

基于热管技术的动力电池热管理系统研究现状及展望

丹聃, 姚程宁, 张扬军*, 钱煜平, 诸葛伟林

清华大学汽车工程系, 汽车安全与节能国家重点实验室, 北京 100084

* 联系人, E-mail: yjzhang@tsinghua.edu.cn

2018-09-16 收稿, 2018-12-01 修回, 2018-12-07 接受, 2019-01-30 网络版发表

国家自然科学基金“中国汽车产业创新发展联合基金”(U1864212)资助

摘要 电池热管理是发展高性能动力电池系统的关键技术之一,也是工程热物理领域研究前沿和热点.本文介绍锂离子动力电池热特性,阐述热管理对动力电池的重要性.介绍动力电池热管理主要技术手段,重点介绍热管技术应用于电池热管理的研究现状,从电池运行工况对系统传热的影响研究、热管传热特性分析与设计、热管理系统散热结构设计与传热分析及采用热管的电池加热研究4个方面阐述当前基于热管技术的电池热管理研究现状.最后,总结当前研究存在的不足及需要突破的关键问题,以期促进先进动力电池热管理系统开发.

关键词 锂离子电池, 热管理, 热管, 强化传热, 低温加热

动力电池是电动汽车的重要组成部分,其性能优劣直接制约整车动力性、安全性和经济性.动力电池能量密度决定电动汽车续航里程,功率密度决定最大爬坡度及最高车速,循环寿命和成本影响整车成本和使用经济性,动力电池的电/热安全性和环境适应性,是决定电动汽车整车安全性和环境适应性的关键因素^[1,2].锂离子电池是镍氢电池等的升级换代,具有较高的能量密度(约250 W h/kg)和功率密度(约1500 W/kg),在续航里程和使用寿命等方面具有较强优势,为当前研发和产业化的重点^[3-5].

锂离子电池系统是具有复杂流动和传热过程的电化学动力源,温度是影响其性能的关键因素,主要体现在三方面^[6,7]:(1) 温度升高,加剧电池容量衰退,过高的温度甚至造成热失控;(2) 温度过低,电池功率、容量显著衰减,充放电效率下降;(3) 电池组中不同电池之间温度差异,会导致单体内阻、容量的一致性和不均速老化,形成整个电池系统性能与寿命短板.因此,动力电池工作性能在较大程度上受到温度影响,需通过设计合理的热管理系统结构、开发先

进的热管理控制策略,使动力电池工作在适宜温度范围内,并有效控制单体间温差,从而提高动力电池性能.

本文首先介绍锂离子电池产热机理以及温度对其性能的影响,说明电池组热管理的重要性及热管理系统设计要求;对常见热管理技术手段进行阐述,指出热管技术的优势并重点介绍基于热管技术的电池热管理研究;最后,提出基于热管技术的电池热管理研究中需解决的关键问题及研究展望.

1 锂离子电池产热特性与热管理需求

锂离子电池充放电过程本质是离子迁移与化学反应, Li⁺在层状结构碳材料和金属氧化物内嵌入和脱出,如图1所示.正常工作条件下,电池产热来源包括欧姆热、电化学反应热和极化热.随着温度升高,电池内部发生一系列放热化学反应,包括电解液分解、负极热分解、负极与电解液的反应、SEI膜分解反应等,过高的温度可能导致热失控^[8,9],不同温度下电池内部发生的反应如图2所示^[9].

引用格式: 丹聃, 姚程宁, 张扬军, 等. 基于热管技术的动力电池热管理系统研究现状及展望. 科学通报, 2019, 64: 682-693

Dan D, Yao C N, Zhang Y J, et al. Research progress and future prospects of battery thermal management system based on heat pipe technology (in Chinese). Chin Sci Bull, 2019, 64: 682-693, doi: 10.1360/N972018-00948

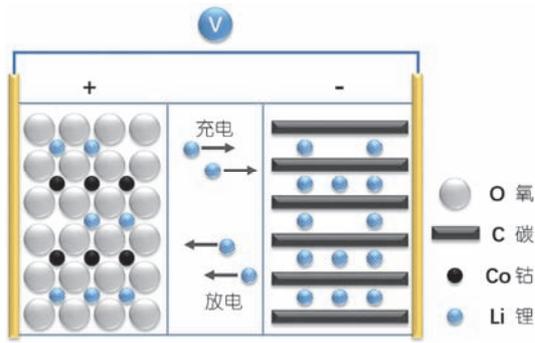


图1 (网络版彩色)锂离子电池充放电过程离子移动示意图
Figure 1 (Color online) Schematic diagram of ion migration during lithium ion battery charging and discharging process

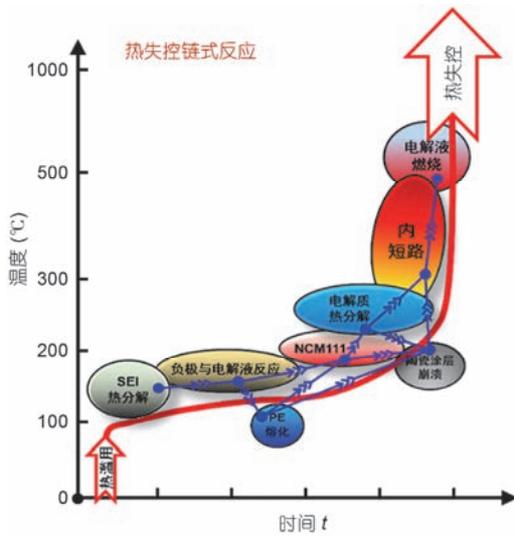


图2 (网络版彩色)不同温度下锂离子电池内部电化学反应^[9]
Figure 2 (Color online) Internal electrochemical reaction of lithium ion battery at different temperatures^[9]

温度引起电化学性能变化, 从而影响电池使用性能与寿命. 温度升高, 电化学反应速率增加, 加剧电池容量衰减^[10]; 低温环境也会造成电池性能衰减, 锂离子在电极活性物质中的迁移能力减弱, 充放电容量迅速下降^[11-13]. 此外, 过高或过低的温度会加速电池老化, 影响电池寿命. 特别在大倍率充放电情况下, 温度对电池寿命的影响更为显著^[14]. 研究表明, 索尼18650锂电池在25℃循环工作800次后容量损失为30%, 而在50℃循环工作800次后容量损失接近60%^[15]. 过高或过低的存储温度也会导致锂电池容量衰减, 加速老化^[16,17].

车用电池系统通常由成百上千节电池单体组成, 电池组面临更加严峻的热问题. 受传热结构、串并联

方式、运行工况等因素影响, 电池组内各单体电池温度在运行过程中呈现较强不一致性, 从而导致电池内阻、容量衰减和放电深度不一致, 进而导致整个电池组可用容量和寿命衰减^[18,19](图3). 实际上, 电芯温度一致性是决定电芯寿命利用率的重要参数, 进而影响电池组寿命(式(1)), 因此, 保证电芯温度一致性尤为重要.

$$\text{电池组寿命} = \text{电芯寿命} \times \text{电芯寿命利用率} \quad (1)$$

综上所述, 控制动力电池组温度, 减小单体电池间温差对提高电池组性能具有重要意义. 目前认为锂电池最佳工作温度范围为25~40℃, 单体间温差小于5℃^[20,21]. 当前热管理技术大多以上述温度和温差为目标, 对热管理系统结构与控制方法进行设计, 保证动力电池组工作效率与使用寿命.

2 常见的热管理手段

电池热管理包括高温散热与低温加热. 常用的电池散热手段包括基于气体(空气)、液体、固体相变材料(phase change material, PCM)和热管的电池散热技术. 电池模组的低温加热手段主要包括基于流体或热敏电阻元件(positive temperature coefficient, PTC)的外部加热和基于电池自身产热内部加热方式.

2.1 电池散热技术

应用空气主要包括强制对流和自然冷却. 研究者通过冷却风道结构设计^[22,23]、电池排列方式设

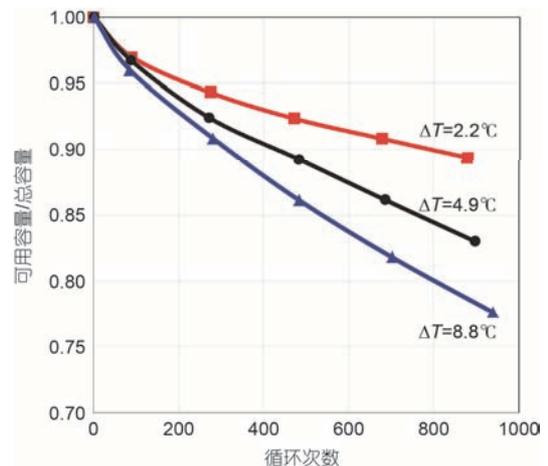


图3 (网络版彩色)温差对电池组可用容量的影响^[19]
Figure 3 (Color online) Effect of temperature difference on available capacity of battery pack^[19]

计^[24,25]、通风控制策略优化^[26]等方法研究了电池组传热特性并提出强化传热及改善均温性的措施. 由于风冷系统具有低成本、系统结构简单、便于维护等优点, 被应用于一些续航里程较短且主打性价比的车型上, 如日产LEAF采用被动式电池热管理系统为其锂离子软包电池组散热, 此外, 丰田普锐斯、起亚Soul EV、上汽荣威MARVEL X也均采用风冷散热. 然而, 对于大规模锂离子电池组而言, 由于电池热负荷较大, 热传导的弛豫时间较长, 空气冷却无法满足散热要求^[27,28]. 尤其是在高温环境下, 风冷热管理技术换热效率较低, 且不一致性较大, 难以满足热管理需求.

由于空气对流换热系数较低, 采取液体代替空气成为强化传热的必然手段, 研究通常在电池组底部或单体之间布置液冷板进行散热. 目前液冷系统的研究大多集中于冷却通道的设计: 通过增加冷却液通道个数^[29]、改善冷却通道结构^[30-32]、在通道内布置翅片^[33]、设计连通式组合冷板^[34,35]等方式改善散热能力和均温性. 近年来, 采用新型制冷工质作为热管理冷却剂的研究也较为普遍, 如采用液态金属^[36]、纳米金属流体^[37,38]等实现强化散热. 当前, 不同车企对于液冷散热应用方式不尽相同, 特斯拉液冷系统采用质量比为1:1的水和乙二醇混合冷却液, 将冷却管道蜿蜒布置在18650电池堆中, 对每节电芯进行散热; 雪佛兰Volt软包电池模组也采用液冷散热, 如图4所示, 每两节软包电芯构成一个单元, 将一块带有液冷流道的铝板布置在两节电芯之间, 采用并行流道设计方案, 实现每节电芯大面积冷却. 此外, 还有基于液体相变原理的电池散热方法, 即将空调系统蒸发器安装在电池系统底部, 利用制冷剂蒸发带走电池产热, 也称直接冷却, 典型的应用如宝马i3系列. 液冷热管理是当前工程应用中较为普遍的方式, 然而系统较复杂、质量较大, 同时存在泄漏可能.

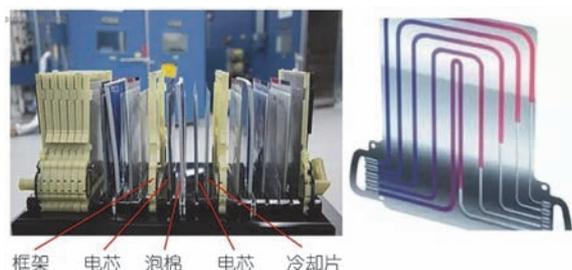


图4 (网络版彩色) Volt电池冷却系统及冷板结构

Figure 4 (Color online) Battery cooling system and cooling plate structure of Volt

基于固液相变材料的电池热管理是当前另一研究重点, 其原理是利用PCM相变吸热降低电池温度^[39,40]. PCM可有效保证电池组均温性, 然而材料导热性能较差, 因此当前研究主要集中于PCM材料制备和改善其导热性能^[41-43]. 此外, PCM质量较重, 降低了电池包能量密度, 以上原因限制了相变材料在动力电池热管理中的应用^[44].

2.2 电池加热技术

锂离子电池在低温环境下充放电性能显著下降, 因此, 需要对电池进行预热, 改善其使用性能. 当前的加热技术主要分为内部加热与外部加热两类^[45,46].

内部加热指电池通过其内阻产热的升温方式, 包括外加交流电加热^[47-49]、电池之间互相脉冲充放电加热^[50]以及电池自放电加热^[51,52]. 此外, Wang等人^[53]设计了一种三电极电池, 增加镍电极并通过电极切换实现快速电池的加热启动.

外部加热主要包括空气加热法和液体加热法. 前者采用电热丝加热空气进而加热电池, 温度均匀但能耗较高^[54]. 后者通过加热流道内的液体进而给电池组加热, 结构较复杂且升温速度较慢. 除上述基于对流的加热方式, 亦可采用PTC或小功率加热膜直接对电池表面进行加热^[55], 该方式对电池散热造成一定影响. 此外, 也有利用PCM吸热/放热原理对电池进行热管理的方法^[56].

采用热管作为电池高温散热/低温加热的传热元件是一种新型热管理方式. 热管是基于气液相变原理传热的高效换热原件, 工作原理如图5所示. 液体工质在受热端蒸发汽化, 在压差驱动下流向另一端并在冷凝段凝结放热, 液体工质通过毛细力沿多孔材料返回蒸发段, 具有传热效率高、均温性好等优点.

热管当前已被广泛应用于能源化工、航天航空、

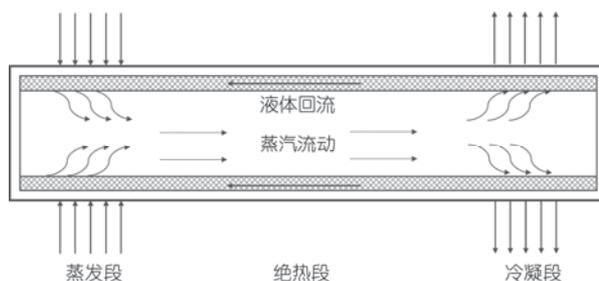


图5 热管工作原理示意图

Figure 5 Schematic diagram of working principle of heat pipe

电子电力等领域。在电池热管理领域,热管在散热/加温速率、电池组均温性方面均有较强优势(图6)。高温散热方面,相比于强制风冷,通过热管导热再进行风冷换热的方法可使电池温度降低 20°C 以上(20 A h 方形电池, 5 C 放电)^[57];低温加热方面,基于热管导热的电池升温速率较PTC直接加热提高1.5倍^[58]。特别在大电流充、放电工况下,热管展现出更加优越的传热性能和均温性。热管的应用有助于实现未来高性能电池包的研发,近年来受到广泛关注。

3 基于热管技术的电池热管理研究

图7为典型的采用热管作为传热部件的电池热管理系统示意图。各单电池充放电过程中产生的热量,直接(或通过铝板等导热介质)传递给布置在单体侧面或底部的热管,再由热管冷端的散热系统将热量带走。由图可见,影响系统传热性能的主要因素包含三方面:(1)动力电池运行工况与产热,即热源工作条件对热管理系统性能的影响;(2)热管的传热特性,主要涉及热管内部结构设计及其在动力电池组中的

布置方式对系统散热性能的影响;(3)热管冷端散热,主要包含直接风冷与水冷二次换热两种形式。此外,在低温情况下,需要通过PTC或电加热膜对热管进行局部加热,再以导热形式传递给电池,这部分研究涉及加热系统设计和加热策略研究。

3.1 电池运行工况及其对系统传热的影响研究

系统运行工况决定电池产热特性,是影响系统传热的关键因素。电池温度升高至热管启动温度之前,热管以管壳导热的形式传递热量,当温度上升至启动温度,管内工质开始利用相变潜热吸热,从而增大其导热系数,使电池温度逐渐趋于稳定。研究表明,电池在恒倍率放电情况下从初始放电至温度达到稳定所需时间约400~2000 s,与电池放电倍率、热管冷端散热条件等因素有关^[59]。电池产热率随放电倍率非线性增加,一节10 A h 方形电池在3, 5和8 C 倍率下的产热率分别约10.5, 25.4, 54.4 W^[60],热源条件的变化导致热管热阻不同,达到稳定时温度分布也不同。此外,冷端换热量越大,热管达到稳定所需的时间越短且稳定温度越低。

电动汽车运行环境复杂多变,随时面临加速、滑坡、急刹等情况,动力电池热特性与稳态工况有较大不同^[61]。图8给出了稳定和非稳定运行工况下电池温度及温差变化规律的差异。Tran等人^[62]采用时变发热功率模拟车用行驶工况,比较了翅片风冷和基于热管的翅片风冷两种情况下发热模块温度波动情况,表明采用热管耦合翅片风冷的电池温度较低,且温度波动相对较小,然而在变化过程中,温度与热流密度变化趋势并非一致,受到热惯性的影响,产热率突降而温度继续升高随后降低。

上述研究从单体层面探讨了非稳定工况对电池

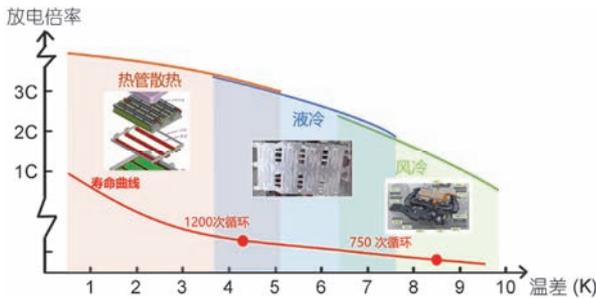


图6 (网络版彩色)基于风冷、液冷及热管的热管理系统性能对比示意图

Figure 6 (Color online) Performance comparison of thermal management system based on air cooling, liquid cooling and heat pipe

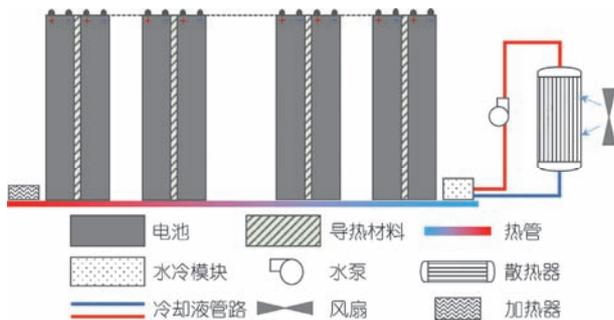


图7 (网络版彩色)基于热管的电池热管理系统示意图

Figure 7 (Color online) Thermal management system based on heat pipe

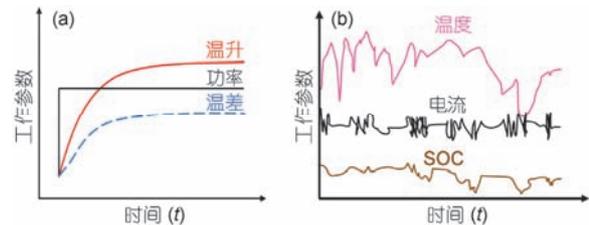


图8 (网络版彩色)车用工况电池温度变化示意图^[61]。(a) 稳定工况;(b) 非稳定工况

Figure 8 (Color online) Schematic diagram of temperature variation under vehicle driving condition^[61]. (a) Steady working condition; (b) unsteady working condition

带来的影响以及热管的可靠性. 电池成组后的动态传热特性与单体电池有较大差异, 特别是热管理结构对电池组内温差的影响较为明显.

电池组温升和温度分布与热管理系统的动态传热过程密切相关, 当前研究尚处于传热效果验证阶段, 如何结合电池组运行条件, 对热管理系统制定有效的实时控制策略, 从而实现高效、低能耗的电池热管理, 是需要进一步解决的问题.

3.2 热管传热特性分析与设计研究

3.2.1 基于动力电池的热管设计与优化

热管设计是影响传热性能的重要因素, 其换热效果与通道尺寸、吸液芯结构、充液率等因素密切相关^[63-66], 合理的热管设计对提高电池热管理效率十分重要. 由于动力电池产热的特殊性, 许多学者在针对电池的热管设计方面展开研究. Jang等人^[67]研究了不同工质对回路型重力热管换热性能的影响, 当电池发热量为50 W时, 以丙酮为工质可控制电池平均温度低于45℃, 优于以水为工质的散热效果. Putra等人^[68]发现工质散热效果与电池产热率密切相关, 针对不同的热源发热量, 采用不同工质才能发挥热管的最大功效, 当电池产热率大于1.61 W/cm²时, 采用乙醇做工质的换热效率最高. Chi等人^[69]研究了充液率对脉动热管换热的影响, 发现热管的最佳充液率随着电池产热率的增大而提高. 因此, 需要针对热源条件选择适当的工质种类及充液率, 以达到最佳换热效果.

当前研究大多从工质层面(工质种类、充液率)研究和优化热管用于动力电池的传热特性, 也有少数文献从结构角度对热管性能进行改善. Swanepoel^[70]设计了基于脉动热管的电池热管理系统, 分析了介质和管道宽度对热管传热性能的影响, 发现当热管内工质为氨水时, 热管宽度需小于2.5 mm, 才能保证其在电池热管理中的启动及散热效率.

在现有动力电池热管理研究采用了不同种类的热管, 如重力型热管、烧结热管、脉动热管、平板环路热管、平板微热管等^[71-73], 尚无统一的选型或设计方法. 从结构形式上看, 平板类型热管在动力电池热管理系统中展现出优越性, 有望成为动力电池热管理的首选, 然而当前针对平板热管的设计研究较少.

3.2.2 热管布置方案设计

电池热管理系统布置方式是影响热管导热性能的另一关键因素. Tran等人^[74]对比了水平与垂直放置

时热管导热性能. 施加38 W热源模拟电池包产热, 水平布置时热管蒸发端温度达到61℃, 垂直布置时温度仅51℃. 饶中浩^[75]采用脉动热管进行实验也得到类似的规律, 搭建了如图9所示的电池热管理实验测试平台, 实验表明在相同产热功率下, 竖直放置时电池表面温升较小, 且局部温差比水平放置时更小. 此外, 装置倾斜角度也对传热造成影响^[74,75]. 热管水平安装时, 电池表面温差受倾斜角度影响较大; 而热管竖直安装时, 重力与毛细力双重作用降低热管传热阻力, 路面坡度对局部温差几乎无影响.

上述研究均说明热管竖直布置时的散热及均温效果优于水平布置方式. Wang等人^[76]在热管竖直布置方式下研究电池摆放方向对热管传热效果的影响, 表明管内工质可迅速将高温端(电极)热量传递至冷凝端, 相同产热功率下电极朝上的方式可延缓温度上升时间.

为保证热管传热性能的发挥, 电池热管理系统结构设计应充分考虑热管布置方式对其导热性能的影响.

3.3 热管理系统散热结构设计及传热分析研究

作为电池热管理传热部件, 热管吸收电池产热的同时需快速将热量散掉, 以保证其在电池组中正常工作. 通常情况下, 热管冷端可采用风冷和水冷散热两种方式, 前者结构简单, 易于实现, 后者结构相对复杂, 但在散热需求较大时表现出更好的性能.

3.3.1 冷端风冷散热

热管冷凝段采用直接风冷是最简单的散热方式. Ye等人^[77]对热管冷端进行强制风冷散热, 可使电池

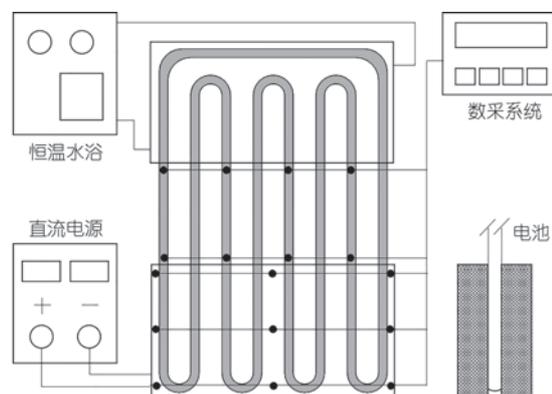


图9 脉动热管电池散热系统示意图^[75]

Figure 9 Schematic diagram of heat dissipation system based on pulsating heat pipe^[75]

(LiFePO₄, 18 A h)在1 C放电条件下维持在35℃以下,若冷端采用自然冷却,放电末期温度高于40℃.为强化热管散热能力,可采用增加冷端翅片数目、改善冷端翅片设计、提高风冷流速、增大冷凝段长度等方式.

热管根数、翅片个数、翅片间距对散热效果也有重要影响^[60].在一节电池表面布置多根热管可强化散热,但由于冷端沿气流方向平均换热系数越来越低,增大了电池表面温度不均匀性.通过在第一根热管前布置扰流圆管(图10(d))可提升电池表面温度均匀性.

许多研究者采用热管与相变材料耦合散热方式提升电池表面均温性,将PCM附着在电池表面,热管嵌入PCM中带走热量,冷端采用风冷散热^[78,79],图11是一种典型的热管-PCM耦合风冷散热系统,该结构可保证电池组2 C放电结束后最大温差低于2℃,且冷却风速会影响电池最高温升^[78].

增大冷凝段长度是提升热管散热能力的另一有

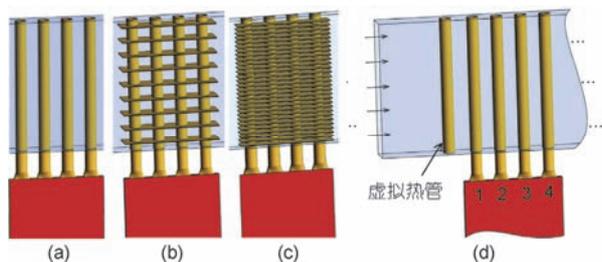


图10 (网络版彩色)热管冷凝段设计方案^[60]. (a) 冷凝端为光管; (b) 翅片间距10 mm; (c) 翅片间距3 mm; (d) 散热端带有一根虚拟热管

Figure 10 (Color online) Design scheme for condenser section of heat pipe^[60]. (a) Bared heat pipe tube; (b) heat pipe with 10.0 mm fin-pitches; (c) heat pipe with 3.0 mm fin-pitches; (d) 1 dummy heat pipe at the condensation section

效途径,然而冷凝段长度增加会导致电池组温差增大,为同时保证电池组温升和温差,并考虑电池组空间布置等实际因素,热管冷凝段长度存在最佳值^[80].

3.3.2 冷端液冷散热

由于空气比热容较低,采用热管与液冷耦合散热可弥补空气冷却的不足.根据热管冷端与液体流道接触方式,可分为接触式液冷换热和非接触式液冷换热.接触式液冷系统如图12所示^[81],热管冷端浸泡在水槽中,内部通入一定流速液体,2 C持续放电半小时后电池温度不超过42℃,说明热管与液冷耦合散热效果.

Zhao等人^[82]采取冷端喷水提升电池散热效率,每两节电池之间布置一根平板微热管并向其表面以一定频率喷水,电池在2 C持续放电工况下温升仅为4℃,3 C放电工况温差小于2.5℃.

非接触式液冷系统通常将热管排布在电池表面,通过液冷流道与热管冷端接触带走热量,热管冷端并非直接浸泡在冷却液中,安全性较高^[83].奥迪公司^[84]设计了如图13所示的电池热管理方案,在每两节电池之间布置一块铜板,并将4根烧结热管嵌入铜板内,最后通过贴在热管冷端表面的液冷板将热量带走.在400 W电池产热功率条件下,该系统可维持电池温度在50℃以下,具有较好的冷却效果.

当前大部分研究以电池的温升和温差作为考核指标,然而,强化传热带来更多系统能量消耗及重量增加,较少从系统层面进行设计考量.如何兼顾电池放电特性、散热效果以及系统能耗和轻量化等指标,提出热管理系统高效散热方案,是将来在电池强化散热方面的研究重点.

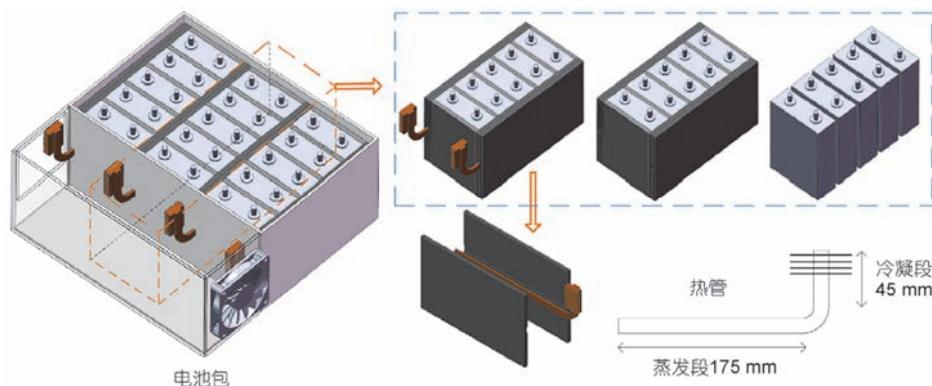


图11 (网络版彩色)方形电池热管-PCM耦合热管理系统^[78]

Figure 11 (Color online) Heat pipe-PCM coupled thermal management system for prismatic batteries^[78]

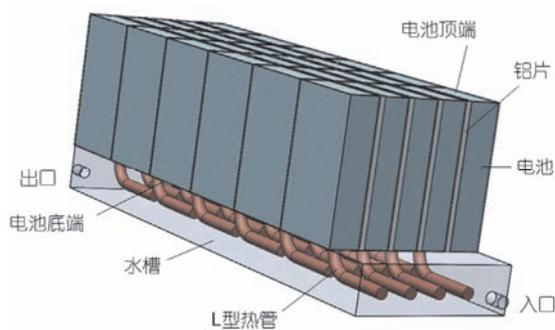


图12 (网络版彩色)热管冷端接触式液冷换热系统示意图^[81]
 Figure 12 (Color online) Liquid cooling heat transfer system with direct contact at heat pipe condensation end^[81]

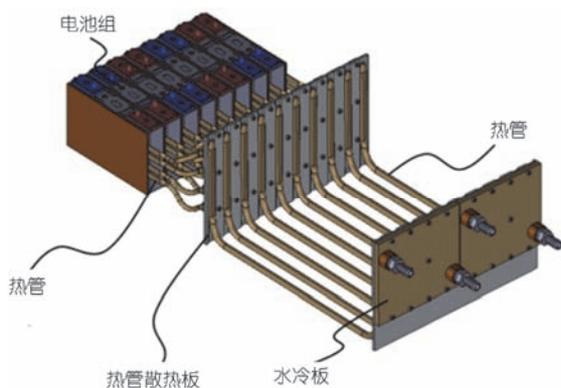


图13 (网络版彩色)动力电池热管散热设计方案^[84]
 Figure 13 (Color online) Design of heat pipe heat dissipation for power battery^[84]

3.4 采用热管的电池加热研究

如前文所述,低温环境下锂离子电池充放电效率大幅衰减,目前采用热管作为传热部件的低温加热研究引起广泛关注。

Ye等人^[58]采用微平板热管布置在电池表面,另一端采用加热元件加热(图14),电池从-10, -20, -30℃升温至0℃所用的时间分别为350, 780, 1100 s, 温升速率是传统底部加热方式的1.5倍。加热过程中温差可控制在3℃以下,远低于传统加热方式(9℃)。梁佳男等人^[85]发现提高加热功率可提升电池升温速率,但同时增大电池表面温差,因此需要综合考虑加热时间和电池温差,以确定最佳加热策略。

Zou等人^[86]设计了如图15所示的热管-液体耦合综合热管理系统,既可实现电池低温加热也可以用于高温冷却。管道内的制冷剂经过PTC加热,然后通过热管将热量传递给电池。在加热的初始阶段电池温升较快,随着热管的冷、热端温差逐渐减小,换热

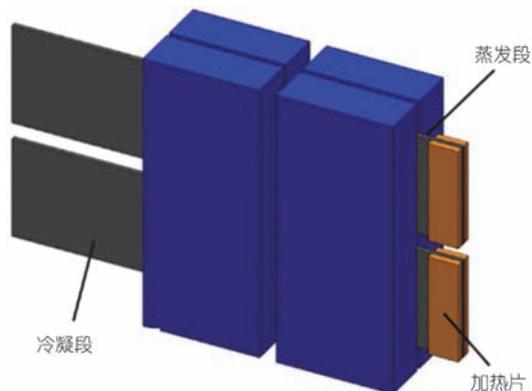


图14 (网络版彩色)基于热管的锂离子电池加热结构示意图^[58]
 Figure 14 (Color online) Schematic diagram of heat pipe-based heating structure for lithium ion batteries^[58]

能力减弱,最终换热量趋近于定值,约900 s后电池温度上升至20℃。

当前基于热管的电池加热系统通常采用传统热管或微通道热管布置在电池表面,另一端采用热水加热或PTC加热,研究大多处于实验验证阶段,现有研究结果充分展示了采用热管加热的高效性和均温性,进一步的研究应围绕低温加热策略展开。

4 总结与展望

温度是影响动力电池性能的关键因素,高效热管理系统对电动汽车具有重要意义。热管具有较强的换热能力和均温能力,是未来电池热管理系统的重要研究方向。采用热管作为电池散热/加热元件的研究已经取得显著进展,但是随着电动汽车对热管理系统要求的提升,热管的应用目前还存在几方面问题亟待解决:

(1) 动力电池温度与其动态产热工况密切相关,进一步的研究应结合实际车用工况,制定有效的实时控制策略,从而实现高效、低能耗电池热管理。

(2) 热管传热方面,由于影响热管传热性能因素众多,需要综合考虑热管内部结构设计及其在电池组中的布置方式,优化其在使用过程中的传热性能,特别是针对平板类型热管的传热特性分析及优化设计研究,是将来研究的重点之一。

(3) 热管散热方面,当前大部分系统设计侧重于降低电池组温升及温差,较少考虑系统能耗与重量。进一步的热管强化散热研究应聚焦于系统多目标优化,综合系统热、电特性以及系统能耗和轻量化等指

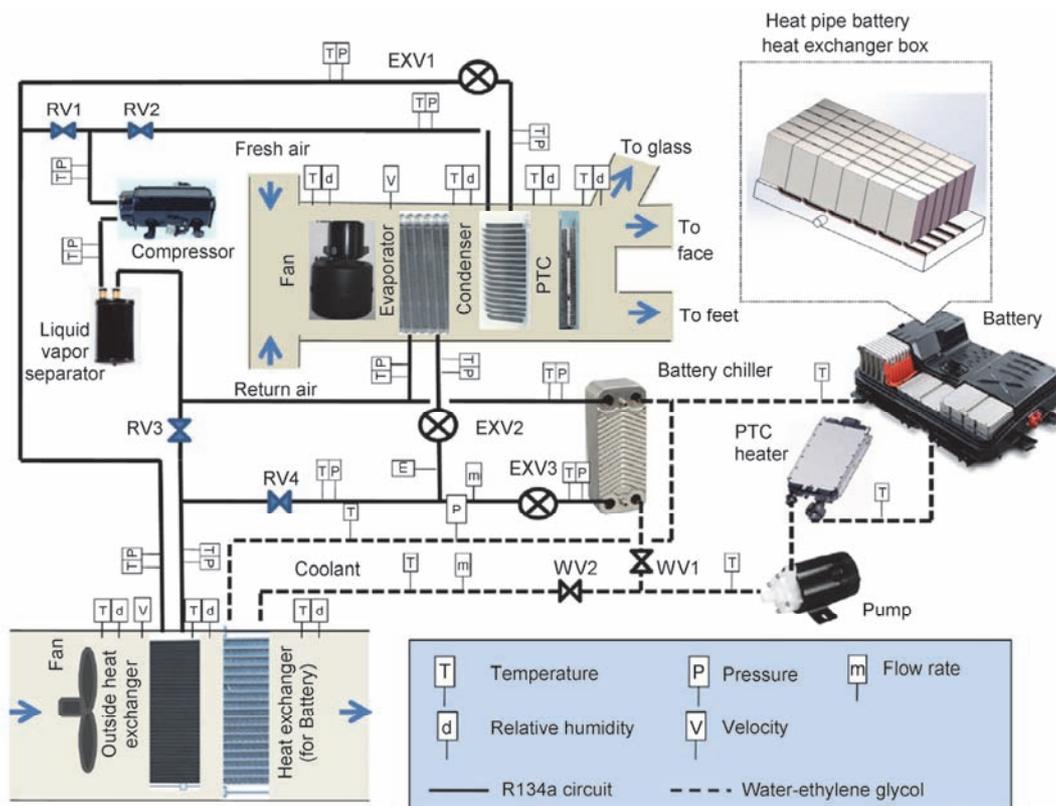


图 15 (网络版彩色)热管加热系统示意图^[86]

Figure 15 (Color online) Schematic diagram of heat pipe heating system^[86]

标, 提出热管理系统散热解决方案.

(4) 采用热管的加热研究方面, 当前的研究大多处于测试并验证效果阶段, 进一步研究热管在不同使用环境下的换热特性, 特别是低温环境下的加热

策略研究, 是将来研究的重点之一.

随着电动汽车的发展, 动力电池技术和热管技术的不断进步, 热管在电池热管理中将得到更加广泛的应用.

参考文献

- 1 Pesaran A A. Battery thermal models for hybrid vehicle simulations. *J Power Sources*, 2002, 110: 377–382
- 2 Abada S, Marlair G, Lecocq A, et al. Safety focused modeling of lithium-ion batteries: A review. *J Power Sources*, 2016, 306: 178–192
- 3 Capasso C, Veneri O. Experimental analysis on the performance of lithium based batteries for road full electric and hybrid vehicles. *Appl Energ*, 2014, 136: 921–930
- 4 Gross O, Clark S. Optimizing electric vehicle battery life through battery thermal management. *SAE Int J Engines*, 2011, 4: 1928–1943
- 5 Ritchie A, Howard W. Recent developments and likely advances in lithium-ion batteries. *J Power Sources*, 2006, 162: 809–812
- 6 Pesaran A A. Battery thermal management in EVs and HEVs: Issues and solutions. *Battery Man*, 2001, 43: 34–49
- 7 Rao Z, Wang S. A review of power battery thermal energy management. *Renew Sustain Energy Rev*, 2011, 15: 4554–4571
- 8 Lu L, Han X, Li J, et al. A review on the key issues for lithium-ion battery management in electric vehicles. *J Power Sources*, 2013, 226: 272–288
- 9 Feng X, Ouyang M, Liu X, et al. Thermal runaway mechanism of lithium ion battery for electric vehicles: A review. *Energ Storage Mater*, 2018, 10: 246–267
- 10 Li P, An F Q, Zhang J B, et al. Temperature sensitivity of lithium-ion battery: A review (in Chinese). *J Automot Saf Energy*, 2014, 5: 224–237 [李平, 安富强, 张剑波, 等. 电动汽车用锂离子电池的温度敏感性研究综述. *汽车安全与节能学报*, 2014, 5: 224–237]

- 11 Hu Y L. Analysis and study on the effects of the low temperature performance for lithium-ion battery (in Chinese). Doctor Dissertation. Changsha: Hunan University, 2013 [胡悦丽. 锂离子电池低温性能影响因素的分析与研究. 博士学位论文. 长沙: 湖南大学, 2013]
- 12 Hamenu L, Lee H S, Latifatu M, et al. Lithium-silica nanosalt as a low-temperature electrolyte additive for lithium-ion batteries. *Curr Appl Phys*, 2016, 16: 611–617
- 13 Hannan M A, Lipu M S H, Hussain A, et al. A review of lithium-ion battery state of charge estimation and management system in electric vehicle applications: Challenges and recommendations. *Renw Sust Energ Rev*, 2017, 78: 834–854
- 14 Sato N. Thermal behavior analysis of lithium-ion batteries for electric and hybrid vehicles. *J Power Sources*, 2010, 99: 70–77
- 15 Ramadass P, Haran B, White R, et al. Capacity fade of Sony 18650 cells cycled at elevated temperatures: Part II. Capacity fade analysis. *J Power Sources*, 2002, 112: 606–613
- 16 Zhang S S, Xu K, Jow T R. The low temperature performance of Li-ion batteries. *J Power Sources*, 2003, 115: 137–140
- 17 Wu M S, Chiang P C J. High-rate capability of lithium-ion batteries after storing at elevated temperature. *Electrochim Acta*, 2007, 52: 3719–3725
- 18 Liu Z M. Simulation of cell to cell variations and thermal management in lithium-ion battery packs (in Chinese). Doctor Dissertation. Tianjin: Tianjin University, 2014 [刘仲明. 锂离子电池组不一致性及热管理的模拟研究. 博士学位论文. 天津: 天津大学, 2014]
- 19 Zheng Y J. Study on cell variations of lithium-ion power battery packs in electric vehicles (in Chinese). Doctor Dissertation. Beijing: Tsinghua University, 2014 [郑岳久. 车用锂离子动力电池组的一致性研究. 博士学位论文. 北京: 清华大学, 2014]
- 20 An Z J, Jia L, Li X J, et al. Experimental investigation on lithium-ion battery thermal management based on flow boiling in mini-channel. *Appl Therm Eng*, 2017, 117: 534–543
- 21 Xu X M, Tang W, Fu J Q, et al. The forced air cooling heat dissipation performance of different battery pack bottom duct. *Int J Energ Res*, 2018, 42: 3823–3836
- 22 Park H. A design of air flow configuration for cooling lithium ion battery in hybrid electric vehicles. *J Power Sources*, 2013, 239: 30–36
- 23 Liu Z, Wang Y, Zhang J, et al. Shortcut computation for the thermal management of a large air-cooled battery pack. *Appl Therm Eng*, 2014, 66: 445–452
- 24 He F, Li X, Lin M. Combined experimental and numerical study of thermal management of battery module consisting of multiple Li-ion cells. *Int J Heat Mass Tran*, 2014, 72: 622–629
- 25 Yang N, Zhang X, Li G, et al. Assessment of the forced air-cooling performance for cylindrical lithium-ion battery packs: A comparative analysis between aligned and staggered cell arrangements. *Appl Therm Eng*, 2015, 80: 55–65
- 26 Mahamud R, Park C. Reciprocating airflow for Li-ion battery thermal management to improve temperature uniformity. *J Power Sources*, 2011, 196: 5685–5696
- 27 Wu M S, Liu K H, Wang Y Y, et al. Heat dissipation design for lithium-ion batteries. *J Power Sources*, 2002, 109: 160–166
- 28 Sabbah R, Kizilel R, Selman J R, et al. Active (air-cooled) vs. passive (phase change material) thermal management of high power lithium-ion packs: Limitation of temperature rise and uniformity of temperature distribution. *J Power Sources*, 2008, 182: 630–638
- 29 Huo Y, Rao Z, Liu X, et al. Investigation of power battery thermal management by using mini-channel cold plate. *Energ Convers Manage*, 2015, 89: 387–395
- 30 Jarrett A, Kim I Y. Design optimization of electric vehicle battery cooling plates for thermal performance. *J Power Sources*, 2011, 196: 10359–10368
- 31 Qian Z, Li Y, Rao Z. Thermal performance of lithium-ion battery thermal management system by using mini-channel cooling. *Energy Convers Manage*, 2016, 126: 622–631
- 32 Basu S, Hariharan K S, Kolake S M, et al. Coupled electrochemical thermal modelling of a novel Li-ion battery pack thermal management system. *Appl Energ*, 2016, 181: 1–13
- 33 Mondal B, Lopez C F, Verma A, et al. Vortex generators for active thermal management in lithium-ion battery systems. *Int J Heat Mass Tran*, 2018, 124: 800–815
- 34 Jin L W, Lee P S, Kong X X, et al. Ultra-thin minichannel LCP for EV battery thermal management. *Appl Energ*, 2014, 113: 1786–1794
- 35 Rao Z, Wang Q, Huang C. Investigation of the thermal performance of phase change material/mini-channel coupled battery thermal management system. *Appl Energ*, 2016, 164: 659–669
- 36 Yang X H, Tan S C, Liu J. Thermal management of Li-ion battery with liquid metal. *Energ Convers Manage*, 2016, 117: 577–585
- 37 Wu F, Rao Z. The lattice Boltzmann investigation of natural convection for nanofluid based battery thermal management. *Appl Therm Eng*, 2017, 115: 659–669
- 38 Ren Y, Yu Z, Song G. Thermal management of a Li-ion battery pack employing water evaporation. *J Power Sources*, 2017, 360: 166–171
- 39 Shi S, Xie Y Q, Li M, et al. Non-steady experimental investigation on an integrated thermal management system for power battery with phase change materials. *Energ Convers Manage*, 2017, 4: 84–96

- 40 Fereshteh S, Babapoor A, Azizi W, et al. Thermal management analysis of a Li-ion battery cell using phase change material loaded with carbon fibers. *Energy*, 2016, 96: 355–371
- 41 Li W Q, Qu Z G, He Y L, et al. Experimental study of a passive thermal management system for high-powered lithium ion batteries using porous metal foam saturated with phase change materials. *J Power Sources*, 2014, 255: 9–15
- 42 Babapoor A, Azizi M, Karimi G. Thermal management of a Li-ion battery using carbon fiber-PCM composites. *Appl Therm Eng*, 2015, 82: 281–290
- 43 Lin C, Xu S, Chang G, et al. Experiment and simulation of a LiFePO₄ battery pack with a passive thermal management system using composite phase change material and graphite sheets. *J Power Sources*, 2015, 275: 742–749
- 44 Mohammadian S K, He Y L, Zhang Y. Internal cooling of a lithium-ion battery using electrolyte as coolant through microchannels embedded inside the electrodes. *J Power Sources*, 2015, 293: 458–466
- 45 Jaguemont J, Boulon L, Dubé Y. A comprehensive review of lithium-ion batteries used in hybrid and electric vehicles at cold temperatures. *Appl Energ*, 2016, 164: 99–114
- 46 Lei Z, Zhang Y, Lei X. Temperature uniformity of a heated lithium-ion battery cell in cold climate. *Appl Therm Eng*, 2018, 129, doi: 10.1016/j.applthermaleng.2017.09.100
- 47 Stuart T A, Hande A. HEV battery heating using AC currents. *J Power Sources*, 2004, 129: 368–378
- 48 Mohan S, Kim Y, Stefanopoulou A G. Energy-conscious warm-up of Li-ion cells from subzero temperatures. *IEEE Trans Ind Electron*, 2016, 63: 2954–2964
- 49 Li J Q, Shi W, Jin X. Two-layer thermal model and experiment of cylindrical lithium-ion battery for sinusoidal alternate current heating. *Energy Procedia*, 2017, 105: 2492–2498
- 50 Ji Y, Wang C Y. Heating strategies for Li-ion batteries operated from subzero temperatures. *Electrochim Acta*, 2013, 107: 664–674
- 51 Mohan S, Siegel J, Stefanopoulou A G, et al. Synthesis of an energy-optimal self-heating strategy for Li-ion batteries. In: *Proceedings of the 2016 IEEE 55th Conference on Decision and Control*. Las Vegas: ARIA Resort & Casino, 2016. 1589–1594
- 52 Wu X G, Chen Z, Wang Z Y. Analysis of low temperature preheating effect based on battery temperature-rise model. *Energies*, 2017, 10: 1121
- 53 Wang C Y, Zhang G S, Ge S H, et al. Lithium-ion battery structure that self-heats at low temperatures. *Nature*, 2016, 128: 924–302
- 54 Rao Z H, Zhang G Q. *Battery Thermal Management* (in Chinese). Beijing: Science Press, 2015. 148–158 [饶中浩, 张国庆. 电池热管理. 北京: 科学出版社, 2015. 148–158]
- 55 Liu B. Study of the control strategy for power battery thermal management on electric vehicles (in Chinese). Master Dissertation. Beijing: Beijing Institute of Technology, 2015 [刘斌. 电动车辆动力电池包热管理控制策略研究. 硕士学位论文. 北京: 北京理工大学, 2015]
- 56 Mills A, Al-Hallaj S. Simulation of passive thermal management system for lithium-ion battery packs. *J Power Sources*, 2005, 141: 307–315
- 57 Greco A, Cao D, Jiang X, et al. A theoretical and computational study of lithium-ion battery thermal management for electric vehicles using heat pipes. *J Power Sources*, 2014, 257: 344–355
- 58 Ye X, Zhao Y, Quan Z. Thermal management system of lithium-ion battery module based on micro heat pipe array. *Int J Energ Res*, 2018, 42: 648–655
- 59 Ye Y, Shi Y, Saw L H, et al. Performance assessment and optimization of a heat pipe thermal management system for fast charging lithium ion battery packs. *Int J Heat Mass Tran*, 2016, 92: 893–903
- 60 Ye Y, Saw L H, Shi Y, et al. Numerical analyses on optimizing a heat pipe thermal management system for lithium-ion batteries during fast charging. *Appl Therm Eng*, 2015, 86: 281–291
- 61 Markel T, Smith K, Pesaran A. PHEV Energy Storage Performance/Life/Cost Trade-Off Analysis. Technical Report, Office of Scientific & Technical Information Technical Reports. 2008
- 62 Tran T H, Harmand S, Desmet B, et al. Experimental investigation on the feasibility of heat pipe cooling for HEV/EV lithium-ion battery. *Appl Therm Eng*, 2014, 63: 551–558
- 63 Wang X H, Zheng H C, Si M Q, et al. Experimental investigation of the influence of surfactant on the heat transfer performance of pulsating heat pipe. *Int J Heat Mass Tran*, 2015, 83: 586–590
- 64 Zhu Y, Cui X, Han H, et al. The study on the difference of the start-up and heat-transfer performance of the pulsating heat pipe with water-acetone mixtures. *Int J Heat Mass Tran*, 2014, 77: 834–842
- 65 Solomon A B, Roshan R, Vincent W, et al. Heat transfer performance of an anodized two-phase closed thermosyphon with refrigerant as working fluid. *Int J Heat Mass Tran*, 2015, 82: 521–529
- 66 Hong S H, Tang Y L, Zhang X Q, et al. Multiple orientations research on heat transfer capabilities of ultra-thin loop heat pipes with various channel configurations (in Chinese). *Chin Sci Bull*, 2017, 62: 721–729 [洪思慧, 唐永乐, 张新强, 等. 不同蒸发器结构的超薄平板环路热管的传热性能的差异化. 科学通报, 2017, 62: 721–729]

- 67 Jang J C, Rhi S H. Battery thermal management system of future electric vehicles with loop thermosiphon. In: Proceedings of the US-Korea Conference on Science, Technology, and Entrepreneurship (UKC). 2010
- 68 Putra N, Ariantara B, Pamungkas R A. Experimental investigation on performance of lithium-ion battery thermal management system using flat plate loop heat pipe for electric vehicle application. *Appl Therm Eng*, 2016, 99: 784–789
- 69 Chi R G, Chung W S, Rhi S H. Thermal characteristics of an oscillating heat pipe cooling system for electric vehicle li-ion batteries. *Energies*, 2018, 11, doi: 10.3390/en11030655
- 70 Swanepoel G. Thermal management of hybrid electrical vehicles using heat pipes. Doctor Dissertation. Stellenbosch: Stellenbosch University, 2001
- 71 Zhang G Q, Wu Z J, Rao Z H, et al. Experimental investigation on heat pipe cooling effect for power battery (in Chinese). *Chem Ind Eng Prog*, 2009, 28: 1165–1168 [张国庆, 吴忠杰, 饶中浩, 等. 动力电池热管冷却效果实验. 动力电池热管冷却效果实验. 化工进展, 2009, 28: 1165–1168]
- 72 Sun Z J, Wang L X, Wang Y, et al. Experimental study on heat transfer characteristics of thermosiphon radiator (in Chinese). *J Zhejiang Univ(Sci)*, 2007, 41: 1403–1405 [孙志坚, 王立新, 王岩, 等. 重力型热管散热器传热特性的实验研究. 浙江大学学报(工学版), 2007, 41: 1403–1405]
- 73 Rao Z, Wang S, Wu M, et al. Experimental investigation on thermal management of electric vehicle battery with heat pipe. *Energ Convers Manage*, 2013, 65: 92–97
- 74 Tran T H, Harmand S, Sahut B. Experimental investigation on heat pipe cooling for hybrid electric vehicle and electric vehicle lithium-ion battery. *J Power Sources*, 2014, 265: 262–272
- 75 Rao Z H. Research on power battery thermal management based on solid-liquid phase change heat transfer medium (in Chinese). Doctor Dissertation. Guangzhou: South China University of Technology, 2013 [饶中浩. 基于固液相变传热介质的动力电池热管理研究. 博士学位论文. 广州: 华南理工大学, 2013]
- 76 Wang Q, Rao Z, Huo Y, et al. Thermal performance of phase change material/oscillating heat pipe-based battery thermal management system. *Int J Therm Sci*, 2016, 102: 9–16
- 77 Ye X, Zhao Y, Quan Z. Experimental study on heat dissipation for lithium-ion battery based on micro heat pipe array (MHPA). *Appl Therm Eng*, 2018, 130: 74–82
- 78 Wu W, Yang X, Zhang G, et al. Experimental investigation on the thermal performance of heat pipe-assisted phase change material based battery thermal management system. *Energ Convers Manage*, 2017, 138: 486–492
- 79 Zhao J, Lv P, Rao Z. Experimental study on the thermal management performance of phase change material coupled with heat pipe for cylindrical power battery pack. *Exp Therm Fluid Sci*, 2017, 82: 182–188
- 80 Wang J, Guo H, Ye F, et al. Numerical simulation of effect of heat pipe cooling device on temperature distribution in lithium-ion battery pack of vehicle (in Chinese). *CIESC J*, 2016, 67: 340–347 [王建, 郭航, 叶芳, 等. 热管散热装置对车用锂离子电池组内温度分布影响数值模拟. 化工学报, 2016, 67: 340–347]
- 81 Wang Q, Jiang B, Xue Q F, et al. Experimental investigation on EV battery cooling and heating by heat pipes. *Appl Therm Eng*, 2015, 88: 54–60
- 82 Zhao R, Gu J, Liu J. An experimental study of heat pipe thermal management system with wet cooling method for lithium ion batteries. *J Power Sources*, 2015, 273: 1089–1097
- 83 Deng Y, Zhao Y, Wang W, et al. Experimental investigation of performance for the novel flat plate solar collector with micro-channel heat pipe array (MHPA-FPC). *Appl Therm Eng*, 2013, 54: 440–449
- 84 Smith J, Singh R, Hinterberger M, et al. Battery thermal management system for electric vehicle using heat pipes. *Int J Therm Sci*, 2018, 134: 517–529
- 85 Liang J N, Zhao Y H, Quan Z H, et al. Low-temperature heating performance of lithium-ion battery based on functional heat conducting material (in Chinese). *Chem Ind Eng Prog*, 2017, 36: 4030–4036 [梁佳男, 赵耀华, 全贞花, 等. 基于微热管阵列锂电池的低温加热性能. 化工进展, 2017, 36: 4030–4036]
- 86 Zou H, Wang W, Zhang G, et al. Experimental investigation on an integrated thermal management system with heat pipe at exchanger for electric vehicle. *Energ Convers Manage*, 2016, 118: 88–95

Summary for “基于热管技术的动力电池热管理系统研究现状及展望”

Research progress and future prospects of battery thermal management system based on heat pipe technology

Dan Dan, Chengning Yao, Yangjun Zhang^{*}, Yuping Qian & Weilin Zhuge

State Key Laboratory of Automotive Safety and Energy, Department of Automotive Engineering, Tsinghua University, Beijing 100084, China

^{*} Corresponding author, E-mail: yjzhang@tsinghua.edu.cn

The lithium-ion battery, a key technology for electric vehicles, is an electrochemical power source with complex ion flow and heat transfer processes. Temperature is one of the main parameters affecting the performance of these battery systems, as high temperatures may accelerate the degradation rate of a battery cell and shorten its lifespan. Low temperatures can also reduce the battery efficiency and affect its discharge capacity, and subsequently, its life cycle. In addition, uneven temperature distribution within a battery pack could exacerbate the inconsistency between cells and cause life cycle decay. A suitable working temperature window for the lithium-ion battery is usually between 25°C to 40°C, and the temperature difference among the cells should be maintained below 5°C to ensure the cells' performance and durability. Therefore, battery thermal management (BTM) is required to keep the battery temperature within the desirable operating range and maintain temperature uniformity.

This paper provides a review of two aspects: The significance of BTM and current BTM strategies, and the research status of heat pipe-based BTM systems. Firstly, the thermal characteristics of the lithium-ion power battery are introduced, and the significance of BTM are expounded. Then, the advantages of heat pipe technology are introduced, and the research status of BTM based on heat pipes is evaluated in detail.

In this study, the heat transferred in a heat pipe-based thermal management system was divided into three processes: The heat generation process, which is determined by the operating condition; the heat transfer process of a heat pipe, which is related to its structural design and its arrangement in the system; and the heat dissipation strategy on the condensation section.

With respect to heat generation, researchers have studied the effect of operating conditions on the heat generation characteristics of the system. Results show that the temperature is closely related to the dynamic operating conditions. Further research should be combined with the actual vehicle operating conditions to formulate effective real-time control strategies to achieve a high efficiency and low energy consumption BTM system.

Researchers have evaluated various factors that affect heat transfer performance. This study concludes that both the internal structure of heat pipe and its arrangement in the battery pack should be considered in the design of the BTM system to achieve optimum heat transfer performance. Future studies should focus on the analysis and optimization of flat plate heat pipes, which have good application prospects in BTM systems.

Concerning heat dissipation enhancement, air cooling, direct liquid cooling, and indirect liquid cooling are the most common strategies for heat pipe cooling. However, most designs aim to reduce the temperature rise and temperature difference, and the system parameters are seldom taken into consideration. Further investigation into the heat dissipation enhancement of heat pipes should focus on the multi-objective optimization of the system including, synthesizing the thermal and electrical characteristics, improving energy consumption, and making the system lightweight.

In addition, the heat pipe is also a highly efficient heat transfer element for battery heating. Current research has verified its heating rate and heating efficiency. Notably, the heating strategies are now in the pilot testing stage and have not been used in battery pack productions. One of the key elements for future research may involve the heating characteristics of a BTM based on heat pipes in different operating environments. Another aspect could be researching the heating strategy in low temperature environments.

lithium-ion battery, thermal management, heat pipe, heat transfer enhancement, cold temperature heating

doi: 10.1360/N972018-00948