we have

$$\varphi_i(t) = \alpha_p \varphi_i(t-1) + \hat{y}_p(t-1), \dots, \varphi_i(t-n) = \alpha_p \varphi_i(t-n-1) + \hat{y}_p(t-n-1), \dots$$

Then (28) can be written as

$$\varphi_i(t+1) = \hat{y}_p(t) + \alpha_p \hat{y}_p(t-1) + \alpha_p^2 \hat{y}_p(t-2) + \dots + \alpha_p^n \hat{y}_p(t-n) + \dots = \sum_{\tau=0}^t \alpha_p^\tau \hat{y}_p(t-\tau). \tag{29}$$

Expression (29) indicates that the closer  $\alpha_p$  gets to 1, the further moment of  $\hat{y}_p$  will be considered. Hence the self-connection endues the nodes with capacity to memorize a certain past of their input data and simulate high-order dynamical systems. The weight  $\alpha_p$  can be obtained by training, but an easier way to do it is to fix it a priori. In addition,  $\rho(S) = (\rho_1, \rho_2, \dots, \rho_m)^T$  is defined by

$$\rho_j = \tanh(S_j) = (1 - e^{-2S_j}) / (1 + e^{-2S_j}), \quad \rho'_j = 1 - \rho_j^2, \quad j = 1, 2, \dots, m, \tag{30}$$

where  $S = W^T \Phi = [S_1, S_2, \dots, S_m]^T$ .

If the BRFLN is employed for approximating disturbances, we need to provide Definition 1.

**Definition 1.** On the compact region  $D_e \subset \mathbb{R}^m$ , define the optimal weights and output of the BRFLN as

$$W^* = \arg \min_W \left\{ \sup_{e \in D_e} \|\Delta - v_{ad}\| \right\}, \tag{31}$$

$$v_{ad}^* = \rho(W^{*T} \Phi(X, \hat{Y}, \hat{\alpha})) = \Delta - \varepsilon, \quad \|\varepsilon\| \leq \psi_\varepsilon^*, \tag{32}$$

where  $e$  is the input vector of the BRFLN,  $W^*$  satisfies  $\|W^*\| \leq \bar{W}$  and  $\|\cdot\|$  denotes the Frobenius norm.  $\varepsilon$  is the approximation error and  $\psi_\varepsilon^* \geq 0$  is an unknown bound.

### 4 The stability analysis of close-loop system

It is necessary to obtain the error state equation of the closed-loop system before the stability analysis. From (16) and (24), the fast-loop error state equation is expressed as

$$\dot{e}_f = \dot{\omega} - \dot{\omega}_r = f_f + g_f(\bar{M} - g_f^{-1} \hat{\Delta}_f) + \Delta_f - \dot{\omega}_r = -K_f e_f + (\Delta_f - \hat{\Delta}_f) = A_f e_f + (\Delta_f - \hat{\Delta}_f). \tag{33}$$

Utilizing (31), (32) and the Taylor series expansion for  $\rho(W^{*T} \Phi)$ , we have

$$\Delta_f - \hat{\Delta}_f = \rho(W_f^{*T} \Phi_f) + \varepsilon_f - \rho(W_f^T \Phi_f) - v_{rf}$$

$$\begin{aligned} &= \rho(W_f^T \Phi_f) + \rho'_f \tilde{W}_f^T \Phi_f + o(\tilde{W}_f^T \Phi_f)^2 + \varepsilon_f - \rho(W_f^T \Phi_f) - v_{rf} \\ &= \rho'_f \tilde{W}_f^T \Phi_f + o(\tilde{W}_f^T \Phi_f)^2 + \varepsilon_f - v_{rf}, \end{aligned} \tag{34}$$

where the weight error  $\tilde{W}_f = W_f^* - W_f$ ,  $\rho'_f = \rho'(W_f^T \Phi_f) = d\rho/d\nu|_{\nu=W_f^T \Phi_f}$  and  $o(\tilde{W}_f^T \Phi_f)^2$  represents higher-order terms. Substituting (34) into (33), we have the fast-loop error state equation described by

$$\dot{e}_f = A_f e_f + \rho'_f \tilde{W}_f^T \Phi_f + o(\tilde{W}_f^T \Phi_f)^2 + \varepsilon_f - v_{rf}. \tag{35}$$

Now using Taylor expansion for  $\rho(W^{*T} \Phi)$ , we have

$$z_f^T o(\tilde{W}_f^T \Phi_f)^2 = z_f^T \rho(W_f^{*T} \Phi_f) - z_f^T \rho(W_f^T \Phi_f) - z_f^T \rho'_f W_f^{*T} \Phi_f + z_f^T \rho'_f W_f^T \Phi_f,$$

where  $z_f \in \mathbb{R}^3$  will be mentioned in Theorem 1. So,

$$\begin{aligned} |z_f^T o(\tilde{W}_f^T \Phi_f)^2| &\leq |\rho(W_f^{*T} \Phi_f) - \rho(W_f^T \Phi_f)| \|z_f\|_1 + \text{tr}(\rho'_f W_f^T \Phi_f z_f^T) - \text{tr}(W_f^{*T} \Phi_f z_f^T \rho'_f) \\ &\leq \psi_{wf}^* \sigma_{wf} \|z_f\|, \end{aligned} \tag{36}$$

where  $\|\cdot\|_1$  is the absolute value sum of matrix elements,  $\sigma_{wf} = (\|z_f\|_1 + \|\rho'_f W_f^T \Phi_f z_f^T\| + \|\Phi_f z_f^T \rho'_f\|) / \|z_f\|$  and  $\psi_{wf}^* = \max\{2, 1, \|W_f^*\|\}$ . In addition, we define

$$\psi_{mf}^* = \max\{\psi_{wf}^*, \psi_{\varepsilon f}^*\}, \quad \tilde{\psi}_f = \psi_{mf}^* - \psi_f, \tag{37}$$

where the definition of  $\psi_{\varepsilon f}^*$  is the same as that of  $\psi_{\varepsilon}^*$  in (32), and  $\tilde{\psi}_f$  is the estimation error of robust gain.

Similarly, the application of (15) and (25) yields the slow-loop error state equation as

$$\dot{e}_s = \dot{\Omega} - \dot{\Omega}_r = A_s e_s + (\Delta_s - \hat{\Delta}_s). \tag{38}$$

As illustrated in Figure 2, we employ  $E_s$  as the input of the slow-loop BRFLN and RC.  $E_s$  and  $e_s$  satisfy

$$E_s = K_p e_s + K_d \dot{e}_s, \tag{39}$$

where  $K_p$  is the proportional coefficient, and  $K_d$  is the derivative coefficient.

Substituting (38) into (39), we get

$$\begin{aligned} \dot{E}_s &= K_p \dot{e}_s + K_d \ddot{e}_s = K_p (A_s e_s + \Delta_s - \hat{\Delta}_s) + K_d (A_s \dot{e}_s + \dot{\Delta}_s - \dot{\hat{\Delta}}_s) \\ &= A_s E_s + (K_p \Delta_s + K_d \dot{\Delta}_s) - (K_p \hat{\Delta}_s + K_d \dot{\hat{\Delta}}_s). \end{aligned} \tag{40}$$

Because  $K_p \Delta_s + K_d \dot{\Delta}_s$  is the expression of proportional-derivative (PD) lead compensation,  $\dot{E}_s$  is approximately expressed as

$$\dot{E}_s = A_s E_s + \bar{\Delta}_s(t - \tau_0) - \hat{\Delta}_s(t - \tau_0), \tag{41}$$

where  $\tau_0$  is the lead time. Then, similar to (34),  $\bar{\Delta}_s(t - \tau_0) - \hat{\Delta}_s(t - \tau_0)$  is described by

$$\bar{\Delta}_s(t - \tau_0) - \hat{\Delta}_s(t - \tau_0) = \rho'_s \tilde{W}_s^T \Phi_s(E_s) + o(\tilde{W}_s^T \Phi_s(E_s))^2 + \varepsilon_s - v_{rs}(E_s), \tag{42}$$

where the weight error  $\tilde{W}_s = W_s^* - W_s$ ,  $\rho'_s \triangleq \rho'(W_s^T \Phi_s(E_s)) = d\rho/d\nu|_{\nu=W_s^T \Phi_s(E_s)}$ . Moreover,

$$|z_s^T o(\tilde{W}_s^T \Phi_s(E_s))^2| \leq \psi_{ws}^* \sigma_{ws} \|z_s\|, \tag{43}$$

where  $\sigma_{ws} = (\|z_s\|_1 + \|\rho'_s W_s^T \Phi_s(E_s) z_s^T\| + \|\Phi_s(E_s) z_s^T \rho'_s\|) / \|z_s\|$  and  $\psi_{ws}^* = \max\{2, 1, \|W_s^*\|\}$ . In addition, we define

$$\psi_{ms}^* = \max\{\psi_{ws}^*, \psi_{\varepsilon s}^*\}, \quad \tilde{\psi}_s = \psi_{ms}^* - \psi_s, \tag{44}$$

where the definition of  $\psi_{\varepsilon s}^*$  comes from (32), and  $\tilde{\psi}_s$  is the estimation error of the slow-loop robust gain.

Now the closed-loop stability analysis of the proposed control scheme can be presented by Theorem 1.

**Theorem 1.** Assume that systems (16) and (15) are controlled by (24), (25), (45) and (47). If the online adjustment parameters are tuned by (46) and (48), then the system errors ( $e_f$ ,  $E_s$ ) and the estimation errors ( $\tilde{W}_f, \tilde{\psi}_f, \tilde{W}_s, \tilde{\psi}_s$ ) are uniformly ultimately bounded.

$$v_{adf} = \rho(W_f^T \Phi_f), \quad v_{rf} = \psi_f \sigma_f^* \tanh(\sigma_f^* z_f / \delta_f), \quad z_f = P_f e_f(t), \quad (45)$$

$$\dot{W}_f = \Gamma_{W_f} (\Phi_f z_f^T \rho'_f - K_{W_f} W_f), \quad \dot{\psi}_f = \lambda_{\psi_f} (\sigma_f^* z_f^T \tanh(\sigma_f^* z_f / \delta_f) - K_{\psi_f} \psi_f), \quad (46)$$

$$v_{ads} = \rho(W_s^T \Phi_s), \quad v_{rs} = \psi_s \sigma_s^* \tanh(\sigma_s^* z_s / \delta_s), \quad z_s = P_s E_s(t), \quad (47)$$

$$\dot{W}_s = \Gamma_{W_s} (\Phi_s(E_s) z_s^T \rho'_s - K_{W_s} W_s), \quad \dot{\psi}_s = \lambda_{\psi_s} (\sigma_s^* z_s^T \tanh(\sigma_s^* z_s / \delta_s) - K_{\psi_s} \psi_s), \quad (48)$$

where  $\sigma_f^* = \sigma_{wf} + 1$  and  $\sigma_s^* = \sigma_{ws} + 1$ .  $\delta_f$ ,  $K_{W_f}$ ,  $\lambda_{\psi_f}$ ,  $K_{\psi_f}$ ,  $\delta_s$ ,  $K_{W_s}$ ,  $\lambda_{\psi_s}$  and  $K_{\psi_s}$  are positive design constants.  $\Gamma_{W_f}$  and  $\Gamma_{W_s}$  are positive definite matrices.  $\tanh(x)$  denotes the hyperbolic tangent function.

*Proof.* Let the Lyapunov function candidate be given by

$$V = \frac{1}{2} e_f^T P_f e_f + \frac{1}{2} \text{tr}(\tilde{W}_f^T \Gamma_{W_f}^{-1} \tilde{W}_f) + \frac{1}{2\lambda_{\psi_f}} \tilde{\psi}_f^2 + \frac{1}{2} E_s^T P_s E_s + \frac{1}{2} \text{tr}(\tilde{W}_s^T \Gamma_{W_s}^{-1} \tilde{W}_s) + \frac{1}{2\lambda_{\psi_s}} \tilde{\psi}_s^2, \quad (49)$$

where  $P_f$  and  $P_s$  are positive definite matrices satisfying

$$\begin{aligned} P_f A_f + A_f^T P_f &= -Q_f, & P_f &= P_f^T, & Q_f &= Q_f^T > 0, \\ P_s A_s + A_s^T P_s &= -Q_s, & P_s &= P_s^T, & Q_s &= Q_s^T > 0, \end{aligned} \quad (50)$$

where  $A_f = -K_f$  and  $A_s = -K_s$  are the coefficient matrices of (35) and (41).

Differentiating (49) and substituting (35), (37), (41), (42), (44) and (50) into  $\dot{V}$  yields

$$\begin{aligned} \dot{V} &= \frac{1}{2} e_f^T (A_f^T P_f + P_f A_f) e_f + e_f^T P_f [\Delta_f(t) - \hat{\Delta}_f(t)] + \text{tr}(\tilde{W}_f^T \Gamma_{W_f}^{-1} \dot{\tilde{W}}_f) + \frac{1}{\lambda_{\psi_f}} \tilde{\psi}_f \dot{\tilde{\psi}}_f \\ &\quad + \frac{1}{2} E_s^T (A_s^T P_s + P_s A_s) E_s + E_s^T P_s [\Delta_s(t - \tau_0) - \hat{\Delta}_s(t - \tau_0)] + \text{tr}(\tilde{W}_s^T \Gamma_{W_s}^{-1} \dot{\tilde{W}}_s) + \frac{1}{\lambda_{\psi_s}} \tilde{\psi}_s \dot{\tilde{\psi}}_s \\ &= -\frac{1}{2} e_f^T Q_f e_f + z_f^T [\rho'_f \tilde{W}_f^T \Phi_f + o(\tilde{W}_f^T \Phi_f)^2 + \varepsilon_f - v_{rf}] - \text{tr}(\tilde{W}_f^T \Gamma_{W_f}^{-1} \dot{\tilde{W}}_f) - \frac{1}{\lambda_{\psi_f}} \tilde{\psi}_f \dot{\tilde{\psi}}_f \\ &\quad - \frac{1}{2} E_s^T Q_s E_s + z_s^T [\rho'_s \tilde{W}_s^T \Phi_s(E_s) + o(\tilde{W}_s^T \Phi_s(E_s))^2 + \varepsilon_s - v_{rs}(E_s)] - \text{tr}(\tilde{W}_s^T \Gamma_{W_s}^{-1} \dot{\tilde{W}}_s) - \frac{1}{\lambda_{\psi_s}} \tilde{\psi}_s \dot{\tilde{\psi}}_s. \end{aligned}$$

Choosing the online tuning laws as (46) and (48), we get

$$\begin{aligned} \dot{V} &= -\frac{1}{2} e_f^T Q_f e_f + z_f^T o(\tilde{W}_f^T \Phi_f)^2 + K_{W_f} \text{tr}[\tilde{W}_f^T (W_f^* - \tilde{W}_f)] + z_f^T \varepsilon_f - \psi_f \sigma_f^* z_f^T \tanh(\sigma_f^* z_f / \delta_f) \\ &\quad + \kappa_{\psi_f} \tilde{\psi}_f \psi_f - \tilde{\psi}_f \sigma_f^* z_f^T \tanh(\sigma_f^* z_f / \delta_f) - \frac{1}{2} E_s^T Q_s E_s + z_s^T o(\tilde{W}_s^T \Phi_s(E_s))^2 + K_{W_s} \text{tr}[\tilde{W}_s^T (W_s^* - \tilde{W}_s)] \\ &\quad + z_s^T \varepsilon_s + \kappa_{\psi_s} \tilde{\psi}_s \psi_s - \psi_s \sigma_s^* z_s^T \tanh(\sigma_s^* z_s / \delta_s) - \tilde{\psi}_s \sigma_s^* z_s^T \tanh(\sigma_s^* z_s / \delta_s). \end{aligned}$$

Application of (32), (36), (37), (43) and (44) yields

$$\begin{aligned} \dot{V} &\leq -\frac{1}{2} \Delta(Q_f) \|e_f\|^2 + \psi_{wf}^* \sigma_{wf} \|z_f\| + K_{W_f} \text{tr}(\|\tilde{W}_f\| \|\bar{W}_f - \|\tilde{W}_f\|^2) - \psi_{mf}^* \sigma_f^* z_f^T \tanh(z_f \sigma_f^* / \delta_f) \\ &\quad + \psi_{\varepsilon_f}^* \|z_f\| + K_{\psi_f} \tilde{\psi} (\psi_{mf}^* - \tilde{\psi}_f) - \frac{1}{2} \Delta(Q_s) \|E_s\|^2 + \psi_{ws}^* \sigma_{ws} \|z_s\| + K_{W_s} \text{tr}(\|\tilde{W}_s\| \|\bar{W}_s - \|\tilde{W}_s\|^2) \\ &\quad - \psi_{ms}^* \sigma_s^* z_s^T \tanh(z_s \sigma_s^* / \delta_s) + \psi_{\varepsilon_s}^* \|z_s\| + K_{\psi_s} \tilde{\psi}_s (\psi_{ms}^* - \tilde{\psi}_s) \\ &\leq -\frac{1}{2} \Delta(Q_f) \|e_f\|^2 + \sigma_f^* \psi_{mf}^* \|z_f\| - \psi_{mf}^* \sigma_f^* z_f^T \tanh(z_f \sigma_f^* / \delta_f) + K_{W_f} (\|\tilde{W}_f\| \|\bar{W}_f - \|\tilde{W}_f\|^2) \\ &\quad + K_{\psi_f} \left( \frac{1}{2} \psi_{mf}^{*2} - \frac{1}{2} \tilde{\psi}_f^2 \right) - \frac{1}{2} \Delta(Q_s) \|E_s\|^2 + \sigma_s^* \psi_{ms}^* \|z_s\| - \psi_{ms}^* \sigma_s^* z_s^T \tanh(z_s \sigma_s^* / \delta_s) \\ &\quad + K_{W_s} (\|\tilde{W}_s\| \|\bar{W}_s - \|\tilde{W}_s\|^2) + K_{\psi_s} \left( \frac{1}{2} \psi_{ms}^{*2} - \frac{1}{2} \tilde{\psi}_s^2 \right), \end{aligned} \quad (51)$$

where  $\underline{\lambda}(\cdot)$  denotes the matrix minimum eigenvalue. Employing Lemma 1 in [18] results in

$$\sigma_f^* \psi_{mf}^* \|z_f\| - \psi_{mf}^* \sigma_f^* z_f^T \tanh(z_f \sigma_f^* / \delta_f) \leq \psi_{mf}^* \xi \delta_f$$

and

$$\sigma_s^* \psi_{ms}^* \|z_s\| - \psi_{ms}^* \sigma_s^* z_s^T \tanh(z_s \sigma_s^* / \delta_s) \leq \psi_{ms}^* \xi \delta_s,$$

where  $\xi = 3 \times 0.2785$ . Therefore, (51) becomes

$$\begin{aligned} \dot{V} \leq & -\frac{1}{2} \underline{\lambda}(Q_f) \|e_f\|^2 + \psi_{mf}^* \xi \delta_f + K_{Wf} \left( \frac{1}{2} \bar{W}_f^2 - \frac{1}{2} \|\tilde{W}_f\|^2 \right) + \frac{1}{2} K_{\psi f} \psi_{mf}^{*2} - \frac{1}{2} K_{\psi f} \tilde{\psi}_f^2 \\ & - \frac{1}{2} \underline{\lambda}(Q_s) \|E_s\|^2 + \psi_{ms}^* \xi \delta_s + K_{Ws} \left( \frac{1}{2} \bar{W}_s^2 - \frac{1}{2} \|\tilde{W}_s\|^2 \right) + \frac{1}{2} K_{\psi s} \psi_{ms}^{*2} - \frac{1}{2} K_{\psi s} \tilde{\psi}_s^2. \end{aligned} \quad (52)$$

Define  $C_f = 2\psi_{mf}^* \xi \delta_f + K_{Wf} \bar{W}_f^2 + K_{\psi f} \psi_{mf}^{*2} > 0$  and  $C_s = 2\psi_{ms}^* \xi \delta_s + K_{Ws} \bar{W}_s^2 + K_{\psi s} \psi_{ms}^{*2} > 0$ . Then (52) satisfies

$$\begin{aligned} \dot{V} \leq & -\frac{1}{2} \underline{\lambda}(Q_f) \|e_f\|^2 - \frac{1}{2} K_{Wf} \|\tilde{W}_f\|^2 - \frac{1}{2} K_{\psi f} \tilde{\psi}_f^2 + \frac{1}{2} C_f - \frac{1}{2} \underline{\lambda}(Q_s) \|E_s\|^2 \\ & - \frac{1}{2} K_{Ws} \|\tilde{W}_s\|^2 - \frac{1}{2} K_{\psi s} \tilde{\psi}_s^2 + \frac{1}{2} C_s. \end{aligned} \quad (53)$$

Thus,  $\dot{V}$  is negative when one of the following inequalities is satisfied,

$$\begin{aligned} \|e_f\| &> \sqrt{C_f / \underline{\lambda}(Q_f)} \text{ and } \|E_s\| > \sqrt{C_s / \underline{\lambda}(Q_s)}, \\ \|\tilde{W}_f\| &> \sqrt{C_f / K_{Wf}} \text{ and } \|\tilde{W}_s\| > \sqrt{C_s / K_{Ws}}, \\ |\tilde{\psi}_f| &> \sqrt{C_f / K_{\psi f}} \text{ and } |\tilde{\psi}_s| > \sqrt{C_s / K_{\psi s}}. \end{aligned} \quad (54)$$

Set  $c_1 = \min(\underline{\lambda}(Q_f) / \bar{\lambda}(P_f), K_{Wf} \underline{\lambda}(\Gamma_{wf}), K_{\psi f} \lambda_{\psi f}, \underline{\lambda}(Q_s) / \bar{\lambda}(P_s), K_{Ws} \underline{\lambda}(\Gamma_{ws}), K_{\psi s} \lambda_{\psi s})$  and  $c_2 = (C_s + C_f) / 2$ . Then (53) can be rewritten as

$$\begin{aligned} \dot{V} \leq & -\underline{\lambda}(Q_f) / \bar{\lambda}(P_f) \cdot \frac{1}{2} e_f^T P_f e_f - K_{Wf} \underline{\lambda}(\Gamma_{wf}) \cdot \frac{1}{2} \text{tr}(\tilde{W}_f^T \Gamma_{wf}^{-1} \tilde{W}_f) - K_{\psi f} \lambda_{\psi f} \cdot \frac{1}{2 \lambda_{\psi f}} \tilde{\psi}_f^2 \\ & - \underline{\lambda}(Q_s) / \bar{\lambda}(P_s) \cdot \frac{1}{2} E_s^T P_s E_s - K_{Ws} \underline{\lambda}(\Gamma_{ws}) \cdot \frac{1}{2} \text{tr}(\tilde{W}_s^T \Gamma_{ws}^{-1} \tilde{W}_s) - K_{\psi s} \lambda_{\psi s} \cdot \frac{1}{2 \lambda_{\psi s}} \tilde{\psi}_s^2 + c_2, \end{aligned}$$

where  $\bar{\lambda}(\cdot)$  is the maximum eigenvalue. Thus, it satisfies

$$\dot{V} \leq -c_1 V + c_2. \quad (55)$$

Hence, the errors including  $e_f$ ,  $\tilde{W}_f$ ,  $\tilde{\psi}_f$ ,  $E_s$ ,  $\tilde{W}_s$ , and  $\tilde{\psi}_s$  turn out to be uniformly ultimately bounded. The control law that minimizes the performance index (17) is given by (24), (25), (45) and (47).

**Remark 3.** The main design work lies in the online learning of the BRFLN and adaptive adjustment of the robust gain. In fact, the control algorithm is not limited to the NGPC. In this paper, the NGPC is utilized mainly because it is a highly efficient algorithm with good performance, which is crucial for the realization of attitudes control system. If some proper nonlinear control methods are combined with the BRFLN, we may apply it to the dynamic systems which don't satisfy the assumptions of NGPC.

### 5 Simulation results

To verify the control performance of the proposed method, we give an initial point during the NHV's cruise phase (altitude=52 km, velocity=3500 m/s). The flight height means the NHV is in the mesosphere.  $\alpha_0 = 1.0^\circ$ ,  $\beta_0 = 3.0^\circ$ ,  $\mu_0 = 2.5^\circ$  and  $p_0 = q_0 = r_0 = 0$  rad/s are the initial attitudes. The saturation limiting of  $\delta_e$ ,  $\delta_a$  and  $\delta_r$  is  $\pm 30^\circ$ , while  $\pm 15^\circ$  is the limiting of  $\delta_x$ ,  $\delta_y$  and  $\delta_z$ .

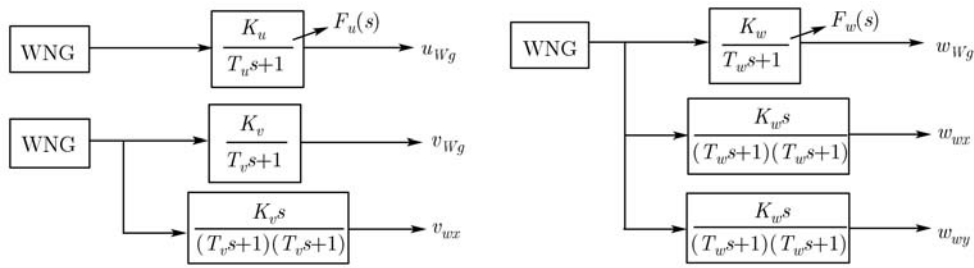


Figure 4 Structure of turbulence generator.

Table 1 Parameters of the BRFLN adaptive control

BRFLNC	Parameters
Fast-loop:	$\delta_f = 0.1, \Gamma_{Wf} = 0.35 \cdot I_{13 \times 13}, K_{Wf} = 0.1, \lambda_{\psi f} = 0.01, K_{\psi f} = 0.1$
Slow-loop:	$k_p = 6.5, k_d = 1.5, \delta_s = 0.9, \Gamma_{Ws} = 3.5 \cdot I_{13 \times 13}, K_{Ws} = 5.0, \lambda_{\psi s} = 0.1, K_{\psi s} = 1.0$

Firstly, suppose that there are +35%~+55% and -30%~ -50% triangle function uncertainty of the aerodynamic coefficients and aerodynamic moment coefficients respectively. Secondly, adding Dryden turbulence [8] to the average wind velocity can imitate the variable wind field, and it is the atmospheric disturbances exerted on the NHV in section 2. The white noise generator (WNG) enters into shaping filter whose output can simulate the velocity and the gradient of turbulence. We only consider  $w_{wx}, w_{wy}$  and  $v_{wx}$ , and other gradients are approximately zero. The turbulence generator is shown as Figure 4.

The transfer functions of shaping filters can be seen from [8, 14]. For example,

$$F_u(s) = \sqrt{\frac{2V\sigma_u^2}{L_u}} \frac{1}{s + V/L_u} \text{ and } F_w(s) = \sqrt{\frac{3V\sigma_w^2}{L_w}} \frac{s + V/(\sqrt{3}L_w)}{(s + V/L_w)^2}, \tag{56}$$

where  $F_u(s)$  is with longitudinal turbulence and  $F_w(s)$  is with vertical one. For the altitude here, the turbulence intensity of moderate turbulence  $\sigma_u = 6.22$  m/s and  $\sigma_w = 3.25$  m/s. Besides, the turbulence scale length  $L_u = 50100$  m and  $L_w = 5200$  m [8]. Then, there are variable wind field in the NHV model.

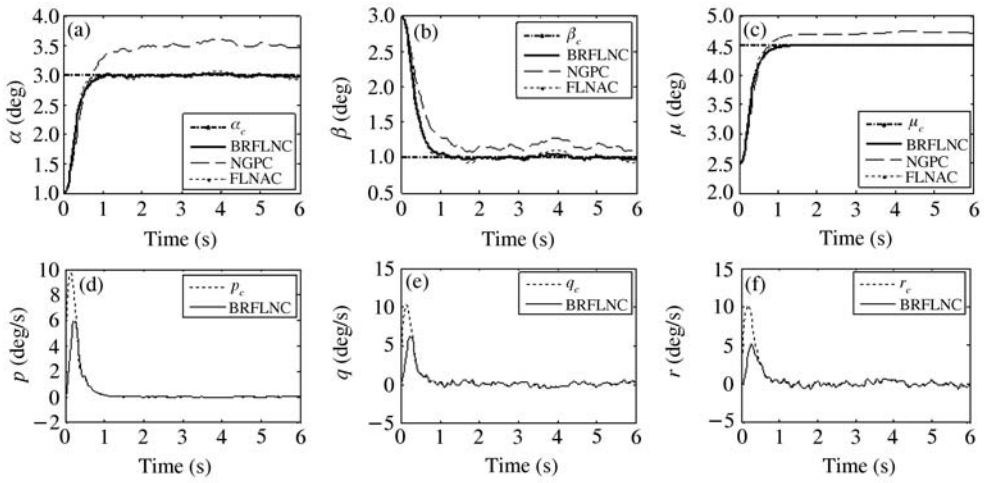
Finally, the disturbance moment upon (16) is defined by

$$\Delta M_C = 6.0 \times 10^5 (\cos(8t) + 0.1) \cdot \cos(7t), (\sin(5t) + 0.2), \cos(9t) \cdot \sin(6t))^T.$$

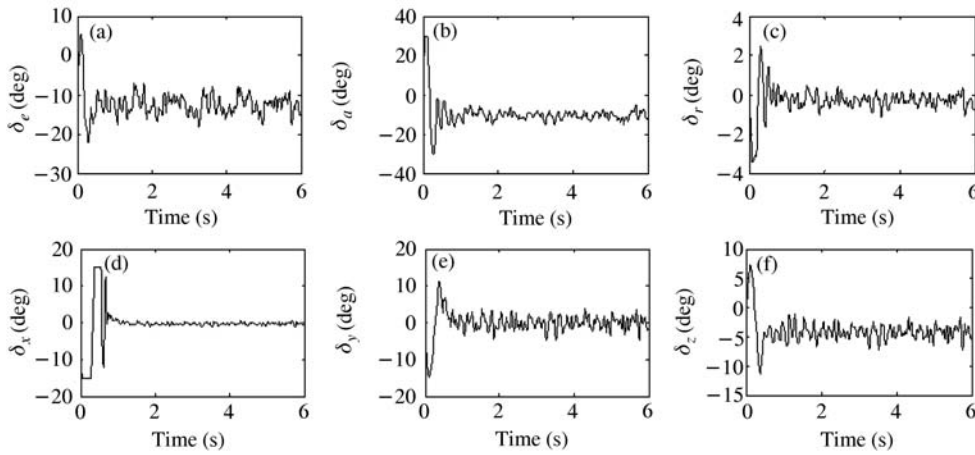
As a result, there exist dynamically changeable  $\Delta_s$  and  $\Delta_f$  in (15) and (16).

Figures 5–8 show the attitude control performance of the presented method. The reference outputs are  $\alpha_c = 3.0^\circ, \beta_c = 1.0^\circ,$  and  $\mu_c = 4.5^\circ$ . In the NGPC design,  $T_s$  is set at 0.35 s, and  $T_f = 0.3$  s. The PD+BRFLN adaptive NGPC is applied to the slow-loop system and the BRFLN adaptive NGPC is for the fast-loop system. The three input signals of the BRFLN are expanded into 10-dimension patterns by the third-order B-spline transformations. Initial values of the BRFLN weights and robust gain are set at zero. The sample instant is set at 0.02 s. The controller parameters designed are listed in Table 1.

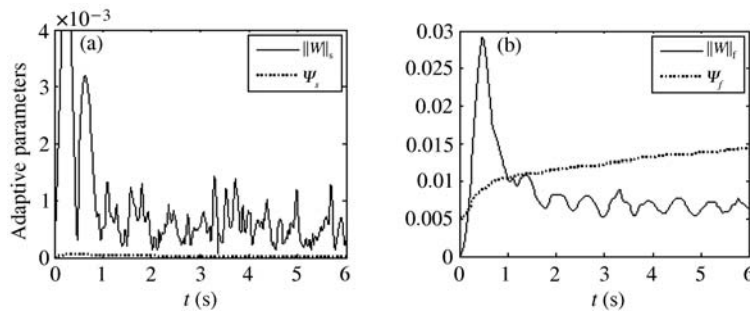
In Figure 5, the NGPC line denotes control performance of the nominal NGPC. The result shows that there exists large attitude error and the attitude outputs seriously vibrate during most of the simulation time. So the nominal NGPC law cannot satisfy precision and robustness requirements in the presence of large parameter uncertainties and atmospheric disturbances. The FLANC line represents the performance of FLN-based NGPC method. As this figure shows, the outputs still vibrate and the disturbances have not been fully suppressed by the FLN, which is attributed to its little power of learning dynamical nonlinear functions. However, the BRFLN-based NGPC attains a higher precision and better dynamic performance than the FLNAC. Besides, its settling time is within one second, which can satisfy the speediness requirement of the NHV attitude control. Figure 6 shows the control inputs of the NHV. Figure 7 shows changing trends of two adaptive parameters, and it reveals that the BRFLN weight tuning plays a principal role in learning disturbances. In Figure 8, we also provide the approximation performance of the slow-loop disturbances. The nice approximation effect is attained during the simulation time.



**Figure 5** Comparison of attitude control performance. (a) Angle of attack; (b) angle of sideslip; (c) angle of bank; (d) pitch angular rate; (e) roll angular rate; (f) yaw angular rate.

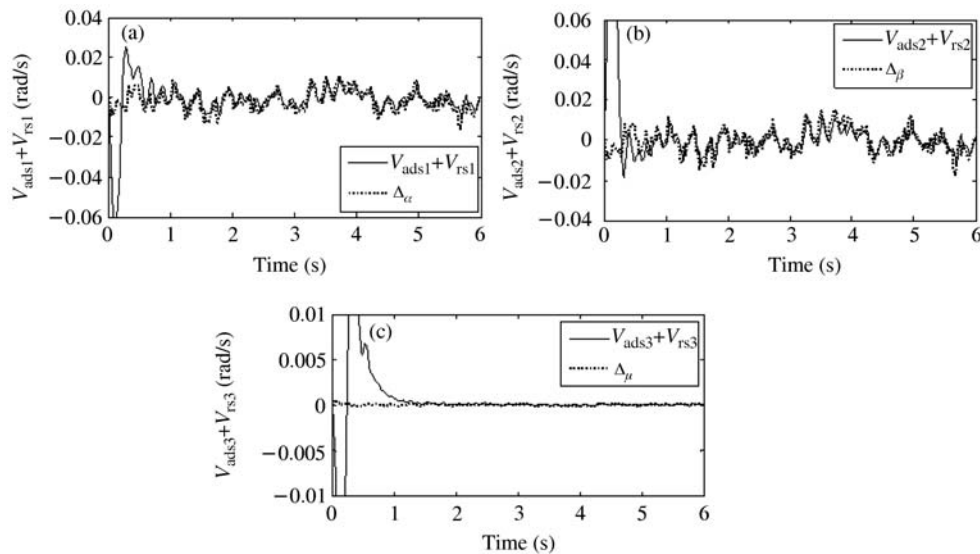


**Figure 6** Control surfaces of the NHV. (a) Left elevator; (b) right elevator; (c) rudder; (d)  $x$  thrust vector; (e)  $y$  thrust vector; (f)  $z$  thrust vector.



**Figure 7** Adaptive parameters of BRFLN-based NGPC. (a) Slow-loop system; (b) fast-loop system.

**Remark 4.** The NGPC is an efficient predictive control method which does not need the online computing. The BRFLN is a new RNN with simple structure and strong capability of approximating dynamical nonlinear functions. Hence, the combination of the NGPC and the BRFLN can find a compromise between speediness and effectiveness. This method does not require the knowledge about the bounds of uncertainties. The learning process does not need any offline training phase and the initial weights can be selected at random.



**Figure 8** Approximation performance of the slow-loop disturbances. (a)  $\alpha$  channel; (b)  $\beta$  channel; (c)  $\mu$  channel.

## 6 Conclusions

In this research, we deduces 12 states nonlinear equations of an NHV subjected to variable wind field, so the NHV model is further improved by our work. Aiming at boosting control performance of the NHV with parameter uncertainties and atmospheric disturbances, we propose the BRFLN-based adaptive NGPC method for the attitude control system. Since an NHV in the mesosphere is sensitive to atmospheric disturbances, the BRFLN is designed to approximate fast-loop disturbances, and PD correction BRFLN is utilized to learn slow-loop disturbances. The BRFLN online adaptive law is combined with the NGPC design, and a robust controller with adaptive gain is employed to compensate for the approximation error. Simulation results demonstrate the effectiveness and superiority of the proposed method for the NHV with parameter uncertainties and atmospheric disturbances.

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