

Bayesian mixed models and divergence time estimation of Chinese cavefishes (Cyprinidae: *Sinocyclocheilus*)

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The genus *Sinocyclocheilus* is distributed in Yun-Gui Plateau and its surrounding region only, within more than 10 cave species showing different degrees of degeneration of eyes and pigmentation with wonderful adaptations. To present, published morphological and molecular phylogenetic hypotheses of *Sinocyclocheilus* from prior works are very different and the relationships within the genus are still far from clear. We obtained the sequences of cytochrome *b* (*cyt b*) and NADH dehydrogenase subunit 4 (*ND4*) of 34 species within *Sinocyclocheilus*, which represent the most dense taxon sampling to date. We performed Bayesian mixed models analyses with this data set. Under this phylogenetic framework, we estimated the divergence times of recovered clades using different methods under relaxed molecular clock. Our phylogenetic results supported the monophyly of *Sinocyclocheilus* and showed that this genus could be subdivided into 6 major clades. In addition, an earlier finding demonstrating the polyphyletic of cave species and the most basal position of *S. jii* was corroborated. Relaxed divergence-time estimation suggested that *Sinocyclocheilus* originated at the late Miocene, about 11 million years ago (Ma), which is older than what have been assumed.

Sinocyclocheilus, phylogeny, relaxed molecular clock

Cave animals have attracted much attention for their distinct troglomorphic characters, including the enlargement of some sensory organs and appendages, and the reduction and/or loss of eyes and pigmentation^[1,2]. Cave animals can serve as attractive systems for studying the role of natural selection and adaptation in evolution^[1]. At present, many studies utilize a single species, Mexican cavefish (*Astyanax mexicanus*) populations as model organisms to acquire an understanding of how these animals and their troglomorphic features have evolved^[3–5]. In teleosts, in addition to Mexican cavefish, a primarily freshwater fish genus *Sinocyclocheilus* (Cyprinidae, Barbinae)—golden-line fish, in which more than 10 species with different degrees of troglomorphic characters, including different degrees of eyes and pigment degeneration and well-developed projection of frontal and parietal bones, can serve as another model system. The genus *Sinocyclocheilus* is en-

demic to China, and only distributes in karst cave waters and surface rivers or lakes in Yun-Gui Plateau, including eastern Yunnan Province, southern Guizhou Province and northern Guangxi Zhuang Autonomous Region. As of 2004, 51 valid (53 nominal) species have been described in this genus^[6].

To better understand the role of natural selection and adaptation in cave animals using *Sinocyclocheilus* as a model system, it is indispensable to be clear about phylogenetic relationships among species within this genus. However, despite considerable studies, the phylogenetic relationships within *Sinocyclocheilus* remain controversial^[7–9], especially for the cave species. Morphological

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results of Shan and Yue showed that the cave species in their study were diphyletic^[7]. However, Wang et al.^[8] re-examined the phylogeny within *Sinocyclocheilus* based upon 28 morphological and osteological characters. The resulting cladogram suggested that the cave species formed a monophyletic group. Some species of *Sinocyclocheilus* are highly adaptive to cave environment, so some morphological or osteological characters might not be informative. Therefore, the origin of cave species and phylogeny of *Sinocyclocheilus* need to be tested with independent evidence. Molecular data appears useful in resolving problematic phylogeny because many molecular changes are less related to adaptive evolution than are morphological characters, such as those sites evolved neutrally. The phylogenetic results of Xiao et al.^[9] suggested that cave species occurred in five major monophyletic clades based on analysis of mitochondrial DNA *cyt b* and ND4 gene sequences, which resembles little to the results of morphological studies^[7,8]. Their molecular data shed more light on the phylogenetic relationships of *Sinocyclocheilus*. However, Xiao et al. selected inappropriate outgroup taxa^[10,11] and did not resolve the relationships among the five major clades, and the latter was ascribed to the early rapid radiation events by Xiao et al.^[9]. Their divergence time estimation may be tentative since there was no calibration point and the substitution rates were from other fish mitochondrial protein-coding genes^[9].

This study takes advantage of Bayesian mixed models. Bayesian mixed inference is an attractive alternative to other model-based methods because it allows separate modeling of gene partitions concurrent with exploring tree space, and at the same time allows extensive variation in model parameters for each partition, thus facilitating combined data analysis. The use of Bayesian mixed inferences has facilitated the exploration of partition-specific evolutionary models and should reduce systematic error, thus providing more accurate posterior probability estimates^[12,13]. Here we sequenced *cyt b* and ND4 gene sequences of some species that were not included in Xiao et al.'s work^[9]. The goals of this study were: (i) to re-examine the phylogenetic relationships of *Sinocyclocheilus* using more outgroups; (ii) to date the origin time of the recovered clades using several relaxed molecular clock methods, including nonparametric rate smoothing, penalized likelihood and Bayesian analysis.

1 Materials and methods

1.1 Materials examined

Two mtDNA gene fragments (*cyt b*, ND4), except for those of *S. xunlensis*, *S. yimenensis*, *S. purpureus*, *S. maculates*, *S. qiubeinsis* and ND4 of *Gymnocypris eckloni*, were retrieved from GenBank. The five additional species of *Sinocyclocheilus* were collected from Guangxi Zhuang Autonomous Region and Yunnan Province. Muscle tissues used for DNA extraction in this study were preserved in 95% ethanol, and the specimens were deposited in the Fish Collection of the Institute of Hydrobiology of the Chinese Academy of Sciences. The ingroup samples were identified following the classification system of Zhao^[14] because he has given us a revision taxonomic relationships within *Sinocyclocheilus* based upon detailed morphology work. In the light of the molecular phylogenetic relationships with Cyprinidae^[15], we used *Danio rerio*, which belong to Danioninae (basal position in Cyprinidae) as a remote outgroup, and included members of two Cyprininae tribes, seven species of Cyprinini (*Cyprinus carpio*, *Carassius carassius*, *Gymnocypris przewalskii*, *Gymnocypris eckloni*, *Barbus barbus*, *Barbodes laticeps*, *Puntius ticto*) and *Labeo batesii* (Labeonini)^[15].

1.2 DNA extraction, PCR amplification and sequencing

Total genomic DNA was extracted from ethanol-preserved muscle tissues using phenol/chloroform extraction^[16]. Target regions of the mitochondrial DNA were amplified from the total DNA extracts using the polymerase chain reaction (PCR). The complete *cyt b* gene was amplified with primers Glu-F and Thr-R^[17]. The complete ND4 gene was amplified by using primers designed in this study: ND4F (5'-AAC AAG ACC TCT GAT TTC GGC TCA-3') and ND4R (5'-TAG CTT CCA CTT GGA TTT GCA CC-3'). Reaction mixtures contained approximately 100 ng of template DNA, 1 µL of each primer (each 10 µmol/L), 5 µL of 10×reaction buffer, 2 µL dNTPs (each 2.5 mmol/L), and 2.0 U *Taq* DNA polymerase in total 50 µL volume. The PCR amplification profile consisted of an initial denaturation step at 94°C for 3 min, followed by 35 cycles performed in the following order of denaturation at 94°C for 30 s; annealing at 54°C for 30 s (51°C for ND4); and elongation at 72°C for 1 min (90 s for ND4); and a final exten-

sion at 72°C for 8 min. PCR amplification products were fractionated by electrophoresis through 0.8% agarose gels, recovered from the gels and purified with OMEGA (from OMEGA Bio-Tek) purification Kit according to manufacturer's instructions. Complete nucleotide sequences of ND4 gene were determined using purified PCR products with the same primers of PCR and an in-

termedial primer (5'-GAT GAG TAG GCA ATT AGT GA-3'). In order to get complete *cyt b* gene sequences, purified PCR products were cloned using pMD18-T vector (TAKARA) into *E. coli* Top10 strain, and sequenced using M13 universal sequencing primers. The sequences have been deposited in GenBank (Accession Nos. are listed in Table 1).

Table 1 Details of specimens and sequences used in this study

Taxon and sample	Locality ^{a)}	GenBank accession No. ^{b)}	
		cyt b	ND4
<i>Sinocyclocheilus macrocephalus</i>		AY854683, AY854684	AY854740, AY854741
<i>Sinocyclocheilus oxycephalus</i>		AY854685	AY854742
<i>Sinocyclocheilus lunanensis</i>		AY854686	AY854743
<i>Sinocyclocheilus maitianheensis</i>		AY854710	AY854767
<i>Sinocyclocheilus anophthalmus</i>		AY854715	AY854772
<i>Sinocyclocheilus malacopterus</i>		AY854697, AY854699, AY854700	AY854754, AY854756, AY854757
<i>Sinocyclocheilus guishanensis</i>		AY854722	AY854779
<i>Sinocyclocheilus yangzongensis</i>		AY854725, AY854726	AY854782, AY854783
<i>Sinocyclocheilus qujingensis</i>		AY854719	AY854776
<i>Sinocyclocheilus rhinoceros</i>		AY854720	AY854777
<i>Sinocyclocheilus angustiporus</i>		AY854702	AY854759
<i>Sinocyclocheilus hyalinus</i>		AY854721	AY854778
<i>Sinocyclocheilus huaningensis</i>		AY854718	AY854775
<i>Sinocyclocheilus lateristriatus</i>		AY854703 AY854704 AY854706	AY854760 AY854761 AY854763
<i>Sinocyclocheilus tingi</i>		AY854701	AY854758
<i>Sinocyclocheilus grahami</i>		AY854694, AY854695, AY854696	AY854751, AY854752, AY854753
<i>Sinocyclocheilus microphthalmus</i>		AY854687, AY854689	AY854744, AY854746
<i>Sinocyclocheilus lingyunensis</i>		AY854691, AY854693	AY854748, AY854750
<i>Sinocyclocheilus anatirostris</i>		AY854708	AY854765
<i>Sinocyclocheilus tianeensis</i>		AY854717	AY854774
<i>Sinocyclocheilus furcodorsalis</i>		AY854709	AY854766
<i>Sinocyclocheilus halfibindus</i>		AY854723	AY854780
<i>Sinocyclocheilus altishoulderis</i>		AY854724	AY854781
<i>Sinocyclocheilus jii</i>		AY854727, AY854728	AY854784, AY854785
<i>Sinocyclocheilus macrolepis</i>		AY854729	AY854786
<i>Sinocyclocheilus macrophthalmus</i>		AY854733	AY854790
<i>Sinocyclocheilus jiuxuensis</i>		AY854736	AY854793
<i>Sinocyclocheilus bicornutus</i>		AY854730, AY854731	AY854787, AY854788
<i>Sinocyclocheilus cyphotergous</i>		AY854711	AY854768
<i>Sinocyclocheilus multipunctatus</i>		AY854712, AY854713	AY854769, AY854770
<i>Sinocyclocheilus longibaratus</i>		AY854714	AY854771
<i>Sinocyclocheilus xunlensis</i>	Huanjiang, Guangxi	EU366187, EU366190	EU366184, EU366185
<i>Sinocyclocheilus yimenensis</i>	Yimen, Yunnan	EU366191, EU366192	EU366179, EU366180
<i>Sinocyclocheilus purpureus</i>	Luoping, Yunnan	EU366189, EU366194	EU366177, EU366178
<i>Sinocyclocheilus maculatus</i>	Yiliang, Yunnan	EU366193	EU366183
<i>Sinocyclocheilus qiubeinsis</i>	Songming, Yunnan	EU366188, EU366195	EU366181, EU366182
Outgroup			
<i>Cyprinus carpio</i>		X61010	X61010
<i>Carassius carassius</i>		NC_006291	NC_006291
<i>Barbus barbus</i>		NC_008654	NC_008654
<i>Puntius ticto</i>		NC_008658	NC_008658
<i>Labeo batesii</i>		AB238967	AB238967
<i>Gymnocypris przewalskii</i>		AB239595	AB239595
<i>Gymnocypris eckloni</i>		AY463522	EU366186
<i>Barbodes laticeps</i>		AY854738	AY854795
<i>Danio rerio</i>		AC024175	AC024175

a) For those sequences of *Sinocyclocheilus* species without localities, please refer to Xiao et al.^[9]; b) the Accession Nos. of newly obtained sequences are labeled with bold type.

1.3 Phylogenetic analysis

Multiple alignment of sequences was performed using Clustal X^[18] with default parameters, and verified by eye. The DNA sequences were translated into protein sequences to determine whether nonsense mutations or indels were present using MEGA3.1^[19]. Measures of nucleotide composition across all taxa were obtained using the program PAUP*4.0b10^[20]. A chi-square (χ^2) test of base heterogeneity was calculated for each codon position and for all codon positions, as implemented in PAUP*4.0b10^[20].

Bayesian mixed inferences were run in MrBayes 3.1.1^[21], employing partition specific modeling. MODELTEST 3.7^[22] was used to choose models for each data partition in Bayesian mixed inferences. We preferred to use Bayes information criterion (BIC) for model selection because it has several advantages over widely used hierarchical likelihood-ratio tests (hLRTs). Among the pitfalls of hLRTs are: (i) need for an arbitrary choice between sequential addition or removal of parameters; (ii) election of parameter addition or removal order, and (iii) inability to address model selection uncertainty^[23]. We employed different partition strategies and used the Bayes factor to select among *a priori* partition strategies. Because different genes and different codon positions may evolve according to very different rules, we chose eight partition strategies similar to those of Brandley et al.'s^[24] (Table 2). All partition strategies were denoted with a capital P and a numerical subscript identifying the number of data partitions. Additional subscript letters identify multiple partitioning strategies that have the same number of partitions but partition the data differently. A Bayes factor (B_{01}) is equal to the ratio of the marginal likelihoods of H_0 and H_1 . As these are difficult to compute directly, one can use the harmonic means as a valid approximation^[24]. We used the *sump* command in MrBayes to get the log-transformed harmonic means. Therefore, the Bayes factor can be calculated as the ratio of the harmonic means of likelihoods of the two analyses being tested. In this study, $2\ln\text{Bayes factor} \geq 10$ was considered as very strong evidence supporting the alternative hypothesis based on hypothesized cut-off values^[25]. Preliminary analysis indicated that the default temperature parameter (Temp = 0.2) resulted in infrequent or complete absence of swapping of states between adjacent chains. We adjusted Temp = 0.04 so that the values of adjacent chains

swapping frequencies were 10% to 70% (an appropriate target value according to the MrBayes 3.1.1 manual) and used this value for all Bayesian analyses. All Bayesian inferences consisted of 5000000 generations with a random starting tree, default priors and four Markov chains (with Temp = 0.04) sampled every 1000 generations. All the parameters of partitions were unlinked, except for branch lengths and topology. All partitioned analyses accommodated among-partition rate variation (APRV) by using the “prset ratepr = variable” option. We plotted $-\ln L$ scores against generation time to detect stationarity in MCMC analyses. MCMC convergence was also explored by plotting all parameters in the model against generation time using the *cumulative* function of program AWTY online^[26]. To decrease the chance of trapping on local optima, two separate analyses were performed for each partition strategy, mean $-\ln L$ scores were compared for the two runs, and posterior probability estimates for each clade were compared between the two analyses using scatter-plot created by the *compare* command of the program AWTY online^[26]. If posterior probability estimates for clades were similar in both analyses, then the post burn-in trees for the two analyses were combined.

Table 2 Partitioning strategies used in this study.

Partition strategy	Partition identity
P ₁	all data combined
P _{2A}	one partition for the 3rd positions of combined data; the other partition for the 1st and 2nd positions of combined data
P _{2B}	cyt <i>b</i> ; ND4
P ₃	codon positions for combined data
P _{4A}	cyt <i>b</i> ; ND4 codon positions
P _{4B}	cyt <i>b</i> codon positions; ND4
P _{4C}	1st and 2nd positions of cyt <i>b</i> ; 3rd positions of cyt <i>b</i> ; 1st and 2nd positions of ND4; 3rd positions of ND4
P ₆	cyt <i>b</i> and ND4 codon position respectively

1.4 Molecular clock test and divergence time estimation

To estimate divergence times, we first performed likelihood-ratio test to investigate if substitution rate constancy fitted the cyt *b* and ND4 dataset respectively. We used PAUP*4.0b10^[20] to compare the likelihood of the most likely tree with and without a molecular clock enforced. We also used the program BEAST v1.4.5^[27] to investigate the behaviour of rates throughout the tree with the rate for each branch following an uncorrelated relaxed lognormal clock for the combined data. Posterior estimates were

obtained by sampling every 1000 MCMC steps from a total of 3000000 steps under GTR+I+ Γ model. The results were analyzed using the software Tracer v1.3^[28], with burn-in being 1500000 steps.

There are no known golden-line fish fossils or related geological events, so the tree could not be calibrated internally. Therefore, two calibration points in the outgroups were used to calibrate the tree. The C1 calibration point is based upon the departure of the Upper Yellow River and Qinghai Lake-0.15 Ma^[29], used as a minimum age. The C2 calibration point is the recent literature-based separation of the tribes of Cyprinini and Labeonini-15.96 Ma^[15]. Because the species within *Tor* were not included in our study, this point was used as a maximum age. The best tree topology selected by Bayes factor was used to conduct molecular dating. Nonparametric rate smoothing (NPRS)^[30] and penalized likelihood (PL)^[31] implemented in r8s^[32] and Bayesian method implemented in Multidivtime^[33,34] (available from <http://statgen.ncsu.edu/thorne/>) were used to estimate divergence times. For NPRS and PL estimation, Powell and TN methods for optimizing the objective function were used respectively; the log penalty function were used for PL estimations; cross-validation was used to select the optimal values of smoothing in PL.

For Bayesian molecular dating, in order to reduce computation time, the tree was pruned to include only a single haplotype for each species. This method allows for evolutionary rate variation among lineages and among genes in multi-gene datasets. We used two partitions partitioned by gene in this analysis. We followed the method of Rutschmann^[34] and Yang and Yoder^[35] in estimating divergence time. This method is implemented in three steps. First, Baseml program in PAML v.3.14^[36] was used to estimate the following model parameters under the F84+ Γ model of nucleotide substitution for each partition from the sequence data: nucleotide frequencies, transition/transversion parameter κ , and the shape parameter α of the Γ distribution with four rate categories of rates among sites. Then, these parameters were used to estimate the maximum likelihood of branch lengths and a variance-covariance matrix of branch lengths, using the estbranches program. Next, the species *Danio rerio*, used as the outgroup of all other species, was pruned from the tree, and the output data of estbranches was used as input for the Multidivtime program to estimate the posterior of divergence times, with their standard deviations (SD) and the 95% credibility

intervals (CI) via Markov chain Monte Carlo. The Markov chain was run for 2000000 generations and sampled every 100 generations after an initial burn-in period of 200000 cycles. To ensure the convergence of the MCMC algorithm, two independent runs were performed for the same data and same prior distributions with different starting points. Prior gamma distribution on the three parameters of the relaxed clock model were assumed and specified through the mean and SD of the root age, root rate and rate autocorrelation; 18 Ma (SD = 1.8 Ma) for the expected time between tips and root without node time constraints (the emergence of Cyprininae^[15]), 0.007 (SD = 0.0007) substitutions per site per million years for the rate at the root node; and 0.08 (SD = 0.08) for the parameter (ν) that controls the degree of rate autocorrelation per Myr along the descending branches of the tree. We adjusted the value and SD of ν according to the recommendation of Thorne and Kishino^[33] so that the value when multiplied by the approximate time from the root to the present the product is between 1 and 2; all remaining parameters were set at the default values.

2 Results

2.1 Characteristics of individual genes

For 9 individuals, we sequenced the complete cyt *b* gene and identified 8 haplotypes. The other complete cyt *b* gene was retrieved from GenBank. A total of 1140 positions were analyzed, of which 537 characters were variable, and 434 of these characters were phylogenetically informative (47.1% and 38.1%, respectively). Mean base composition of cyt *b* gene sequences was 29.5%, 27.9%, 27.9%, 14.7% (A, T, C, G, respectively), with a low G content. Nucleotide composition test showed that all taxa analyzed only exhibited heterogeneity at the third codon positions, but not at first and second positions: first position, $\chi^2 = 34.036$, $df = 180$, $P = 1.000$; second position, $\chi^2 = 3.756$, $df = 180$, $P = 1.000$; third position, $\chi^2 = 316.585$, $df = 180$, $P < 0.001$. But for all codon positions, nucleotide composition was homogenous: $\chi^2 = 130.112$, $df = 180$, $P = 0.998$.

For 9 individuals of *Sinocyclocheilus* and one individual of *Gymnocypris eckloni*, we sequenced the complete ND4 gene (1381 bp) and identified 10 haplotypes. All newly obtained ND4 sequences start with initial codon ATG and end with an incomplete T stop codon. The other ND4 gene sequences were retrieved from GenBank. A total of 1032 positions were analyzed, of

which 484 characters were variable, and 397 of these characters were phylogenetically informative (46.9% and 38.5%, respectively). Mean base composition of ND4 gene sequences was 31.0%, 27.3%, 27.1%, 14.6% (A, T, C, G, respectively), with a low G content. Nucleotide composition test showed that all taxa analyzed exhibited homogeneity at three codon positions: first position, $\chi^2 = 25.028$, $df = 180$, $P = 1.000$; second position, $\chi^2 = 3.979$, $df = 180$, $P = 1.000$; third position, $\chi^2 = 167.505$, $df = 180$, $P = 0.739$. The base compositional homogeneity of *cyt b* and ND4 sequences will not adversely affect phylogenetic inference.

2.2 Data partitioning and phylogeny of *Sinocyclocheilus*

The two genes were concatenated to form a combined data set of 2172 unambiguously aligned sites. Models selected by MODELTEST 3.7 are listed in Table 3. TrN and TrNef cannot be specified in MrBayes, so they were replaced by more generalized GTR.

Table 3 Data partitions, their estimated models of sequence evolution, and total number of characters of each partition in phylogenetic analysis

Partition	Model	Number of characters in partition
ND4	TrN+I+G	1032
ND4 1st codon	TrNef+G	344
ND4 2nd codon	HKY+I+G	344
ND4 3rd codon	TrN+I+G	344
ND4 1st and 2nd codon	HKY+I+G	688
<i>cyt b</i>	TrN+I+G	1140
<i>cyt b</i> 1st codon	K80+G	380
<i>cyt b</i> 2nd codon	HKY+I	380
<i>cyt b</i> 3rd codon	GTR+I+G	380
<i>cyt b</i> 1st and 2nd codon	HKY+I+G	760
all data	TrN+I+G	2172
all data 1st codon	K80+I+G	724
all data 2nd codon	HKY+I+G	724
all data 3rd codon	GTR+I+G	724
all data 1st and 2nd codon	HKY+I+G	1448

For mixed-model Bayesian analyses, the $-\ln L$ values reached stationarity by generation 2×10^5 ; however, the cumulative graphs of posterior probabilities produced by AWTY^[26] showed that the posterior probabilities of the splits did not get stabilized until approximately 2.5×10^6 (plots not shown) generations. The scatter-plot produced by the *compare* command of the program AWTY online^[26] showed no significant variability among independent runs, so the results of both analyses were combined. Given these results, the first 2500 trees of each run were discarded as ‘burn-in’ and a 50% majority-rule consensus tree that summarizes topology and branch-length information was calculated based upon the remaining 5002 trees.

The estimated Bayes factor comparisons between different partition strategies and mean $-\ln L$ of each partition strategy are listed in Table 4. As P_3 was the best partitioning strategy and all the mixed-model Bayesian analyses recovered nearly identical topologies, all discussions of Bayesian phylogeny and clade posterior probabilities are limited to this analysis (Figure 1). The monophyly of *Sinocyclocheilus* was confirmed with very strong support ($PP = 0.96$) and six clades connected deeply in phylogenetic history of this genus were identified. *S. jii* was at the basal split in *Sinocyclocheilus*, the other species of *Sinocyclocheilus* formed the sister group to *S. jii* with very strong support ($PP = 0.96$) and could be subdivided into five clades, of which clades A, C, D and E were all strongly supported ($PP = 1.00$ for all clades) and clade B was with moderate support ($PP = 0.74$). The species of Clade D and E are all cave species, and the latter is the sister group to clades A, B, C and D with strong support ($PP = 1.00$). Clade A is the most species rich clade in *Sinocyclocheilus*.

Table 4 2lnBayes factor results of comparisons between each partitioning strategy and $-\ln L$ of each partition strategy^{a)}

	Partitioning strategies							
	P ₁	P _{2A}	P _{2B}	P ₃	P _{4A}	P _{4B}	P _{4C}	P ₆
−lnL	20445.47	19555.93	20437.85	19458.26	20024.37	19898.88	19542.06	19477.12
P ₁	—							
P _{2A}	1761.96	—						
P _{2B}	−12.62	−1774.58	—					
P ₃	1966.94	204.98	1979.56	—				
P _{4A}	822.28	−939.68	834.9	−1144.66	—			
P _{4B}	1080.22	−681.74	1092.84	−886.72	257.94	—		
P _{4C}	1788.18	26.22	1800.8	−178.76	965.9	707.96	—	
P ₆	1891.90	129.94	1904.52	−75.04	1069.62	811.68	103.72	—

a) The left bottom matrix represents 2lnBayes factors.

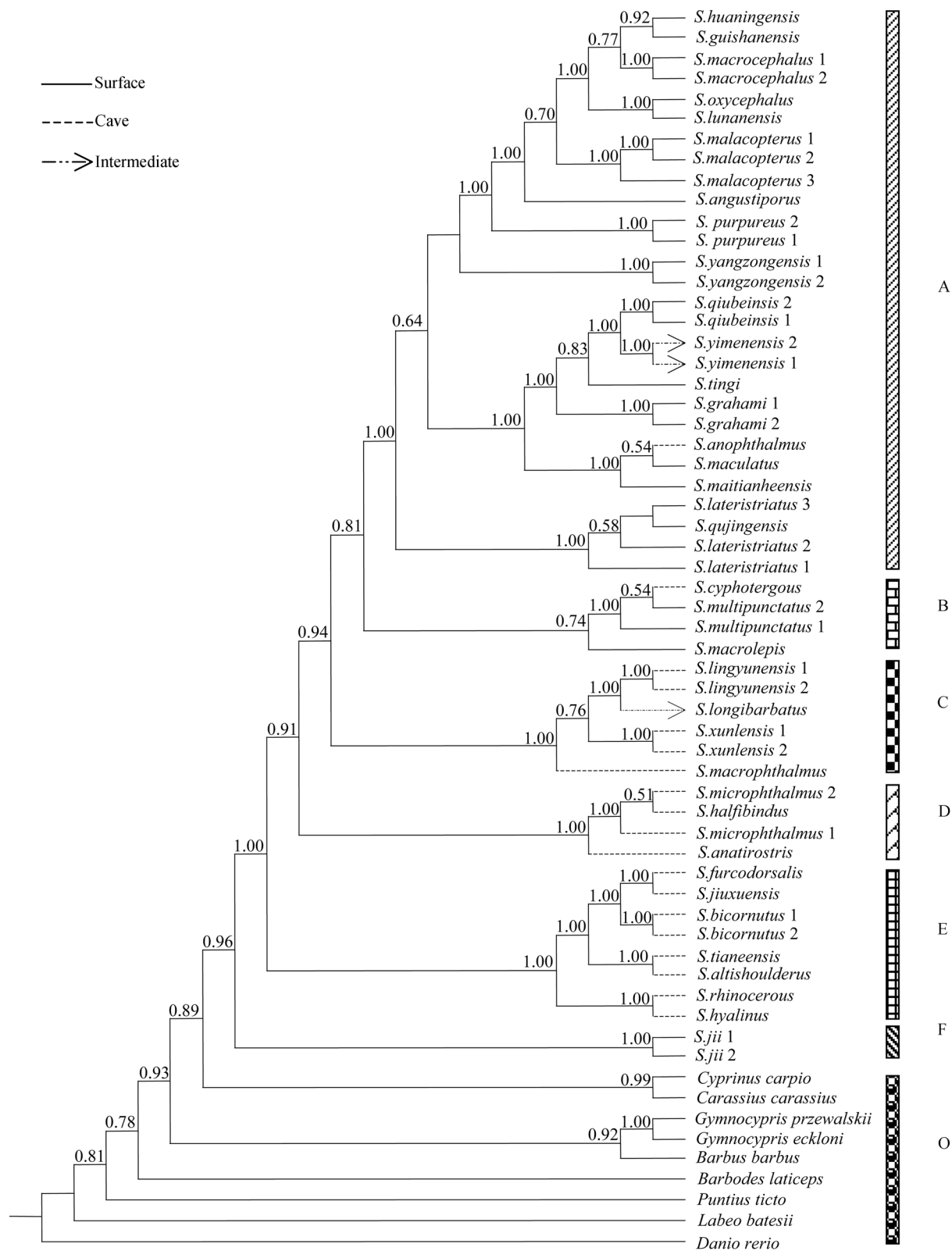


Figure 1 A majority-rule consensus tree of partitioned Bayesian analysis (P_3). Numbers above nodes represent node supports inferred from Bayesian posterior probability analysis. <50% are not shown. The habitat type of ingroup species is from Xiao et al.^[9], except for the five newly added species.

2.3 Molecular dating

Significant difference was observed between the likelihood scores of clock and non-clock models for *cyt b* and ND4 dataset: $\chi^2 = 152.33558$, $df = 59$, $P < 0.001$ for *cyt b*; $\chi^2 = 102.00113$, $df = 59$, $P < 0.001$ for ND4. The standard deviation of parameter *ucl* was 0.519, coefficient of variation of rates was 0.512, suggesting moderate rate heterogeneity among lineages. The optimal smoothing value selected by cross-validation analyses was 0.0001 for PL.

The tree obtained from P_3 was used to conduct molecular dating. The chronogram obtained with Multidivtime^[34] is provided in Figure 2, and the divergence times obtained for ten key nodes within *Sinocyclocheilus* are listed in Table 5. The molecular date estimates produced by three different methods were broadly consistent. The divergence time for *Sinocyclocheilus* was about 11 Ma (with the 95% confidence interval ranging from 8.00 to 13.23 in Multidivtime). Nodes 2, 3, 4 and 5 occurred shortly afterwards, which represents rapid speciation events. The rapid speciation events of recovered clades began in late Miocene, except for the clade C which began to diversify from Mid to Late Pliocene.

Table 5 Divergence dates estimated from molecular data using three different methods

Node	r8s		Multidivtime	
	NPRS	PL	Mean (SD)	95% CI
1	11.51	11.41	10.61(1.35)	(8.00, 13.23)
2	9.36	9.16	8.99(1.34)	(6.42, 11.64)
3	8.79	8.58	8.73(1.33)	(6.19, 11.37)
4	8.09	7.87	8.20(1.32)	(5.68, 10.80)
5	7.68	7.45	7.88(1.32)	(5.37, 10.51)
6	5.63	5.27	5.71(1.20)	(3.50, 8.12)
7	7.12	6.90	7.38(1.31)	(4.89, 10.01)
8	2.97	2.85	3.49(1.08)	(1.69, 5.88)
9	6.29	6.10	6.80(1.36)	(4.26, 9.54)
10	6.44	5.89	6.54(1.30)	(4.15, 9.22)

3 Discussion

3.1 Performance of partitioned Bayesian analyses and partition choice

The use of mixed-model Bayesian analyses does greatly improve mean $-\ln L$ scores. However, simply adding partitions does not necessarily improve $-\ln L$. Identity of each partition is more important, which supported the results of Brandley et al.^[24] and Guo and Wang^[37]. For example, partitioning the combined data by gene (P_{2B}),

which was adopted by Xiao et al.^[9], seems worse than no partitioning; partitioning strategies P_{4A} , P_{4B} , P_{4C} and P_6 are all worse than P_3 . For gene considered, partitioning the *cyt b* data by codon positions (P_{4B}) has larger effect than that of ND4 (P_{4A}) on the mean $-\ln L$. The former is 125.49 likelihood units better than the latter. Partitioning combined data by codon positions (P_{2A} , P_3 , P_{4C} and P_6) has dramatic improvement on $-\ln L$ compared to partitioning by genes (P_{2B} , P_{4A} and P_{4B}), suggesting that the same codon positions of different protein-coding gene on the same strand of the mitochondrial genome have similar evolutionary dynamics. The use of 3 partitions improves mean $-\ln L$ more than any of the alternative strategies according to the Bayes factors. Using $2 \ln \text{Bayes factor} \geq 10$ as a current criterion, all partition strategies are decisively different from each other (Table 4), but the topologies are similar, with only some nodal posterior probabilities changed, especially for some deep nodes. For example, the posterior probabilities of 0.85, 0.96, 0.51 and 0.51 in P_{2B} are replaced by 0.91, 0.94, 0.81 and 0.64 in P_3 , respectively. According to Brandley et al.^[24] and Guo and Wang^[37], this raises the question of whether $2 \ln \text{Bayes factor} \geq 10$ imposes enough penalty to additional partitions.

3.2 Phylogeny of *Sinocyclocheilus*

Our tree contrasts with morphology-based phylogenetic hypotheses^[7,8], but is partly congruent with Xiao et al.'s^[9] phylogenetic studies. As *Cyprinus carpio* and *Carassius carassius* are more closely related to *Sinocyclocheilus* than *Barbodes laticeps*, *Barbodes laticeps* is not an optimal outgroup. The cave species are neither monophyletic^[8] nor diphyetic^[7], but a polyphyletic assemblage. This suggests that some morphological or osteological characters may be uninformative. Clades D and E are identical with clades III and IV of Xiao et al.^[9], respectively. *S. xunlensis* clusters with the species in clade II of Xiao et al.^[9] to form the clade C in our analyses. Clade A is formed by clade V of Xiao et al.^[9] and the other four newly added species. Clade B is a major difference between our analyses and Xiao et al.'s^[9]. According to Xiao et al.'s result^[9], clade I included *S. multipunctatus* and *S. cyphotergous*, while our clade B include *S. macrolepis* in addition to these two species. Although the PP of clade B is not high, all its BP are above 50% in MP and ML tree (unpublished data). The unstable position of *S. macrolepis* in Xiao et al.'s^[9] study may be due to the inappropriate outgroup

taxa.

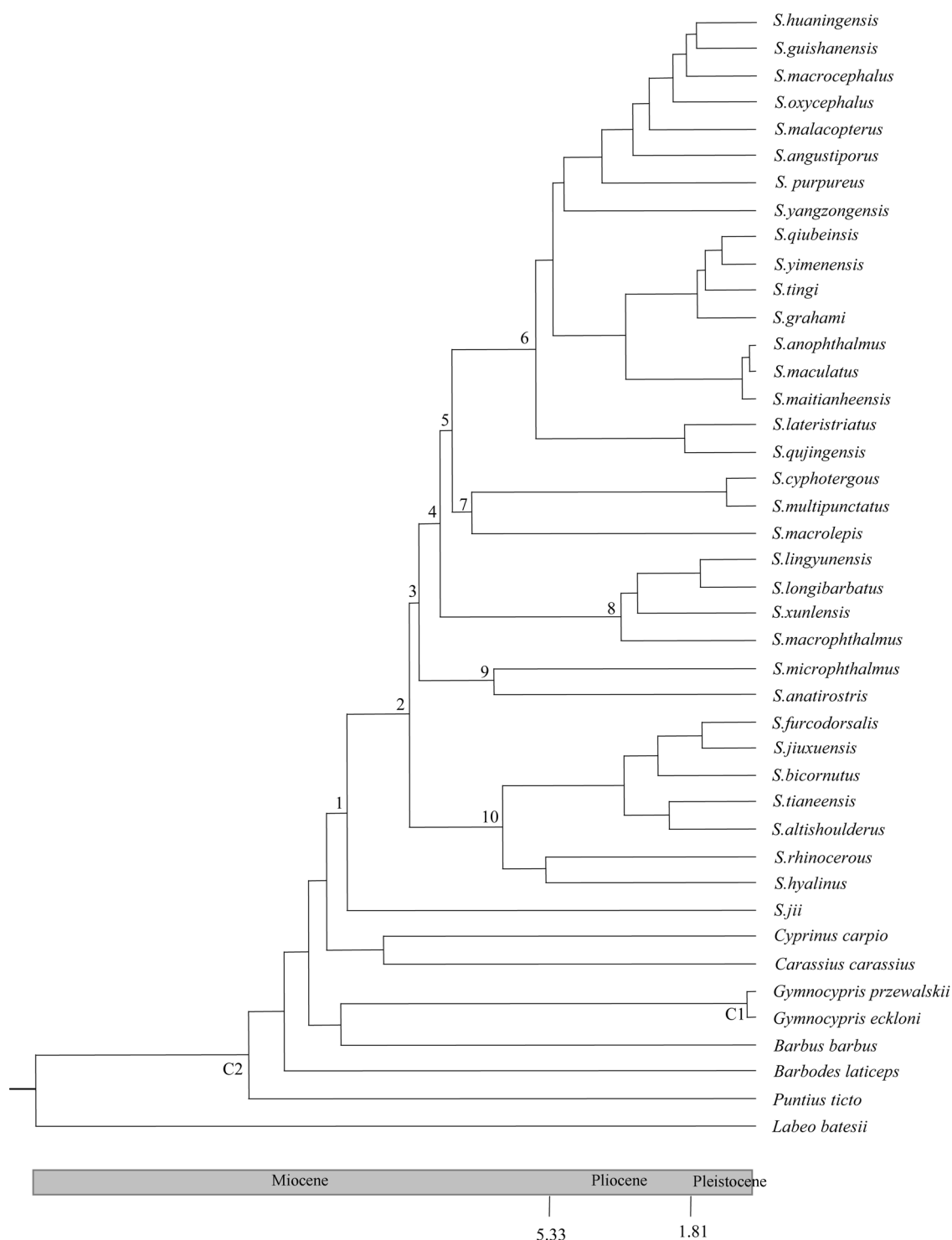


Figure 2 *Sinocyclocheilus* and outgroups chronogram based on the Bayesian relaxed clock analyses for the combined data. Branch lengths are proportional to divergence times. C1 and C2 denote nodes used for calibrating molecular date estimates.

Another major difference between Xiao et al.'s^[9] and this study lies in the phylogenetic relationships among the six clades. Our MP tree is (((((A,C),B),D),E),F) and

it cannot be rejected by AU, KH and SH test (unpublished data) at the 5% level of significance compared with Bayesian tree (((((A,B),C),D),E),F) in present study.

So, only the positions of clades A, B and C are not resolved. However, the branching orders among clades I, II, III, IV and V were not resolved in Xiao et al.'s study^[9]. So the unresolved positions of III and IV of Xiao et al.^[9] cannot be ascribed to rapid diversification in *Sinocyclocheilus*.

The validity of *S. lunanensis* and *S. halfibindus* are contentious^[7,9,14]. The *p* distances of cyt *b* between *S. halfibindus* and two haplotypes of *S. microphthalmus* are 0.526% and 0.702% respectively; the *p* distances of ND4 were 0.388% and 0.484% respectively. The *p* distances between *S. lunanensis* and *S. oxycephalus* were 0.175% with cyt *b* and 0.097% with ND4. Given the small genetic distance and similar morphology, we supported the hypothesis that *S. lunanensis* is a synonym of *S. oxycephalus*, and *S. halfibindus* is a synonym of *S. microphthalmus*^[7,14].

3.3 Origin and diversification of *Sinocyclocheilus* species

The date estimates presented here is older than Xiao et al.'s^[9]. For example, the times for clade D and E at 95% CI are 4.26–9.54, 4.15–9.22 Ma, respectively. However, the estimated times for clades III and IV of Xiao et al. at 95% highest posterior density (HPD) interval were 2.254–8.177, 1.94–6.299 Ma, respectively. The time scales were consistent with the assumption that the ancestors of *Sinocyclocheilus* species were distributed in Yun-gui Plateau in the late Tertiary and had diversified to some degree before the end of the Tertiary^[38], but the time of origin and diversification of this genus may be much older than previously assumed^[38].

The most recent common ancestor (MRCA) of *Sinocyclocheilus* dated back to about 11 Ma, with the next four divergence (nodes 2, 3, 4, 5 in Figure 2) occurring shortly afterwards. The four divergence times are from 9–8 Ma. This divergence time estimation can be used to distinguish among competing hypotheses about the phases of uplift of the Tibetan Plateau because *Sinocyclocheilus* species have low ability to disperse, limited distribution and the uplifting of Yun-Gui Plateau is

closely correlated with the uplifting of Tibet Plateau. One point of view is that rapid uplifting and un-roofing of southern Tibet began about 20 Ma, and further significant increases in altitude of the Tibetan plateau are thought to have occurred about 10–8 Ma^[39]. However, an alternative view is that the Tibetan Plateau reached its maximum height before 8 Ma but was then lowered by extensional faulting, with a recent rapid uplift of the plateau occurring about 3.6 Ma accompanied by the largest glacier in the Northern hemisphere^[40]. According to the former hypothesis, the onset of east Asian monsoons are about 9–8 Ma^[41], and the summer monsoons can bring plenty of rain water to east Asia. So, the molecular date estimates here lend support for the former.

As we know, change in the carbon dioxide concentration of the atmosphere is commonly regarded as a likely forcing mechanism on global climate over geological time because of its large and predictable effect on temperature. Interestingly, since the early Miocene (about 24 Ma), atmospheric CO₂ concentrations (*p*CO₂) appear to have remained below 500 μL/L and were more stable than before^[42], but there was still considerable fluctuation of *p*CO₂. *p*CO₂ estimates for the middle and late Miocene indicated that *p*CO₂ increased from 14 to 9 Ma, and then stabilized at preindustrial values by 9 Ma^[42,43], all above 210 μL/L^[42]. The age presented here for all of the ten nodes are broadly consistent with the fluctuation of *p*CO₂. Before the onset of major glaciation in the Northern Hemisphere, Asia was in a period of warmth and continental humidity, and bedrock weathering was probably more intense during periods of warm climate, high *p*CO₂, continental humidity. So, diversification of *Sinocyclocheilus* may be associated with the change of environment in this period. However, further evidence is needed.

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