

Spanning 3-ended trees in k -connected $K_{1,4}$ -free graphs

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Abstract A tree with at most m leaves is called an m -ended tree. Kyaw proved that every connected $K_{1,4}$ -free graph with $\sigma_4(G) \geq n-1$ contains a spanning 3-ended tree. In this paper we obtain a result for k -connected $K_{1,4}$ -free graphs with $k \geq 2$. Let G be a k -connected $K_{1,4}$ -free graph of order n with $k \geq 2$. If $\sigma_{k+3}(G) \geq n+2k-2$, then G contains a spanning 3-ended tree.

Keywords spanning tree, degree sum, insertible vertex, segment insertion

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1 Introduction

Let G be a graph with vertex set $V(G)$ and edge set $E(G)$. For a set X , the cardinality of X is denoted by $|X|$. Given a vertex v in a graph G , we let $N_G(v)$ denote the set of neighbors of v in G and let $d_G(v)$ denote $|N_G(v)|$, the degree of v in G . For simplicity, we will write $N(v)$ and $d(v)$ instead of $N_G(v)$ and $d_G(v)$. Let H and K be subgraphs of G , we denote the neighbourhood of H in G by $N(H)$ and define $N_K(H) = N(H) \cap V(K)$. $G[K]$ is the subgraph induced by $V(K)$ in G . We write $G - K$ instead of $G - V(K)$. Let $\omega(G)$ denote the number of components of G . A subset $U \subseteq V(G)$ is called an independent set of G if no two vertices of U are adjacent in G . We define $\sigma_k(G) = \min \{d(v_1) + \dots + d(v_k) \mid \{v_1, \dots, v_k\} \text{ is an independent set in } G\}$. Clearly, $\sigma_1(G) = \delta(G)$ is the minimum degree of G . A $K_{1,r}$ -free graph is a graph without an induced $K_{1,r}$ subgraph.

Let $P[a, b]$ denote a path connecting a and b in G and define the orientation from a to b the positive direction of P . Given a vertex x on P , we let x^+ denote the successor of x and x^- denote the predecessor of x if they are well-defined. We let $x \overrightarrow{P} y$ denote the subpath from x to y and $y \overleftarrow{P} x$ denote the subpath from y to x on P . Let i and j be nonnegative integers such that $i < j$, and we denote the integers from i to j by $[i, j]$. For further explanation of terminologies and notation, we refer to [3].

A tree with at most m leaves is called an m -ended tree. There are several well-known conditions ensuring that a graph G contains a spanning m -ended tree. Win [11] obtained a sufficient condition related to independent number for k -connected graph that confirms a conjecture of Las Vergnas. Broersma and Tuinstra [1] gave a degree sum condition for a spanning m -ended tree.

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Theorem 1.1 (See [11]). *Let $m \geq 2$ and let G be a k -connected graph. If $\alpha(G) \leq k + m - 1$, then G has a spanning m -ended tree.*

Theorem 1.2 (See [1]). *Let $m \geq 2$ and let G be a connected graph of order $n \geq 2$. If $\sigma_2(G) \geq n - m + 1$, then G has a spanning m -ended tree.*

On the other hand, Flandrin et al. [4] obtained a neighborhood union condition for a spanning m -ended tree. We denote the minimum order of the neighborhoods of an independent set of order m by $N_m(G)$.

Theorem 1.3 (See [4]). *Let $m \geq 2$ and let G be a connected graph of order n . If $N_m(G) > \frac{m}{m+1}(n-m)$, then G has a spanning m -ended tree.*

There are also several results for the claw-free graphs and $K_{1,4}$ -free graphs, where a claw-free graph is a $K_{1,3}$ -free graph. Matthews and Sumner [8] obtained a degree sum condition for a claw-free graph to have a hamiltonian path. Kano et al. [5] obtained a slightly stronger result than a generalization of it.

Theorem 1.4 (See [5]). *Let G be a connected claw-free graph of order n with $m \geq 2$. If $\sigma_{m+1}(G) \geq n - m$, then G has a spanning m -ended tree with the maximum degree at most 3.*

Recently, Kyaw [6, 7] presented some sharp sufficient conditions for a connected $K_{1,4}$ -free to have a spanning m -ended tree.

Theorem 1.5 (See [6]). *Every connected $K_{1,4}$ -free graph with $\sigma_4(G) \geq n - 1$ contains a spanning 3-ended tree.*

Theorem 1.6 (See [7]). *Let G be a connected $K_{1,4}$ -free graph.*

- (i) *If $\sigma_3(G) \geq |G|$, then G has a hamiltonian path.*
- (ii) *If $\sigma_{m+1}(G) \geq |G| - \frac{m}{2}$ for an integer $m \geq 3$, then G has a spanning m -ended tree.*

As for the spanning tree with certain extremal properties in a k -connected $K_{1,4}$ -free graph, Chen and Schelp [2] gave some degree conditions for the hamiltonicity of a k -connected $K_{1,4}$ -free graph where a hamiltonian path is just a spanning tree with two leaves.

Theorem 1.7 (See [2]). *Let G be a k -connected $K_{1,4}$ -free graph of order $n \geq 3$. If $\sigma_{k+1}(G) \geq n + k$, then G is hamiltonian.*

Theorem 1.8 (See [2]). *Let G be a k -connected $K_{1,4}$ -free graph of order n . If $\sigma_k(G) \geq n + k + 1$, then G is hamiltonian-connected.*

Here, we give a degree sum condition assuring the existence of a spanning 3-ended tree in a k -connected $K_{1,4}$ -free graph with $k \geq 2$.

Theorem 1.9. *Let G be a k -connected $K_{1,4}$ -free graph of order n with $k \geq 2$. If $\sigma_{k+3}(G) \geq n + 2k - 2$, then G contains a spanning 3-ended tree.*

2 Preliminaries

According to the algorithm used by Zhang in [12], Chen and Schelp defined a concept, i.e., *insertible vertex*, in [2] as follows.

Let G be a non-hamiltonian connected graph and C be a maximal cycle of G with an orientation. Assume that H is a connected component of $G - V(C)$ and $\{v_1, v_2, \dots, v_h\}$ are h vertices in $N_C(H)$ with $x_i v_i \in E(G)$, where $x_i \in V(H)$ for $1 \leq i \leq h$. We define the counter-clockwise direction the positive direction of C . We also assume that v_1, v_2, \dots, v_h are labeled in the order of the positive orientation of C , i.e., $v_i \in C(v_{i-1}, v_{i+1})$. The vertices v_1, v_2, \dots, v_h divide the cycle C into h segments, $Q_i = C(v_i, v_{i+1})$ for $1 \leq i \leq h$, where the subscripts are taken modulo h .

A vertex w_i in Q_i is called an *insertible vertex* if there is a pair of consecutive vertices w and w^+ in $C - Q_i$ such that $w_i w, w_i w^+ \in E(G)$. If w_i is an insertible vertex, we define $I(w_i)$ as some fixed vertex in $C - Q_i$ such that $w_i I(w_i), w_i (I(w_i))^+ \in E(G)$.

Motivated by the method of *segment insertion* used by Chen and Schelp in [2], we define *segment insertion with respect to X* as follows. Suppose that $X := \{x_1, \dots, x_{\alpha_i}\}$, where x_1, \dots, x_{α_i} are insertible

vertices of Q_i in order along Q_i . Let β_1 be the largest integer in $[1, \alpha_i]$ such that $I(x_1) = I(x_{\beta_1})$, and let β_2 be the largest integer in $[\beta_1 + 1, \alpha_i]$ such that $I(x_{\beta_1+1}) = I(x_{\beta_2})$. Continuing in the same manner, we will have $\beta_t = \alpha_i$ for some $t \geq 1$. Then we insert the segment $C[x_1, x_{\beta_1}]$ between $I(x_1)$ and $(I(x_1))^+$, the segment $C[x_{\beta_1+1}, x_{\beta_2}]$ between $I(x_{\beta_1+1})$ and $(I(x_{\beta_1+1}))^+$, ..., the segment $C[x_{\beta_{t-1}+1}, x_{\beta_t}]$ between $I(x_{\beta_{t-1}+1})$ and $(I(x_{\beta_{t-1}+1}))^+$. We call such an insertion a *segment insertion with respect to X* and denote it by $SI[Q_i, X]$. After such an insertion, we obtain a path $P'[x_{\alpha_i}^+, x_1^-]$ in G such that $V(P') \supseteq V(C[x_{\alpha_i}^+, x_1^-]) \cup X$. We denote $SI[Q_i, X]$ by $SI[C[x_1, x_{\alpha_i}]]$ if $X = V(C[x_1, x_{\alpha_i}])$.

In [2], Chen and Schelp gave the following two lemmas.

Lemma 2.1 (See [2]). *For each Q_i , there is a non-insertible vertex in $Q_i - \{v_{i+1}\}$.*

For each Q_i , let w_i be the first noninsertible vertex in $Q_i - \{v_{i+1}\}$. Then the following lemma holds.

Lemma 2.2 (See [2]). *Let $1 \leq i < j \leq h$. Then for $u_i \in C[v_i^+, w_i]$ and $u_j \in C[v_j^+, w_j]$, the following properties hold:*

- (i) *There does not exist a path $Q[u_i, u_j]$ in G such that $Q[u_i, u_j] \cap V(C) = \{u_i, u_j\}$.*
- (ii) *For every $v \in C[u_i^+, u_j^-]$, if $vu_i \in E(G)$, then $v^-u_j \notin E(G)$. Similarly, for every $w \in C[u_j^+, u_i^-]$, if $wu_j \in E(G)$, then $w^-u_i \notin E(G)$.*
- (iii) *For every $v \in C[u_i, u_j]$, if $vu_i, vu_j \in E(G)$, then $v^+v^- \notin E(G)$.*

3 Proof of Theorem 1.9

Let G be a k -connected $K_{1,4}$ -free graph of order n with $k \geq 2$ such that $\sigma_{k+3}(G) \geq n + 2k - 2$. Suppose, to the contrary, that every spanning tree of G has at least 4 leaves. Let P be a longest path of G . Clearly, $V(P) \subset V(G)$. We additionally assume that the following conditions hold.

(P1) $w(G - P)$ is minimum; and

(P2) subject to (P1), $|P[a, v]|$ achieves the minimum, where a is the first vertex of P and v is the first vertex of P that is adjacent to some vertex in $G - P$.

Let a and b be the first and the last vertexes of P along its orientation respectively, and H be a component of $G - P$. Let v_1, \dots, v_t be the neighbors of H on P in the order along the orientation of P with $y_i v_i \in E(G)$, where $y_i \in H$ for $i \in [1, t]$.

Let G^* be the graph obtained from G by adding a new vertex v_0 and joining it to every vertex of G . Set $C := v_0 a \vec{P} b v_0$. Then C is a longest cycle of G^* . The vertices v_0, \dots, v_t divide the cycle C into $t + 1$ segments. Let $Q_i = C(v_i, v_{i+1})$ for $0 \leq i \leq t$, where the indices are taken modulo $t + 1$.

From Lemma 2.1, there exists a non-insertible vertex in $Q_i - \{v_{i+1}\}$ for each Q_i . Let w_i be the first non-insertible vertex in $Q_i - \{v_{i+1}\}$ and let $W = \{w_0, w_1, \dots, w_t\}$.

Claim 1. *We have $a = w_0$ and $b \notin N(w_i)$ for $i \in [0, t - 1]$.*

Proof. Suppose, to the contrary, that $a \neq w_0$. Then a is an insertible vertex in Q_0 . The existence of the path $a^+ \vec{P} I(a) a (I(a))^+ \vec{P} b$ contradicts (P2). Thus $a = w_0$. Note that $b \in Q_t$ and $w_i b^+ = w_i v_0 \in E(G)$, we have $w_i b \notin E(G)$ for $i \in [0, t - 1]$. \square

Lemma 3.1. *Let I be an independent set of H . Then the following inequality holds,*

$$\sum_{i=0}^t d_P(w_i) + \sum_{x \in I} d_P(x) \leq \begin{cases} |P| + t - 1, & \text{if } I \neq \emptyset, \\ |P| - 1, & \text{if } I = \emptyset. \end{cases}$$

Proof. We define a function τ from $V(G^*)$ to \mathbb{Z}^+ such that $\tau(v) = |N(v) \cap W|$. For each $A \subseteq V(G)$, $\tau(A) = \sum_{v \in V(A)} \tau(v)$.

To prove Lemma 3.1, it suffices to show that

$$\tau(P) + \sum_{x \in I} d_P(x) \leq \begin{cases} |P| + t - 1, & \text{if } I \neq \emptyset, \\ |P| - 1, & \text{if } I = \emptyset. \end{cases}$$

For $I = \emptyset$, we will show that $\tau(P) \leq |P| - 1$. From (i) of Lemma 2.2, W is an independent vertex set. Then the cycle C is a disjoint union of intervals $T = C[c, d]$ with $c, d^+ \notin N(W)$ and $V(C[c^+, d]) \subseteq N(W)$. Notice that $V(C[c^+, d]) = \emptyset$ if $c = d$. Such intervals are called *W-segments*.

From Claim 1, the endvertex b has at most one neighbour w_t in W . Since $v_0 \in N(W)$ and w_0 is the successor of v_0 on C , v_0 is the last vertex of some W -segment denoted by T_0 . Set

$$T_0 = \begin{cases} C[b, v_0], & \text{if } b \notin N(w_t), \\ C[u, v_0], & \text{if } b \in N(w_t), \end{cases}$$

where $u \in V(C[w_t, b^-])$ satisfying that $N(W) \cap V(C[u, v_0]) = V(C[u^+, v_0])$.

Firstly, we show that $\tau(T_0) = |T_0| + t - 1$.

If $b \notin N(w_t)$, we have $|T_0| = |C[b, v_0]| = 2$, $\tau(v_0) = t + 1$ and $\tau(b) = 0$, then $\tau(T_0) = t + 1 = |T_0| + t - 1$.

If $b \in N(w_t)$, from Lemma 2.2(ii) and Claim 1, every vertex in $T_0 - \{u, v_0\}$ is only adjacent to w_t in W . For $v \in T_0 - \{u, v_0\}$, $\tau(v) = 1$. Then we can also have

$$\tau(T_0) = \sum_{v \in T_0 - \{u, v_0\}} \tau(v) + \tau(v_0) = (|T_0| - 2) + (t + 1) = |T_0| + t - 1.$$

Next, we show that $\tau(T) \leq |T|$ for every W -segment T other than T_0 .

Let T be such a W -segment. From Lemma 2.2(i), there is an integer l such that $T \subseteq C[w_l, w_{l+1}^-]$, where the indices are taken modulo $t + 1$. Without loss of generality, we assume that $T \subseteq C[w_1, w_2^-]$.

If $|T| = 1$, then $\tau(T) = 0$. So assume that $|T| \geq 2$. From Lemma 2.2(ii),

$$N(w_1) \cap T, N(w_0) \cap T, N(w_t) \cap T, \dots, N(w_2) \cap T$$

form consecutive closed subintervals of T (possibly some of them are empty) which can only have their endvertices in common. We assume that $T = \{c, c_1, \dots, c_s\}$ such that $c \notin N(W)$ and $c_i \in N(W)$. We claim that there exists at most one vertex c_i in T such that $|N(c_i) \cap W| \geq 2$.

Suppose that $|N(c_i) \cap W| \geq 2$ for some $i \in [1, s]$. Say $w_{j_1}, w_{j_2} \in N(c_i)$ for some $j_1 \neq j_2$. From Lemma 2.2(i), $c_i \notin V(C[v_2^+, w_2^-])$. Thus $c_i \in V(C[w_1^+, v_2])$. We claim that $1 \in \{j_1, j_2\}$. Suppose not. If $c_i \neq v_2$, then from Lemma 2.2(iii), $G[\{c_i, c_i^-, c_i^+, w_{j_1}, w_{j_2}\}]$ is an induced $K_{1,4}$, a contradiction. Hence $c_i = v_2$. But in this case, $G[\{c_i, c_i^-, y_2, w_{j_1}, w_{j_2}\}]$ is an induced $K_{1,4}$, also a contradiction.

Thus $w_1 \in N(c_i)$, and there exists at most one $j \neq 1$ such that $w_j \in N(c_i)$. Thus $\tau(c_i) \leq 2$ and $\tau(c_j) = 1$ for $j \in [1, s] - \{i\}$. Hence

$$\tau(T) \leq 2 + (s - 1) = s + 1 = |T|. \quad (3.1)$$

To sum up,

$$\tau(C) = \sum_{T \neq T_0} \tau(T) + \tau(T_0) \leq \sum_{T \neq T_0} |T| + |T_0| + t - 1 = |C| + t - 1.$$

Hence

$$\tau(P) = \tau(C) - \tau(v_0) \leq (|C| + t - 1) - (t + 1) = |C| - 2 = |P| - 1.$$

On the other hand, for $I \neq \emptyset$, we will show that

$$\tau(P) + \sum_{x \in I} d_P(x) \leq |P| + t - 1.$$

Let I be an independent set in H . Obviously, $N(I) \cap V(P) \subseteq \{v_1, \dots, v_t\}$, where $V(P) = (\bigcup_{i=0}^{t-1} V(P[w_i, w_{i+1}^-])) \cup V(P[w_t, b])$. Then

$$\tau(P) + \sum_{x \in I} d_P(x) = \sum_{i=0}^{t-1} \left(\tau(P[w_i, w_{i+1}^-]) + \sum_{x \in I} d_{P[w_i, w_{i+1}^-]}(x) \right) + \tau(P[w_t, b]).$$

For $i \in [0, t - 1]$, we take $\tau(P[w_i, w_{i+1}^-]) + \sum_{x \in I} d_{P[w_i, w_{i+1}^-]}(x)$ into consideration.

Without loss of generality, we choose $P[w_1, w_2^-]$. Firstly, we consider the W -segment containing v_2 which is denoted by T_{v_2} .

If $\tau(v_2) = 0$, then $\{v_2\}$ is the first vertex of T_{v_2} . From Lemma 2.2(i), every vertex other than v_2 in T_{v_2} is only adjacent to w_2 in W . In this case, $\tau(T_{v_2}) \leq |T_{v_2}| - 1$. Since G is $K_{1,4}$ -free, v_2 can be adjacent to at most two distinct vertices in I . Otherwise, if $z_1, z_2, z_3 \in N(v_2) \cap I$, then $G[\{v_2, v_2^-, z_1, z_2, z_3\}]$ is an induced $K_{1,4}$, a contradiction.

By inequality (3.1), for any W -segment T in $P[w_1, w_2^-]$ other than T_{v_2} , $\tau(T) \leq |T|$. Then

$$\begin{aligned} \tau(P[w_1, w_2^-]) + \sum_{x \in I} d_{P[w_1, w_2^-]}(x) &= \sum_{T \neq T_{v_2}} \tau(T) + \tau(T_{v_2}) + d_H(v_2) \\ &\leq \sum_{T \neq T_{v_2}} |T| + |T_{v_2}| - 1 + 2 \\ &= |P[w_1, w_2^-]| + 1. \end{aligned}$$

If $\tau(v_2) \neq 0$, by inequality (3.1), $\tau(T) \leq |T|$ for any W -segment T in $P[w_1, w_2^-]$. We claim that v_2 can be adjacent to at most one vertex in I . Suppose, to the contrary, that v_2 is adjacent to two distinct vertices z_1 and z_2 in I . Let w_j be a vertex in W that is adjacent to v_2 . If $j = 1$, then $G[\{v_2, w_1, v_2^+, z_1, z_2\}]$ is an induced $K_{1,4}$, a contradiction. Otherwise, $G[\{v_2, w_j, v_2^-, z_1, z_2\}]$ is an induced $K_{1,4}$, also a contradiction.

Then

$$\begin{aligned} \tau(P[w_1, w_2^-]) + \sum_{x \in I} d_{P[w_1, w_2^-]}(x) &= \sum_{T \neq T_{v_2}} \tau(T) + \tau(T_{v_2}) + d_H(v_2) \\ &\leq \sum_{T \neq T_{v_2}} |T| + |T_{v_2}| + 1 \\ &= |P[w_1, w_2^-]| + 1. \end{aligned}$$

For $\tau(P[w_t, b])$, from Lemma 2.2(i) and Claim 1, every vertex in $P[w_t^+, b]$ can be only adjacent to at most one vertex w_t in W . Then $\tau(P[w_t, b]) \leq |P[w_t, b]| - 1$.

To sum up, we get the conclusion that

$$\begin{aligned} \tau(P) + \sum_{x \in I} d_P(x) &= \sum_{i=0}^{t-1} (\tau(P[w_i, w_{i+1}^-]) + \sum_{x \in I} d_{P[w_i, w_{i+1}^-]}(x)) + \tau(P[w_t, b]) \\ &\leq \sum_{i=0}^{t-1} (|P[w_i, w_{i+1}^-]| + 1) + |P[w_t, b]| - 1 \leq |P| + t - 1. \end{aligned} \quad \square$$

Claim 2. For every component H in $G - P$, we have $|N_P(H)| = k$ and H is hamiltonian-connected if $|H| \geq 2$.

Proof. From Lemma 2.2(i), for $0 \leq i \neq j \leq t$, w_i and w_j have no common neighbor in $G - V(P)$. Then $\sum_{i=0}^t d_{G-V(P)}(w_i) \leq n - |P| - |H|$.

Since G is k -connected, we have $t \geq k$. We claim that $t = k$. Suppose, to the contrary, that $t \geq k + 1$.

If $t \geq k + 2$, then $\{w_0, \dots, w_t\}$ is an independent set of order at least $k + 3$. By taking $I = \emptyset$ in Lemma 3.1, we have

$$\sum_{i=0}^t d(w_i) = \sum_{i=0}^t d_P(w_i) + \sum_{i=0}^t d_{G-V(P)}(w_i) \leq (|P| - 1) + (n - |P| - |H|) = n - |H| - 1,$$

a contradiction to $\sigma_{k+3}(G) \geq n + 2k - 2$.

If $t = k + 1$, let z be a vertex in $V(H)$, then $\{z, w_0, \dots, w_{k+1}\}$ is an independent set of order $k + 3$. By taking $I = \{z\}$ in Lemma 3.1, we have $\sum_{i=0}^{k+1} d_P(w_i) + d_P(z) \leq |P| + (k + 1) - 1 = |P| + k$. Then

$$\sum_{i=0}^{k+1} d(w_i) + d(z) = \sum_{i=0}^{k+1} d_P(w_i) + d_P(z) + \sum_{i=0}^{k+1} d_{G-V(P)}(w_i) + d_H(z)$$

$$\begin{aligned} &\leq (|P| + k) + (n - |P| - |H|) + (|H| - 1) \\ &= n + k - 1, \end{aligned}$$

also a contradiction to $\sigma_{k+3}(G) \geq n + 2k - 2$ since $k \geq 2$.

Suppose that $|H| \geq 2$ and H is not hamiltonian-connected. From Ore's theorem in [9], there exists a pair of nonadjacent vertices z_1 and z_2 in H such that $d_H(z_1) + d_H(z_2) \leq |H|$. Since $\{z_1, z_2, w_0, \dots, w_k\}$ is an independent set of order $k + 3$, by taking $I = \{z_1, z_2\}$ in Lemma 3.1, we have

$$\sum_{i=0}^k d_P(w_i) + d_P(z_1) + d_P(z_2) \leq |P| + k - 1.$$

Then

$$\begin{aligned} \sum_{i=0}^k d(w_i) + d(z_1) + d(z_2) &= \sum_{i=0}^k d_P(w_i) + d_P(z_1) + d_P(z_2) + \sum_{i=0}^k d_{G-V(P)}(w_i) + d_H(z_1) + d_H(z_2) \\ &\leq (|P| + k - 1) + (n - |P| - |H|) + |H| = n + k - 1, \end{aligned}$$

also a contradiction to $\sigma_{k+3}(G) \geq n + 2k - 2$ since $k \geq 2$.

Hence $|N_P(H)| = k$ and H is hamiltonian-connected if $|H| \geq 2$. \square

Claim 3. Let v_i and v_j be distinct vertices in $N_P(H)$. Then for $0 \leq i \neq j \leq k$, $G[V(H) \cup \{v_i, v_j\}]$ contains a hamiltonian path from v_i to v_j .

Proof. Note that $\min\{d_H(v_i), d_H(v_j)\} \geq 1$. If $|H| = 1$, there exists only one vertex named h in H , then $v_i h v_j$ is a hamiltonian path from v_i to v_j in $G[V(H) \cup \{v_i, v_j\}]$. If $|H| \geq 2$, from Claim 2, H is hamiltonian-connected. It suffices to show that $|N_H(v_i) \cup N_H(v_j)| \geq 2$. Otherwise, both v_i and v_j have exactly one neighbor y in H . Then $(N_P(H) - \{v_i, v_j\}) \cup \{y\}$ is a separate set of $k - 1$ vertices which contradicts the k -connectedness of G . \square

It follows from Claim 2 that $t = k$. For $1 \leq i \neq j \leq k$, we denote the hamiltonian path from v_i to v_j in $G[V(H) \cup \{v_i, v_j\}]$ by $v_i H v_j$. If $\omega(G - P) = 1$, then G contains a spanning 3-ended tree. So we have $\omega(G - P) \geq 2$. Assume that H' is a component in $G - P - H$.

Claim 4. $N(w_i) \cap V(H') \neq \emptyset$ for some $i \in [1, k]$.

Proof. From Claim 1, we have $w_0 = a$. Then $i \neq 0$. Suppose, to the contrary, that $N(w_i) \cap V(H') = \emptyset$ for $i \in [1, k]$. Let y and y' be vertices in H and H' , respectively. Then $\{w_0, \dots, w_k, y, y'\}$ is an independent set of order $k + 3$. By taking $I = \{y\}$ in Lemma 3.1, we have $\sum_{i=0}^k d_P(w_i) + d_P(y) \leq |P| + k - 1$. In this case, $\sum_{i=0}^k d_{G-V(P)}(w_i) \leq n - |P| - |H| - |H'|$. Then

$$\begin{aligned} \sum_{i=0}^k d(w_i) + d(y) + d(y') &= \sum_{i=0}^k d_P(w_i) + d_P(y) + \sum_{i=0}^k d_{G-V(P)}(w_i) + d_H(y) + d_P(y') + d_{H'}(y') \\ &\leq (|P| + k - 1) + (n - |P| - |H| - |H'|) + (|H| - 1) + k + (|H'| - 1) \\ &\leq n + 2k - 3, \end{aligned}$$

a contradiction. \square

In the following, we assume that $N(w_i) \cap V(H') \neq \emptyset$ for some $i \in [1, k]$. From Lemma 2.2(i), $N(w_j) \cap V(H') = \emptyset$ for $j \in [0, k] - \{i\}$.

Claim 5. There exists a second non-insertible vertex w'_i in $Q_i - \{v_{i+1}\}$ and $w'_i \notin N(H')$.

Proof. Suppose, to the contrary, that w_i is the only non-insertible vertex in $Q_i - \{v_{i+1}\}$. Let $X = Q_i - \{w_i\}$ in G^* . Then every vertex of X can be inserted into $C[v_{i+1}, v_i]$. By using the segment insertion $SI[Q_i, X]$, we get a path $P'[v_{i+1}, v_i]$ in G^* such that $V(P'[v_{i+1}, v_i]) \supseteq C[v_{i+1}, v_i] \cup X = V(C) - \{w_i\}$. From Claim 3, the hamiltonian path $v_i H v_{i+1}$ in $G[V(H) \cup \{v_i, v_{i+1}\}]$ together with P' forms a cycle C^*

in G^* with $V(C^*) \supseteq (V(C) - \{w_i\}) \cup V(H)$. From Claim 4, $N(w_i) \cap V(H') \neq \emptyset$. If $|H| = 1$, then $C^* - \{v_0\}$ is a path in G which contradicts (P1). If $|H| \geq 2$, then $C^* - \{v_0\}$ is a path in G which contradicts to the maximality on the length of P . Thus there exists a second non-insertible vertex w'_i in Q_i .

Now, suppose that $w'_i \in N(H')$. By using the segment insertion $SI[C[w_i^+, w_i'^-]]$, we get a path $Q' := Q'[w'_i, w_i]$ in G^* with $V(Q') = V(C)$. This together with the non-trivial (w_i, w'_i) -path in $G[V(H') \cup \{w_i, w'_i\}]$ forms a cycle in G^* longer than C , a contradiction. \square

Lemma 3.2. Let j be an integer such that $j \in [0, k] - \{i\}$. Then for every $u_i \in C[w_i^+, w'_i]$ and $u_j \in C[v_j^+, w_j]$, the following properties hold.

- (i) There does not exist a path $Q[u_i, u_j]$ in G^* such that $Q[u_i, u_j] \cap V(C) = \{u_i, u_j\}$.
- (ii) For every $v \in C[u_i^+, u_j^-]$, if $vu_i \in E(G^*)$, then $v^-u_j \notin E(G^*)$. Similarly, for every $w \in C[u_j^+, u_i^-]$, if $wu_j \in E(G^*)$, then $w^-u_i \notin E(G^*)$.
- (iii) For every $v \in C[u_i^+, u_j^-]$, if $vu_i, vu_j \in E(G^*)$, then $v^-v^+ \notin E(G^*)$. Similarly, for every $w \in C[u_j^+, u_i^-]$, if $wu_i, wu_j \in E(G^*)$, then $w^-w^+ \notin E(G^*)$.

Proof. We prove this lemma by induction on $l(u_i, u_j) = |V(C[w_i^+, u_i]) \cup V(C[v_j^+, u_j])|$.

For $l = 0$, i.e., $u_i = w_i^+$ and $u_j = v_j^+$, by using the segment insertion $SI[C[v_i^+, w_i^-]]$, we obtain a path $S[w_i^+, v_i]$ such that $V(S[w_i^+, v_i]) = V(C) - \{w_i\}$. From Lemma 2.2(i), we have $N(v_j^+) \cap V(C[v_i^+, w_i^-]) = \emptyset$ which implies that no vertices in $V(C[v_i^+, w_i^-])$ are inserted between v_j and v_j^+ . Thus v_j^+ is still the successor of v_j on $S[w_i^+, v_i]$.

To prove (i), assume to the contrary, there is a path $Q[w_i^+, v_j^+]$ in G^* such that $Q[w_i^+, v_j^+] \cap V(C) = \{w_i^+, v_j^+\}$. Let $C_1 := w_i^+ \xrightarrow{S} v_j H v_i \xleftarrow{S} v_j^+ \xleftarrow{Q} w_i^+$. Then $R_1 := C_1 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R_1$, a contradiction.

To prove (ii), assume to the contrary, there is a vertex $v \in C[u_i^+, u_j^-]$ such that vu_i and $v^-u_j \in E(G^*)$. Then from Lemma 2.2(ii), no vertex in $C[v_i^+, w_i^-]$ is adjacent to v . Hence no vertices in $C[v_i^+, w_i^-]$ are inserted between v and v^- . Thus v^- and v are two consecutive vertices on the path $S[w_i^+, v_i]$. Let $C_2 := w_i^+ \xrightarrow{S} v_j H v_i \xleftarrow{S} v_j^+ v^- \xleftarrow{S} w_i^+$. Then $R_2 := C_2 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R_2$, a contradiction.

To prove (iii), assume to the contrary, there is a vertex $v \in C[u_i^+, u_j^-]$ such that $vu_i, vu_j \in E(G^*)$ and $v^-v^+ \in E(G^*)$. From Lemma 2.2(iii), $N(v) \cap C[v_i^+, w_i^-] = \emptyset$. Thus v^- is still the immediate predecessor of v in $S[w_i^+, v_j]$ and v^+ is still the immediate successor of v in $S[w_i^+, v_j]$. Let $C_3 := w_i^+ \xrightarrow{S} v^- v^+ \xrightarrow{S} v_j H v_i \xleftarrow{S} v_j^+ v w_i^+$. Then $R_3 := C_3 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R_3$, a contradiction.

Now we see that (i)–(iii) are true for $l = 0$. Assume that (i)–(iii) are true for any pair of vertices z_i and z_j with $z_i \in C[w_i^+, u_i]$ and $z_j \in C[v_j^+, u_j]$ such that $l(z_i, z_j) < l(u_i, u_j)$.

Let $X = V(C[v_i^+, u_i^-]) - \{w_i\}$, then every vertex of X is an insertible vertex in Q_i . Using the segment insertion $SI[Q_i, X]$, we obtain a path $S'[u_i, v_i]$ in G^* such that $V(S'[u_i, v_i]) \supseteq V(C) - \{w_i\}$. From the induction hypothesis on (i) and Lemma 2.2, there are no edge between the vertex sets $V(C[v_i^+, u_i^-])$ and $V(C[v_j^+, u_j])$. Thus no vertices in $V(C[v_i^+, u_i^-])$ are inserted between any pair of vertices in $V(C[v_j^+, u_j])$.

From the induction hypothesis on (ii), $I(z_i) \neq I(z_j)$ for any $z_i \in V(C[v_i^+, u_i^-])$ and $z_j \in V(C[v_j^+, u_j])$. Then $(I(z_j))^+$ is also the immediate successor of $I(z_j)$ on the path $S'[u_i, v_i]$. Using the segment insertion $SI[C[v_j^+, u_j^-]]$, we can insert every vertex in $C[v_j^+, u_j^-]$ in $S'[u_i, v_j]$ or $S'[u_j, v_i]$ to obtain two vertex disjoint paths $T_1[u_i, v_j]$ and $T_2[u_j, v_i]$ such that $V(T_1[u_i, v_j]) \cup V(T_2[u_j, v_i]) \supseteq V(C) - \{w_i\}$. For any pair of two consecutive vertices w^- and w of $V(C) - V(C[v_i^+, u_i^-]) \cup V(C[v_j^+, u_j^-])$, only one of the following three properties holds:

- 1) w^- and w are two consecutive vertices on one of the paths $T_1[u_i, v_j]$ and $T_2[u_j, v_i]$.
- 2) There is a segment $C[z_i, z'_i]$ in $C[v_i^+, u_i^-]$ inserted between w^- and w with $N(z_i) \cap N(z'_i) \supseteq \{w^-, w\}$.
- 3) There is a segment $C[z_j, z'_j]$ in $C[v_j^+, u_j^-]$ inserted between w^- and w with $N(z_j) \cap N(z'_j) \supseteq \{w^-, w\}$.

To prove (i), suppose, to the contrary, that there is a path $Q[u_i, u_j]$ in G^* such that $Q[u_i, u_j] \cap V(C) = \{u_i, u_j\}$. Let $C'_1 = u_i \xrightarrow{T_1} v_j H v_i \xleftarrow{T_2} u_j \xleftarrow{Q} u_i$. Then $R'_1 := C'_1 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R'_1$, a contradiction.

To prove (ii), suppose, to the contrary, that there are two consecutive vertices w and w^- in $C[u_i^+, u_j^-]$ such that $wu_i \in E(G^*)$ and $w^-u_j \in E(G^*)$. Because $w^-u_j \in E(G^*)$, by our induction hypothesis on (ii) and Lemma 2.2, we have $wz_i \notin E(G^*)$ for every $z_i \in V(C[v_i^+, u_i^-])$. Hence no vertices in $V(C[v_i^+, u_i^-])$ are inserted between w and w^- . In the same manner, we can show that no vertices in $V(C[v_j^+, u_j^-])$ are inserted between w^- and w . Thus w^- and w are two consecutive vertices on the path $T_1[u_i, v_j]$. Let $C'_2 = u_i w \overrightarrow{T_1} v_j H v_i \overleftarrow{T_2} u_j w^- \overleftarrow{T_1} u_i$. Then $R'_2 := C'_2 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R'_2$, a contradiction.

To prove (iii), suppose, to the contrary, that without loss of generality, there is a vertex $v \in V(C[u_i^+, u_j^-])$ such that $vu_i, vu_j \in E(G^*)$ and $v^-v^+ \in E(G^*)$. By the induction hypothesis on (iii) and Lemma 2.2, $N(v) \cap (V(C[v_i^+, u_i^-]) \cup V(C[v_j^+, u_j^-])) = \emptyset$. Thus v^- is still the immediate predecessor of v in $T_1[u_i, v_j]$ and v^+ is still the immediate successor of v in $T_1[u_i, v_j]$. Let $C'_3 = u_i \overrightarrow{T_1} v^- v^+ \overrightarrow{T_1} v_j H v_i \overleftarrow{T_2} u_j vu_i$. Then $R'_3 := C'_3 - \{v_0\}$ is a path in G of order at least $|P|$ and with less components in $G - R'_3$, a contradiction.

This completes the proof of Lemma 3.2. \square

We replace w_i with w'_i in W to obtain $W' = \{w_0, \dots, w_{i-1}, w'_i, w_{i+1}, \dots, w_k\}$. From Lemmas 2.2 and 3.2, the vertices of W' have the same properties as that of W . Using the same method as in the proof of Lemma 3.1, we also have $\sum_{x \in W'} d_P(x) \leq |P| - 1$.

Let y and y' be vertices in H and H' , respectively. From Lemma 2.2 and Claim 5, $W' \cup \{y, y'\}$ is an independent set in G of order $k + 3$. Then $\sum_{x \in W'} d_{G-V(P)}(x) \leq n - |P| - |H| - |H'|$. Now we have

$$\sum_{x \in W'} d(x) \leq (|P| - 1) + (n - |P| - |H| - |H'|) = n - 1 - |H| - |H'|.$$

On the other hand, $d(y) \leq |H| - 1 + k$, $d(y') \leq |H'| - 1 + k$. Hence

$$\begin{aligned} \sum_{x \in W'} d(x) + d(y) + d(y') &\leq (n - 1 - |H| - |H'|) + (|H| - 1 + k) + (|H'| - 1 + k) \\ &= n + 2k - 3, \end{aligned}$$

a contradiction. This completes the proof of Theorem 1.9. \square

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