

# Holomorphic map with unipotent Jacobian matrices in $C^3$

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**Abstract** We prove that the holomorphic unipotent Jacobian conjecture is valid when  $n = 3$ .

**Keywords:** Jacobian conjecture, holomorphic unipotent map, analytic variety.

The Jacobian conjecture seems to have been first formulated by O.H. Keller in 1939. Aside from the trivial case  $n = 1$ , it remains an open problem for all  $n \geq 2$ .

In 1982, Bass et al.<sup>[1]</sup> proved the reduction theorem; i.e. if for all  $n$  and all  $F \in MA_n(k)$  of the form  $F = X + N$  with  $N$  cubic homogeneous and  $J(N)$  nilpotent  $F$  is invertible, for all  $F \in MA_n(k)$  with  $J(F)$  invertible,  $F$  is invertible. And they have also proved that for all  $n$  and all  $F \in MA_n(k)$  of the form  $F = X + N$  with  $N$  homogeneous and  $(J(N))^2 = 0$ ,  $F$  is invertible.

In 1999, Chen<sup>[2]</sup> formulated the holomorphic unipotent Jacobian conjecture; i.e. if  $H : C^n \rightarrow C^n$  is a holomorphic map with  $(JH(z))^2 = 0$ , then  $Z + H$  is invertible, and he proved that it is valid in the case  $n = 2$ . In this paper, we give a proof of the Unipotent Jacobian Conjecture for holomorphic map in the case  $n = 3$ .

## 1 Preliminaries<sup>[3–6]</sup>

We start this section with Theorem A<sup>[2]</sup> that can be proved directing by the Taylor expansion.

**Theorem A.** Let  $H : C^n \rightarrow C^n$  be a holomorphic map. Then the following statements are equivalent:

- (i)  $(JH(z))^2 = 0$  for all  $z \in C^n$ ;
- (ii)  $H(z + JH(z)z') = H(z)$  for all  $z, z' \in C^n$ ;
- (iii)  $JH(z + JH(z)z')JH(z) = 0$  for all  $z, z' \in C^n$ .

**Proposition 1.** Let  $A$  be an  $n \times n$  matrix and  $A^2 = 0$ . Then  $\text{rank} A \leq [n/2]$ .

**Proof.** We use the reduction to absurdity. Suppose  $\text{rank} A = m > [n/2]$ . Then there are invertible matrices  $P, Q$  such that

$$A = P \cdot \begin{pmatrix} I_{m \times m} & 0 \\ 0 & 0 \end{pmatrix} \cdot Q, \quad (1)$$

where  $A^2 = 0$ . Hence

$$0 = A^2$$

$$= P \cdot \begin{pmatrix} I_{m \times m} & 0 \\ 0 & 0 \end{pmatrix} \cdot Q \cdot P \cdot \begin{pmatrix} I_{m \times m} & 0 \\ 0 & 0 \end{pmatrix} \cdot Q.$$

Set

$$R = \begin{pmatrix} r_{11} & \cdots & r_{1n} \\ \cdots & \cdots & \cdots \\ r_{n1} & \cdots & r_{nn} \end{pmatrix} \equiv Q \cdot P \cdot \begin{pmatrix} I_{m \times m} & 0 \\ 0 & 0 \end{pmatrix}.$$

It is obvious that  $\text{rank} R = m$  and

$$\begin{pmatrix} I_{m \times m} & 0 \\ 0 & 0 \end{pmatrix} \cdot R = 0. \quad (2)$$

By eq. (2), we have  $r_{ij} = 0, 1 \leq i \leq m$ . This contradicts  $m > [n/2]$ , so that  $\text{rank} A \leq [n/2]$ .

**Proposition 2.** Let  $H : C^n \rightarrow C^n$  be a holomorphic map with  $(JH(z))^2 = 0$ ;  $G = A \cdot z^T + \gamma^T$ , where  $\gamma = (\gamma_1, \dots, \gamma_n)$  is a constant vector and  $A$  is an invertible matrix. Let  $N := G \circ H \circ G^{-1}$ . Then

(i)  $(JN(z))^2 = 0$ ,

(ii)  $Z + H$  is invertible if and only if  $Z + N$  is invertible.

**Proof.** (i)  $(JN(z))^2 = (A \cdot JH(G^{-1}(z)) \cdot A^{-1})^2$   
 $= A \cdot (JH(G^{-1}(z)))^2 \cdot A^{-1} = 0.$

(ii) Suppose  $Z + H$  is invertible. Let  $F^{-1}$  be the invertible map of  $Z + H$ . Then  $F^{-1} \circ (Z + H) = Z$ . Therefore

$$Z = F^{-1} \circ G^{-1} \circ G \circ (Z + H) \circ G^{-1} \circ G = F^{-1} \circ G^{-1} \circ (Z + N) \circ G.$$

Then

$$G \circ F^{-1} \circ G^{-1} \circ (Z + N) = Z.$$

**Proposition 3.** Let  $H : C^n \rightarrow C^n$  be a holomorphic map,  $S := \{z \in C^3 | JH(z) = 0\}$ . Then  $H$  is a constant on every connected component of  $S$ .

**Proof.** Without losing generality, we assume  $S$  is connected.  $S = \cup_{\alpha \in I} S_\alpha$ , where every  $S_\alpha$  is the irreducible component of  $S$ , then  $S_\alpha = R(S_\alpha) \cup S(S_\alpha)$ , where  $R(S_\alpha)$  is the regular points set of  $S_\alpha$ ,  $S(S_\alpha)$  is the singular points set of  $S_\alpha$ . Because  $R(S_\alpha)$  is a complex manifold and  $JH(z) = 0$ ,  $H$  is a constant on  $R(S_\alpha)$ . By the continuity,  $H$  is a constant on  $S_\alpha$ . Since  $S$  is connected,  $H$  is a constant on  $S$ .

## 2 Main result

Suppose  $JH(z) \equiv 0$ . Then  $Z + H$  is a translation. Evidently,  $Z + H$  is invertible. So we only need to prove the case for  $JH(z) \not\equiv 0$ .

Let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . If  $JH(z_0) \neq 0, z_0 \in C^3$ , without losing generality, we assume

$$(D_1 H_1(z_0), D_1 H_2(z_0), D_1 H_3(z_0)) \neq 0.$$

By Proposition 1, we have  $\text{rank} JH(z_0) = 1$ , so there are  $\lambda_1, \lambda_2 \in C$  such that

$$(D_2H_1(z_0), D_2H_2(z_0), D_2H_3(z_0)) = \lambda_1 \cdot (D_1H_1(z_0), D_1H_2(z_0), D_1H_3(z_0)),$$

$$(D_3H_1(z_0), D_3H_2(z_0), D_3H_3(z_0)) = \lambda_2 \cdot (D_1H_1(z_0), D_1H_2(z_0), D_1H_3(z_0)).$$

Therefore

$$z_0^T + JH(z_0)(z')^T = z_0^T + (z'_1 + \lambda_1 z'_2 + \lambda_2 z'_3)(D_1H_1(z_0), D_1H_2(z_0), D_1H_3(z_0))^T,$$

where  $z' \in C^3$  must be a complex line through the point  $z_0$ .

**Lemma 1.** Let  $H : C^n \rightarrow C^n$  be a holomorphic map with  $(JH(z))^2 = 0$ . Suppose holomorphic unipotent Jacobian conjecture is valid in  $C^{n-1}$ , and there exists a vector  $(a_1, a_2, \dots, a_n) \neq 0$  such that  $(a_1, a_2, \dots, a_n) \cdot JH(z) = 0 \ \forall z \in C^n$ . Then  $Z + H$  is invertible.

**Proof.** Let  $G(z) = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-11} & a_{n-12} & \cdots & a_{n-1n} \\ a_1 & a_2 & \cdots & a_n \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{pmatrix},$

where

$$\begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-11} & a_{n-12} & \cdots & a_{n-1n} \\ a_1 & a_2 & \cdots & a_n \end{pmatrix}$$

is an invertible matrix.

Let  $M := (M_1, M_2, \dots, M_n) = G \circ H \circ G^{-1}$ . Then

$$JM(z) = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-11} & a_{n-12} & \cdots & a_{n-1n} \\ a_1 & a_2 & \cdots & a_n \end{pmatrix} \cdot JH(G^{-1}(z)) \cdot \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-11} & a_{n-12} & \cdots & a_{n-1n} \\ a_1 & a_2 & \cdots & a_n \end{pmatrix}^{-1}.$$

Obviously, we have  $(JM(z))^2 = 0$ .

On the other hand,  $(a_1, a_2, \dots, a_n) \cdot JH(z) = 0 \ \forall z \in C^n$ . So  $M_n$  must be a constant. We define  $T = (T_1, \dots, T_n) := G' \circ M \circ (G')^{-1}$  where  $G'$  is a translation map on  $C^n$

$$G'(z_1, z_2, \dots, z_n) = (z_1, z_2, \dots, z_n - M_n),$$

then

$$(JT(z))^2 = (JM((G')^{-1}(z)))^2 = 0,$$

and

$$T_n = 0.$$

By Proposition 2,  $Z + H$  is invertible if and only if  $Z + T$  is invertible. So it is enough to prove  $Z + T$  is invertible. Set  $z_n = c$  and  $z' = (z_1, z_2, \dots, z_{n-1})$ . Let  $T' = (T_1(z', c), T_2(z', c), \dots, T_{n-1}(z', c))$ . It is obvious that  $T'$  is a holomorphic map and

$$(JT(z', c))^2 = \begin{pmatrix} D_1 T_1(z', c) & D_2 T_1(z', c) & \cdots & D_n T_1(z', c) \\ \vdots & \vdots & \ddots & \vdots \\ D_1 T_{n-1}(z', c) & D_2 T_{n-1}(z', c) & \cdots & D_n T_{n-1}(z', c) \\ 0 & 0 & \cdots & 0 \end{pmatrix}^2$$

$$= \begin{pmatrix} \begin{pmatrix} D_1 T_1(z', c) & \cdots & D_{n-1} T_1(z', c) \\ \vdots & \ddots & \vdots \\ D_1 T_{n-1}(z', c) & \cdots & D_{n-1} T_{n-1}(z', c) \\ 0 & \cdots & 0 \end{pmatrix}^2 & * \\ \vdots & \\ 0 & \end{pmatrix} = \begin{pmatrix} (JT'(z', c))^2 & * \\ 0 & \cdots & 0 & 0 \end{pmatrix}.$$

From  $(JT'(z', c))^2 = 0$  and that the holomorphic unipotent Jacobian conjecture holds in  $C^{n-1}$ , there exists a holomorphic map  $N'(z', c) = (N'_1(z', c), \dots, N'_{n-1}(z', c))$  such that

$$N'(z', c) \circ (Z' + T') = Z',$$

i.e.

$$N'_i(z_1 + T_1(z', c), z_2 + T_2(z', c), \dots, z_{n-1} + T_{n-1}(z', c), c) = z_i; \quad i = 1, 2, \dots, n-1.$$

Let

$$N(z', z_n) = (N'_1(z', z_n), \dots, N'_{n-1}(z', z_n), z_n) : C^n \rightarrow C^n.$$

We have

$$N'_i(z_1 + T_1(z', z_n), z_2 + T_2(z', z_n), \dots, z_{n-1} + T_{n-1}(z', z_n), z_n) = z_i; \quad i = 1, 2, \dots, n-1,$$

i.e.

$$N \circ (Z + T) = Z. \quad (3)$$

Next, we will prove that  $N(z)$  is a holomorphic map. Let  $z_0 \in C^n$ . Since,  $\det(I + JT(z_0)) \neq 0$ , there exists an open neighbourhood  $U(z_0)$  of  $z_0$  such that  $(Z + T)|_{U(z_0)}$  is biholomorphic. By eq. (3),  $N(z)$  is holomorphic at  $z_0$ , so that  $N(z)$  is a holomorphic map.

According to the identity theorem of several complex variables, if there exists a nonempty open set  $U \subset C^n$  such that  $(a_1, a_2, \dots, a_n)JH(z) = 0; \forall z \in U$ , then

$$(a_1, a_2, \dots, a_n)JH(z) = 0; \quad \forall z \in C^n.$$

Hence, if we can prove that a holomorphic map  $H : C^3 \rightarrow C^3$  with  $(JH(z))^2 = 0$  there exists  $a = (a_1, a_2, a_3) \neq 0$  and a nonempty open set  $U \subset C^3$  such that  $(a_1, a_2, a_3)JH(z) = 0; \forall z \in U$ , then  $Z + H$  is invertible.

Now we use  $L_z$  to denote the complex line  $z^T + JH(z)(\omega)^T$ ,  $\omega \in C^3$ , and  $V(z)$  the direction vector of the complex line  $L_z$ , in fact  $V(z)$  is the column vector of  $JH(z)$ .

**Definition 1.**  $z \in C^3 \setminus S$ . The complex line  $L_z$  has the property (P), if  $V(y) = \lambda(y)V(z)$ ,  $\lambda(y) \in C, \forall y \in L_z$ .

**Lemma 2.** Let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . Sequence  $\{z_n\}_{n \in \mathbb{N}} \subset C^3 \setminus S$  and  $z \in C^3 \setminus S$ ,  $\lim_{n \rightarrow \infty} z_n = z$ . If all  $L_{z_n}$  have the property (P), then  $L_z$  has the property (P).

**Proof.** Since  $L_{z_n}$  has the property (P), there exist  $\alpha_n, \beta_n \in C^3$ ,  $|\alpha_n| = |\beta_n| = 1, \alpha_n \cdot \bar{\beta}_n = 0$  such that  $\alpha_n \cdot V(y) = \beta_n \cdot V(y) = 0, \forall y \in L_{z_n}$ , where the point operator is Euclidean inner product. We can choose the subsequence  $\{\alpha_{n_i}\}$  and  $\{\beta_{n_i}\}$  of  $\{\alpha_n\}_{n \in \mathbb{N}}$  and  $\{\beta_n\}_{n \in \mathbb{N}}$  respectively, such that  $\lim_{n_i \rightarrow \infty} \alpha_{n_i} = \alpha, \lim_{n_i \rightarrow \infty} \beta_{n_i} = \beta$  then

$$\alpha \cdot \bar{\beta} = 0, \quad |\alpha| = |\beta| = 1,$$

$\forall y \in L_z$ , since  $\lim_{n_i \rightarrow \infty} z_{n_i} = z$ , there exists  $y_{n_i} \in L_{z_{n_i}}$ , such that  $\lim_{n_i \rightarrow \infty} y_{n_i} = y$  and

$$\alpha \cdot V(y) = \lim_{n_i \rightarrow \infty} \alpha_{n_i} \cdot V(y_{n_i}) = 0, \quad \beta \cdot V(y) = \lim_{n_i \rightarrow \infty} \beta_{n_i} \cdot V(y_{n_i}) = 0.$$

Therefore,  $L_z$  has the (P) property.

**Theorem 1.** Let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . If there exists  $z_0 \in C^3 \setminus S$ , such that  $L_{z_0}$  does not have property (P), then  $Z + H$  is invertible.

**Proof.** Let  $\alpha, \beta \in C^3$ ,  $|\alpha| = |\beta| = 1, \alpha \cdot \bar{\beta} = 0$ , such that  $\alpha \cdot V(z_0) = \beta \cdot V(z_0) = 0$ . Since  $\alpha \cdot V(y)$  and  $\beta \cdot V(y)$ ,  $y \in L_{z_0}$  is a holomorphic function on  $L_{z_0}$ .

$$T = \{y \in L_{z_0} \mid \alpha \cdot V(y) = \beta \cdot V(y) = 0\}$$

is a discrete set of  $L_{z_0}$ . Hence there exists  $y_0 \in L_{z_0} \setminus T$  such that complex line  $L_{y_0}$  does not have the property (P). Otherwise, by Lemma 2,  $L_{z_0}$  possesses the property (P), which contradicts the assumption that  $L_{z_0}$  does not have property (P).

Now we choose a disk  $D(y, \varepsilon)$  on  $L_{z_0}$ , such that  $JH(y) \neq 0; \forall y \in D(y_0, \varepsilon)$ . So we can get a complex line set  $M_\varepsilon = \{L_y \mid y \in D(y_0, \varepsilon)\}$ . By Theorem A,  $H|_{M_\varepsilon}$  is a constant map. Let  $M$  be the complex plane spanned by  $L_{y_0}$  and  $L_{z_0}$ .

1) If there exists  $\varepsilon > 0$  such that  $M_\varepsilon \subset M$ , obviously,  $M_\varepsilon$  includes a nonempty open set of  $M$ , by the identity theorem,  $H$  restricted on  $M$  is a constant map.

Noting  $(a_1, a_2, a_3) \neq 0$  is the normal vector of complex plane  $M$ ,  $(a_1, a_2, a_3)JH(z_0) = (a_1, a_2, a_3)JH(y_0) = 0$ .

Next, we will prove that there are

$$(a_1, a_2, a_3)JH(z) = 0, \quad \forall z \in C^3. \quad (4)$$

If there exists  $\omega \in C^3 \setminus S$  such that  $(a_1, a_2, a_3)JH(\omega) \neq 0$ , then complex line  $L_\omega$  must be intersected with complex plane  $M$ . By the continuity, we can get an open neighbourhood  $U$  of  $\omega$  such that  $JH(z) \neq 0; \forall z \in U$  and  $L_z$  intersects with  $M$  for all  $z \in U$ . Theorem A shows that  $H$  restricted

on  $U$  is a constant map. Hence  $H$  must be a constant map, so it contradicts the assumption  $JH(z) \neq 0$ . By Lemma 1 and (4),  $Z + H$  is invertible.

2) If  $M_\varepsilon \not\subset M$  for all  $\varepsilon > 0$ , then  $L_{z_0}$  only intersects with  $M_\varepsilon$  at point  $y_0$ , so that  $L_{z_0}$  is transverse to  $M_\varepsilon$ . Thus we can get a little open neighborhood  $U(z_0) \subset C^3 \setminus S$  of  $z_0$ , and construct a complex line set

$$\xi = \{L_z \mid z \in U(z_0)\},$$

such that every complex line in  $\xi$  intersects with  $M_\varepsilon$ . Thus  $H$  restricted on  $U(z_0)$  is a constant map. So that  $JH(z) \equiv 0$  on  $U(z_0)$ , by the identity theorem of several complex variables,  $JH(z) \equiv 0$  on  $C^3$ , it contradicts the assumption  $JH(z) \neq 0$ . Therefore, there exists  $\varepsilon > 0$  such that  $M_\varepsilon \subset M$ .

According to the proof of Theorem 1, let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . If for some  $z_0 \in C^3 \setminus S$ ,  $L_{z_0}$  does not have property (P),  $Z + H$  is invertible. So we only need to consider the situation of all complex lines  $L_z$  with the property (P).

**Theorem 2.** Let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . If  $L_z$  has property (P) for all  $z \in C^3 \setminus S$  then for any  $z \in C^3 \setminus S$ ,  $L_z \cap S = \emptyset$ .

**Proof.** Suppose there is a point  $z \in C^3 \setminus S$  and some  $z_0 \in L_z$  such that  $JH(z_0) = 0$ . Since  $JH(z) \neq 0$ , without losing generality, we can assume  $(D_1H_1(z), D_1H_2(z), D_1H_3(z)) \neq 0$ . Then we have

$$JH(z) = \begin{pmatrix} D_1H_1(z) \\ D_1H_2(z) \\ D_1H_3(z) \end{pmatrix} \begin{pmatrix} 1 & \lambda_2(z) & \lambda_3(z) \end{pmatrix}; \quad \lambda_2(z), \lambda_3(z) \in C,$$

and

$$0 = (JH(z))^2 = (D_1H_1(z) + \lambda_2(z) \cdot D_1H_2(z) + \lambda_3(z) \cdot D_1H_3(z)) \cdot JH(z).$$

Hence

$$D_1H_1(z) + \lambda_2(z) \cdot D_1H_2(z) + \lambda_3(z) \cdot D_1H_3(z) = 0. \quad (5)$$

From  $(D_1H_1(z), D_1H_2(z), D_1H_3(z)) \neq 0$  and (5), we have

$$(D_1H_2(z), D_1H_3(z)) \neq 0,$$

so there must exist  $a, b \in C$  such that

$$aD_1H_2(z) + bD_1H_3(z) \neq 0.$$

By the continuity, we can get an open neighborhood  $U(z)$  of  $z$  such that

$$aD_1H_2(y) + bD_1H_3(y) \neq 0; \quad \forall y \in U(z).$$

Consider the holomorphic function

$$g(y) = aD_1H_2(y) + bD_1H_3(y); \quad y \in C^3.$$

By the Weierstrass preparation theorem and  $g(z_0) = 0$ ,  $S_1 = \{y \in C^3 \mid g(y) = 0\}$  is an analytic variety of complex 2 dimension. Since  $aD_1H_2(z) + bD_1H_3(z) \neq 0$ ,  $y_0$  is the isolated zero point of

the holomorphic function  $g(y)$  restricted on  $L_z$ . Now we get an open neighborhood  $U(y_0)$  of  $y_0$  in  $C^3$  such that  $S \cap U(y_0)$  is connected and  $U(y_0) \cap S_1 \cap L_z = \{y_0\}$ . By the continuity, we can choose a little open neighborhood  $U'(z) \subset U(z)$  such that complex line  $L_y \cap S_1 \cap U(y_0)$  is nonempty for all  $y \in U'(z)$ . If  $x_0$  is the intersect point of  $L_y$  and  $S_1 \cap U(y_0)$ , since  $L_y$  has the property (P), we have

$$(D_1H_1(x_0), D_1H_2(x_0), D_1H_3(x_0)) = \lambda(D_1H_1(y), D_1H_2(y), D_1H_3(y)); \quad \lambda \in C.$$

Since  $x_0 \in S_1$

$$0 = aD_1H_2(x_0) + bD_1H_3(x_0) = \lambda(aD_1H_2(y) + bD_1H_3(y)),$$

but  $aD_1H_2(y) + bD_1H_3(y) \neq 0$ , so that  $\lambda = 0$ , i.e.

$$D_1H_1(x_0) = D_1H_2(x_0) = D_1H_3(x_0) = 0.$$

Hence  $x_0 \in S \cap U(y_0)$ . By Proposition 3 and Theorem A,  $H$  is a constant map on  $\{L_y \mid y \in U'(z)\}$ . Since  $U'(z)$  is an open set of  $C^3$ , by the identity,  $H$  is a constant map. This contradicts the assumption  $JH(z) \neq 0$  on  $C^3$ , so  $L_z \cap S = \emptyset$ .

**Theorem 3.** Let  $H : C^3 \rightarrow C^3$  be a holomorphic map with  $(JH(z))^2 = 0$ . Then  $Z + H$  is invertible.

**Proof.** By Theorems 1 and 2, we can assume that  $L_z$  has the property (P) and  $L_z \cap S = \emptyset$  for all  $z \in C^3 \setminus S$ .

For any point  $z^{(1)} = (z_1^{(1)}, z_2^{(1)}, z_3^{(1)}) \in C^3 \setminus S$ , we can assume  $D_1H_3(z^{(1)}) \neq 0$ . Considering the following holomorphic function on complex plane  $z_3 = z_3^{(1)}$ ,

$$\begin{aligned} f(z_1, z_2) = & (z_1 - z_1^{(1)})(D_1H_2(z_1, z_2, z_3^{(1)})D_1H_3(z^{(1)}) - D_1H_2(z^{(1)})D_1H_3(z_1, z_2, z_3^{(1)})) \\ & - (z_2 - z_2^{(1)})(D_1H_1(z_1, z_2, z_3^{(1)})D_1H_3(z^{(1)}) - D_1H_1(z^{(1)})D_1H_3(z_1, z_2, z_3^{(1)})), \end{aligned}$$

since  $f(z_1^{(1)}, z_2^{(1)}) = 0$ ,  $S_{z^{(1)}} = \{(z_1, z_2, z_3^{(1)}) \in C^3 \mid f(z_1, z_2) = 0\}$  is a complex analytic variety of complex 1 dimension on complex plane  $z_3 = z_3^{(1)}$ . If there is some point  $y = (y_1, y_2, z_3^{(1)}) \in S_{z^{(1)}}$  such that

$$D_1H_1(y_1, y_2, z_3^{(1)})D_1H_3(z^{(1)}) - D_1H_1(z^{(1)})D_1H_3(y_1, y_2, z_3^{(1)}) \neq 0, \quad (6)$$

or

$$D_1H_2(y_1, y_2, z_3^{(1)})D_1H_3(z^{(1)}) - D_1H_2(z^{(1)})D_1H_3(y_1, y_2, z_3^{(1)}) \neq 0, \quad (7)$$

then complex line  $L_{z^{(1)}}$  and  $L_y$  must meet at the point

$$(y_1 + \varphi(y)D_1H_1(y), y_2 + \varphi(y)D_1H_2(y), z_3^{(1)} + \varphi(y)D_1H_3(y))$$

or

$$(y_1 + \psi(y)D_1H_1(y), y_2 + \psi(y)D_1H_2(y), z_3^{(1)} + \psi(y)D_1H_3(y)),$$

where

$$\varphi(y) = \frac{(z_1^{(1)} - y_1) \cdot D_1H_3(z^{(1)})}{D_1H_1(y)D_1H_3(z^{(1)}) - D_1H_1(z^{(1)})D_1H_3(y)},$$

$$\psi(y) = \frac{(z_2^{(1)} - y_2) \cdot D_1 H_3(z^{(1)})}{D_1 H_2(y) D_1 H_3(z^{(1)}) - D_1 H_2(z^{(1)}) D_1 H_3(y)}.$$

Therefore, at least one of  $L_y$  and  $L_z^{(1)}$  does not have the property (P). By Theorem 1,  $Z + H$  is invertible.

So we only need to discuss the following case where

$$D_1 H_1(y_1, y_2, z_3^{(1)}) D_1 H_3(z^{(1)}) - D_1 H_1(z^{(1)}) D_1 H_3(y_1, y_2, z_3^{(1)}) = 0, \quad (8)$$

and

$$D_1 H_2(y_1, y_2, z_3^{(1)}) D_1 H_3(z^{(1)}) - D_1 H_2(z^{(1)}) D_1 H_3(y_1, y_2, z_3^{(1)}) = 0 \quad (9)$$

are both valid for any  $y = (y_1, y_2, z_3^{(1)}) \in S_z^{(1)}$ , which implies the complex line  $L_y$  is parallel to  $L_z^{(1)}$  for any  $y = (y_1, y_2, z_3^{(1)}) \in S_z^{(1)} \cap C^3 \setminus S$ . We set

$$N_z^{(1)} = \{L_{(y_1, y_2, z_3^{(1)})} \mid (y_1, y_2, z_3^{(1)}) \in S_z^{(1)}\},$$

where  $N_z^{(1)}$  is a complex ruled surface. Now we choose a ball  $B(z^{(1)}, \delta) \subset C^3 \setminus S$ , such that  $D_1 H_3(z) \neq 0$  for any  $z \in B(z^{(1)}, \delta)$ . Then, for every point  $z \in B(z^{(1)}, \delta)$ , we can construct a similar complex analytic variety  $S_z$  and complex ruled surfaces  $N_z$ .

If there exist  $z^{(2)}, z^{(3)} \in B(z^{(1)}, \delta)$  such that  $V(z^{(1)})$ ,  $V(z^{(2)})$  and  $V(z^{(3)})$  are linearly independent, among the complex ruled surfaces  $N_z^{(1)}$ ,  $N_z^{(2)}$  and  $N_z^{(3)}$ , at least two of them meet at some point, so that, we can find a point  $z \in C^3 \setminus S$  such that  $L_z$  does not have the property (P). Then by Theorem 1,  $Z + H$  is invertible; otherwise, we can find a vector  $(a_1, a_2, a_3) \neq 0$  such that

$$(a_1, a_2, a_3) JH(z) = 0, \quad \forall z \in B(z^{(1)}, \delta).$$

By Lemma 1,  $Z + H$  is invertible.

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## References

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