

Chen's theorem in arithmetical progressions*

LU Minggao (陆鸣皋) and CAI Yingchun (蔡迎春)

(Department of Mathematics, Shanghai University, Shanghai 201800, China)

Received April 2, 1998

Abstract Let N be a sufficiently large even integer and

$$q \geq 1, (l_i, q) = 1 \quad (i = 1, 2), \\ l_1 + l_2 \equiv N(\bmod q).$$

It is proved that the equation

$$N = p + P_2, \quad p \equiv l_1(\bmod q), \quad P_2 \equiv l_2(\bmod q)$$

has infinitely many solutions for almost all $q \leq N^{\frac{1}{37}}$, where p is a prime and P_2 is an almost prime with at most two prime factors.

Keywords: Chen's Theorem, sieve, mean value theorem.

In 1937 Vinogradov^[1] proved the well-known Goldbach-Vinogradov theorem. Afterwards, some mathematicians generalized Goldbach-Vinogradov theorem to arithmetical progressions^[2-5]. In particular, recently Liu and Zhan^[6] proved that for sufficiently large odd integer N and

$$q \geq 1, (l_i, q) = 1 \quad (i = 1, 2, 3), \\ l_1 + l_2 + l_3 \equiv N(\bmod q),$$

the equation

$$N = p_1 + p_2 + p_3, \quad p_i \equiv l_i(\bmod q) \quad (i = 1, 2, 3), \quad (0.1)$$

is solvable in primes p_1, p_2, p_3 for $q \leq N^\delta$, where δ denotes an effective positive constant. In ref. [7] it is shown that for almost all $q \leq N^{\frac{1}{8}-\epsilon}$, where $\epsilon > 0$, eq. (1) is solvable.

In 1966 Chen Jingrun^[8] made considerable progress in the research of the binary Goldbach conjecture. He proved^[9] the well-known Chen's Theorem: let N be a sufficiently large even integer, then the equation

$$N = p + P_2$$

is solvable, where p is a prime and P_2 is an almost prime with at most two prime factors. In fact, Chen's theorem can be stated in a more exact quantitative form.

Inspired by refs. [6, 7], it is interesting to generalize Chen's theorem to arithmetical progressions with large moduli. In this paper, we obtain the following result.

Theorem 1. Let N be a sufficiently large even integer, and let

$$q \geq 1, (l_i, q) = 1 \quad (i = 1, 2), \\ l_1 + l_2 \equiv N(\bmod q).$$

* Project supported by the National Natural Science Foundation of China (Grant Nos. 19531010 and 19801021).

Then the equation

$$N = p + P_2, \quad p \equiv l_1(\bmod q), \quad P_2 \equiv l_2(\bmod q) \quad (0.2)$$

has infinitely many solutions for almost all $q \leq N^{\frac{1}{37}}$.

Theorem 1 is a simple corollary of the following result.

Theorem 2. Under the conditions in Theorem 1, let $S(N, q)$ be the number of solutions of eq. (0.2). Then for $q \leq N^{\frac{1}{37}}$, except for $O(N^{\frac{1}{37}} \log^{-5} N)$ exceptional values,

$$S(N, q) \geq \frac{0.001 C(N, q) N}{\varphi(q) \log^2 N}, \quad (0.3)$$

where $\varphi(q)$ is the Euler's function, and

$$C(N, q) = \prod_{p > 2} \left(1 - \frac{1}{(p-1)^2} \right) \prod_{p \mid N, q, p > 2} \frac{p-1}{p-2}. \quad (0.4)$$

By similar arguments we have

Theorem 3. Let x be a sufficiently large real number, and

$$\begin{aligned} q &\geq 1, \quad (l_i, q) = 1 \quad (i = 1, 2), \\ l_1 + 2 &\equiv l_2(\bmod q). \end{aligned}$$

Then the equation

$$p + 2 = P_2, \quad p \equiv l_1(\bmod q), \quad P_2 \equiv l_2(\bmod q), \quad p \leq x$$

has infinitely many solutions for almost all $q \leq x^{\frac{1}{37}}$.

Remark. By an improved sieve procedure used in refs. [9, 10], $\frac{1}{37}$ may be increased to 0.028.

1 Some preliminary lemmas

Let $\mathcal{A}$ denote a finite set of integers, $\mathcal{P}$ denote an infinite set of primes, $\bar{\mathcal{P}}$ denote the set of primes not belonging to $\mathcal{P}$. Let $z \geq 2$, and put

$$\begin{aligned} P(z) &= \prod_{p < z, p \in \mathcal{P}} p, \quad S(\mathcal{A}, \mathcal{P}, z) = \sum_{a \in \mathcal{A}, (a, P(z)) = 1} 1, \\ \mathcal{A}_d &= \{a \mid a \in \mathcal{A}, a \equiv 0 \pmod{d}\}. \end{aligned}$$

Lemma 1^[11]. If

$$A_1) \quad |\mathcal{A}_d| = \frac{\omega(d)}{d} X + r_d, \quad \mu(d) \neq 0, \quad (d, \bar{\mathcal{P}}) = 1;$$

$$A_2) \quad \sum_{z_1 \leq p < z_2} \frac{\omega(p)}{p} = \log \frac{\log z_2}{\log z_1} + O\left(\frac{1}{\log z_1}\right), \quad z_2 > z_1 \geq 2,$$

where $\omega(d)$ is a multiplicative function, $0 \leq \omega(p) < p$, $X > 1$ is independent of d , then

$$S(\mathcal{A}, \mathcal{P}, z) \geq XV(z) \left\{ f(s) + O\left(\frac{1}{\log^{\frac{1}{3}} D}\right) \right\} - R_D,$$

$$S(\mathcal{A}, \mathcal{P}, z) \leq XV(z) \left\{ F(s) + O\left(\frac{1}{\log^{\frac{1}{3}} D}\right) \right\} + R_D,$$

where

$$s = \frac{\log D}{\log z}, \quad R_D = \sum_{d < D, d \mid P(z)} |r_d|,$$

$$V(z) = C(\omega) \frac{e^{-\gamma}}{\log z} \left(1 + O\left(\frac{1}{\log z}\right) \right),$$

$$C(\omega) = \prod_p \left(1 - \frac{\omega(p)}{p} \right) \left(1 - \frac{1}{p} \right)^{-1},$$

where γ denotes the Euler's constant, and $f(s)$ and $F(s)$ are determined by the following differential-difference equation:

$$\begin{cases} F(s) = \frac{2e^\gamma}{s}, f(s) = 0, & 0 < s \leq 2, \\ (sF(s))' = f(s-1), (sf(s))' = F(s-1), & s \geq 2. \end{cases}$$

Lemma 2^[12].

$$F(s) = \frac{2e^\gamma}{s}, \quad 0 < s \leq 3;$$

$$F(s) = \frac{2e^\gamma}{s} \left(1 + \int_2^{s-1} \frac{\log(t-1)}{t} dt \right), \quad 3 \leq s \leq 5;$$

$$f(s) = \frac{2e^\gamma \log(s-1)}{s}, \quad 2 \leq s \leq 4;$$

$$f(s) = \frac{2e^\gamma}{s} \left(\log(s-1) + \int_3^{s-1} \frac{dt}{t} \int_2^{t-1} \frac{\log(u-1)}{u} du \right), \quad 4 \leq s \leq 6.$$

Lemma 3^[12]. Let $0 \leq g(x) \ll 1$, $0 \leq \beta < 1$,

$$\pi(\gamma; a, d, l) = \sum_{\substack{ap \leq \gamma, ap \equiv l \pmod{d}}} 1, \quad (l, d) = 1.$$

Then for any given constant $A > 0$, there exists a constant $B = B(A) > 0$ such that

$$\sum_{d \leq D} \max_{(l, d) = 1} \max_{\gamma \leq x} \tau(d) \left| \sum_{a \leq x^{\frac{1}{2}}, (a, d) = 1} g(a) \left(\pi(\gamma; a, d, l) - \frac{\text{Li}\left(\frac{\gamma}{a}\right)}{\varphi(d)} \right) \right| \ll \frac{x}{\log^A x},$$

where

$$\text{Li } \gamma = \int_2^\gamma \frac{dt}{\log t}, \quad D = \frac{x^{\frac{1}{2}}}{\log^B x}, \quad \tau(n) = \sum_{d|n} 1.$$

Lemma 4. In the notations in Lemma 3, let

$$R(D, q) = \sum_{d \leq \frac{D}{q}} \max_{(l, dq) = 1} \max_{\gamma \leq N} \left| \sum_{a \leq N^{\frac{1}{2}}, (a, d) = 1} g(a) \left(\pi(\gamma; a, dq, l) - \frac{\text{Li}\left(\frac{\gamma}{a}\right)}{\varphi(dq)} \right) \right|.$$

Then for any $A > 0$, there exists a constant $B = B(A) > 0$ such that for $q \leq N^{\frac{1}{37}}$, except for $O(N^{\frac{1}{37}} \log^{-A} N)$ exceptional values,

$$R(D, q) \ll \frac{N^{\frac{36}{37}}}{\log^A N},$$

where $D = \frac{N^{\frac{1}{2}}}{\log^B N}$.

Proof. By Lemma 3 we have

$$\sum_{q \leq N^{\frac{1}{37}}} R(D, q) = \sum_{q \leq N^{\frac{1}{37}}} \sum_{d \leq \frac{D}{q}} \max_{\gamma \leq N} \max_{(l, dq) = 1} \left| \sum_{a \leq N^{\frac{1}{2}}, (a, d) = 1} g(a) \left(\pi(\gamma; a, dq, l) - \frac{\text{Li}\left(\frac{\gamma}{a}\right)}{\varphi(dq)} \right) \right|$$

$$\begin{aligned}
&\leq \sum_{d \leq D} \tau(d) \max_{y \leq N} \max_{(l, dq) = 1} \left| \sum_{a \leq N^{\frac{1}{37}}, (a, d) = 1} g(a) \left(\pi(y; a, d, l) - \frac{\text{Li}\left(\frac{y}{a}\right)}{\varphi(d)} \right) \right| \\
&\leq \frac{N}{\log^{24} N}, \\
\sum_{\substack{q \leq N^{\frac{1}{37}} \\ R(D, q) > \frac{N^{\frac{36}{37}}}{\log^4 N}}} 1 &\ll \frac{\log^4 N}{N^{\frac{36}{37}}} \sum_{q \leq N^{\frac{1}{37}}} R(D, q) \ll \frac{N^{\frac{1}{37}}}{\log^4 N}.
\end{aligned}$$

Lemma 4 is proved.

2 Weighted sieve method

Let N be a sufficiently large even integer,

$$\begin{aligned}
1 &\leq q \leq N^{\frac{1}{37}}, \quad (l_i, q) = 1 \quad (i = 1, 2), \\
l_1 + l_2 &\equiv N \pmod{q}, \\
\mathcal{A} &= \{N - p \mid p < N, p \equiv l_1 \pmod{q}\}, \\
\mathcal{P} &= \{p \mid (p, Nq) = 1\}.
\end{aligned}
\tag{2.1}$$

$$\tag{2.2}$$

If $a \in \mathcal{A}$, then

$$a = N - p \equiv l_1 + l_2 - p \equiv l_2 \pmod{q}.$$

Lemma 5.

$$S(N, q) \geq \sum_{\substack{a \in \mathcal{A} \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \left(1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a) \right) + O(N^{\frac{9.93}{10.93}}),$$

where

$$\rho_1(a) = \sum_{\substack{p \mid a, (p, Nq) = 1 \\ N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}}}} 1,$$

$$\rho_2(a) = \begin{cases} 1, & a = p_1 p_2 p_3, N^{\frac{1}{10.93}} \leq p_1 < N^{\frac{1}{3.3}} \leq p_2 < p_3, (a, Nq) = 1; \\ 0, & \text{otherwise.} \end{cases}$$

$$\rho_3(a) = \begin{cases} 1, & a = p_1 p_2 p_3, N^{\frac{1}{3.3}} \leq p_1 < p_2 < p_3, (a, Nq) = 1; \\ 0, & \text{otherwise.} \end{cases}$$

Proof. Let

$$v_1(a) = \sum_{p \mid a} 1, \quad v_2(a) = \sum_{p^2 \mid a} 1, \quad \lambda(a) = \begin{cases} 1, & v_2(a) \leq 2, \\ 0, & v_2(a) > 2. \end{cases}$$

Then

$$\begin{aligned}
S(N, q) &\geq \sum_{\substack{a \in \mathcal{A} \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \lambda(a) \\
&= \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \lambda(a) + O(v_1^{10}(Nq))
\end{aligned}$$

$$= \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \mu^2(a) \lambda(a) + O(N^{\frac{9.93}{10.93}}).$$

On the other hand,

$$\begin{aligned} & \sum_{\substack{a \in \mathcal{A} \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \left(1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a) \right) \\ &= \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \mu^2(a) \left(1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a) \right) + O(N^{\frac{9.93}{10.93}}). \end{aligned}$$

For

$$\mu^2(a) = 1, (a, P(N^{\frac{1}{10.93}})) = 1, (a, Nq) = 1$$

we have

$$1) \ v_2(a) \leq 2.$$

$$\lambda(a) = 1 \geq 1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a).$$

$$2) \ v_2(a) \geq 3. \text{ If } \rho_1(a) \geq 2, \text{ then}$$

$$\lambda(a) = 0 \geq 1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a).$$

If $\rho_1(a) = 1$, then $v_1(a) = v_2(a) = 3$, and $\rho_2(a) = 1$; hence

$$\lambda(a) = 0 = 1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a).$$

If $\rho_1(a) = 0$, then $\rho_3(a) = 1$, and

$$\lambda(a) = 0 = 1 - \frac{1}{2} \rho_1(a) - \frac{1}{2} \rho_2(a) - \rho_3(a).$$

Combining the above arguments we complete the proof of Lemma 5.

3 Proof of Theorem 2

In this section, sets $\mathcal{A}$ and $\mathcal{P}$ are defined by (2.1) and (2.2), respectively, $\delta = \frac{1}{37}$ and

$$X = \frac{\text{Li } N}{\varphi(q)} \sim \frac{N}{\varphi(q) \log N}.$$

For $(d, Nq) = 1$, by Chinese remainder theorem we have

$$\begin{aligned} \mathcal{A}_d &= \{N - p \mid p < N, p \equiv l_1(\text{mod } q), p \equiv N(\text{mod } d)\} \\ &= \{N - p \mid p < N, p \equiv l(\text{mod } dq)\}, \end{aligned}$$

where $(l, dq) = 1$; hence

$$\begin{aligned} r_d &= \pi(N; dq, l) - \frac{\text{Li } N}{\varphi(dq)}, \\ \omega(d) &= \frac{d}{\varphi(d)}, \quad \mu(d) \neq 0, \quad (d, Nq) = 1. \end{aligned}$$

By Lemma 5 we get

$$S(N, q) \geq S - \frac{1}{2} S_1 - \frac{1}{2} S_2 - S_3 + O(N^{\frac{9.93}{10.93}}), \quad (3.1)$$

$$S = \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} 1, \quad S_1 = \sum_{\substack{N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}} \\ (p, Nq) = 1}} S(\mathcal{A}_p, \mathcal{P}, N^{\frac{1}{10.93}}),$$

$$S_2 = \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \rho_2(a), \quad S_3 = \sum_{\substack{a \in \mathcal{A}, (a, Nq) = 1 \\ (a, P(N^{\frac{1}{10.93}})) = 1}} \rho_3(a).$$

All the following arguments are valid for $q \leq N^{\frac{1}{37}}$ with $O(N^{\frac{1}{37}} \log^{-5} N)$ exceptional values excluded.

1) The lower bound for S .

Let $D = \frac{N^{\frac{1}{2}}}{\log^B N}$ with $B = B(5) > 0$ (see Lemma 4). By Lemma 4 we get

$$R(D, q) = \sum_{d \leq \frac{D}{q}} \left| \pi(N; dq, l) - \frac{\text{Li } N}{\varphi(dq)} \right|$$

$$\leq \sum_{d \leq \frac{D}{q}} \max_{\gamma \leq N(1, dq) = 1} \left| \pi(\gamma; dq, l) - \frac{\text{Li } \gamma}{\varphi(dq)} \right| \ll \frac{N^{\frac{36}{37}}}{\log^5 N}, \quad (3.2)$$

and

$$C(\omega) = \prod_p \left(1 - \frac{\omega(p)}{p} \right) \left(1 - \frac{1}{p} \right)^{-1}$$

$$= \prod_{p \mid Nq} \left(1 - \frac{1}{p} \right)^{-1} \prod_{(p, Nq) = 1} \left(1 - \frac{1}{p-1} \right) \left(1 - \frac{1}{p} \right)^{-1}$$

$$= \prod_{p \mid Nq} \frac{p}{p-1} \prod_{(p, Nq) = 1} \frac{p(p-2)}{(p-1)^2}$$

$$= 2 \prod_{p \mid Nq, p > 2} \left(\frac{p}{p-1} \cdot \frac{(p-1)^2}{p(p-2)} \right) \prod_{p > 2} \frac{p(p-2)}{(p-1)^2}$$

$$= 2 \prod_{p > 2} \left(1 - \frac{1}{(p-1)^2} \right) \prod_{p \mid Nq, p > 2} \frac{p-1}{p-2}$$

$$= 2C(N, q). \quad (3.3)$$

By Lemma 1, (3.2) and (3.3) we have

$$S \geq (1 + o(1)) \frac{8C(N, q)}{1 - 2\delta} \frac{N}{\varphi(q) \log^2 N} (\log(4.465 - 10.93\delta))$$

$$+ \int_2^{3.465 - 10.93\delta} \frac{\log(s-1)}{s} \log \frac{4.465 - 10.93\delta}{s+1} ds$$

$$\geq 12.2598 C(N, q) \frac{N}{\varphi(q) \log^2 N}. \quad (3.4)$$

2) The upper bound for S_1 .

Let $D = \frac{N^{\frac{1}{2}}}{\log^B N}$ with $B = B(5) > 0$ (see Lemma 4). For $N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}}$, by Lemma 1 we

get

$$S(\mathcal{A}_p, \mathcal{P}, N^{\frac{1}{10.93}}) \leq 21.86(1 + o(1)) C(N, q) e^{-\gamma}$$

$$\times \frac{N}{p\varphi(q)\log^2 N} F\left(5.465 - 10.93\delta - 10.93 \frac{\log p}{\log N}\right) + R_D(p), \quad (3.5)$$

where

$$R_D(p) = \sum_{d < \frac{D}{pq}, d \mid p \in N^{\frac{1}{10.93}}} |r_{dp}|.$$

By Lemma 4 we have

$$\begin{aligned} & \sum_{\substack{N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}} \\ (p, Nq) = 1}} R_D(p) \\ &= \sum_{\substack{N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}} \\ (p, Nq) = 1}} \sum_{d < \frac{D}{pq}, d \mid p \in N^{\frac{1}{10.93}}} \left| \pi(N; dpq, l) - \frac{\text{Li } N}{\varphi(dpq)} \right| \\ &\leq \sum_{d \leq \frac{D}{q}} \max_{(l, dq) = 1} \max_{\gamma \leq N} \tau(d) \left| \pi(\gamma; dq, l) - \frac{\text{Li } \gamma}{\varphi(dq)} \right| \ll \frac{N^{\frac{36}{37}}}{\log^5 N}. \end{aligned} \quad (3.6)$$

By (3.5), (3.6), the prime number theorem and through summation by parts we get

$$\begin{aligned} S_1 &\leq 21.86(1 + o(1)) C(N, q) e^{-\gamma} \frac{N}{\varphi(q)\log^2 N} \\ &\times \sum_{\substack{N^{\frac{1}{10.93}} \leq p < N^{\frac{1}{3.3}} \\ (p, Nq) = 1}} \frac{1}{p} F\left(5.465 - 10.93\delta - 10.93 \frac{\log p}{\log N}\right) \\ &= 21.86(1 + o(1)) C(N, q) e^{-\gamma} \frac{N}{\varphi(q)\log^2 N} \\ &\times \int_{N^{\frac{1}{10.93}}}^{N^{\frac{1}{3.3}}} \frac{1}{u \log u} F\left(5.465 - 10.93\delta - 10.93 \frac{\log u}{\log N}\right) du \\ &= (1 + o(1)) \frac{8C(N, q)}{1 - 2\delta} \frac{N}{\varphi(q)\log^2 N} \left(\log \frac{8.93 - 21.86\delta}{1.3 - 6.6\delta} \right. \\ &\quad \left. + \int_2^{3.465 - 10.93\delta} \frac{\log(s - 1)}{s} \log \frac{(4.465 - 10.93\delta)(4.465 - 10.93\delta - s)}{s + 1} ds \right) \\ &\leq 17.70495 \frac{C(N, q)N}{\varphi(q)\log^2 N}. \end{aligned} \quad (3.7)$$

3) The upper bounds for S_2, S_3 .

By the definition of $\rho_2(a)$ we have

$$S_2 = \sum_{\substack{N^{\frac{1}{10.93}} \leq p_1 < N^{\frac{1}{3.3}} \leq p_2 < \left(\frac{N}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, Nq) = 1}} \sum_{\substack{a \in \mathcal{A}, a = p_1 p_2 p_3 \\ p_2 < p_3, (p_3, Nq) = 1}} 1 = \sum_{\substack{N^{\frac{1}{10.93}} \leq p_1 < N^{\frac{1}{3.3}} \leq p_2 < \left(\frac{N}{p_1}\right)^{\frac{1}{2}} \\ (p_1 p_2, Nq) = 1}} \sum_{\substack{p = N - p_1 p_2 p_3, p \equiv l_1 \pmod{q} \\ p_2 < p_3 < \frac{N}{p_1 p_2}, (p_3, Nq) = 1}} 1.$$

Consider the sets

$$\begin{aligned} \mathcal{E} &= \left\{ e \mid e = p_1 p_2, N^{\frac{1}{10.93}} \leq p_1 < N^{\frac{1}{3.3}} \leq p_2 < \left(\frac{N}{p_1}\right)^{\frac{1}{2}}, (p_1 p_2, Nq) = 1 \right\}, \\ \mathcal{L} &= \{ l \mid l = N - ep, e \in \mathcal{E}, ep < N, (p, Nq) = 1, l \equiv l_1 \pmod{q} \}. \end{aligned}$$

We have

$$|\mathcal{E}| \leq \sum_{N^{\frac{1}{10.93}} \leq p_1 < N^{\frac{1}{3.3}}} \left(\frac{N}{p_1} \right)^{\frac{1}{2}} < N^{\frac{43}{66}},$$

$$e \geq N^{\frac{13}{33}}, e \in \mathcal{E}.$$

The number of elements in the set $\mathcal{L}$ which are less than $N^{\frac{13}{33}}$ does not exceed $N^{\frac{43}{66}}$. S_2 does not exceed the number of primes in $\mathcal{L}$. Therefore

$$S_2 \leq S(\mathcal{L}, \mathcal{P}, z) + O(N^{\frac{43}{66}})z \leq N^{\frac{13}{33}}. \quad (3.8)$$

Now we employ Lemma 1 to estimate the upper bound of $S(\mathcal{L}, \mathcal{P}, z)$. For the set $\mathcal{L}$,

$$X = \sum_{e \in \mathcal{E}} \frac{1}{\varphi(q)} \cdot \text{Li}\left(\frac{N}{e}\right),$$

$$\omega(d) = \frac{d}{\varphi(d)}, \quad \mu(d) \neq 0, \quad (d, Nq) = 1,$$

$$D = \frac{N^{\frac{1}{2}}}{\log^B N}, \quad z = \left(\frac{D}{q}\right)^{\frac{1}{2}},$$

where $B = B(5) > 0$ (see Lemma 4). Then by Lemma 1 we get

$$S(\mathcal{L}, \mathcal{P}, z) \leq \frac{8}{1-2\delta}(1+o(1))C(N, q) \frac{X}{\log N} + R_1 + R_2, \quad (3.9)$$

where

$$R_1 = \sum_{d \leq \frac{D}{q}, (d, Nq)=1} \left| \sum_{e \in \mathcal{E}, (e, d)=1} \left(\pi(N; e, dq, l) - \frac{\text{Li}\left(\frac{N}{e}\right)}{\varphi(dq)} \right) \right|,$$

$$R_2 = \sum_{d \leq \frac{D}{q}, (d, Nq)=1} \frac{1}{\varphi(dq)} \sum_{e \in \mathcal{E}, (e, d) > 1} \text{Li}\left(\frac{N}{e}\right).$$

In view of $N^{\frac{13}{33}} \leq e < N^{\frac{2}{3.3}}$ for $e \in \mathcal{E}$, we have

$$R_1 \leq \sum_{d \leq \frac{D}{q}} \max_{y \leq N} \max_{(l, dq)=1} \left| \sum_{N^{\frac{13}{33}} \leq a < N^{\frac{2}{3.3}}, (a, d)=1} g(a) \left(\pi(y; a, dq, l) - \frac{\text{Li}\left(\frac{y}{a}\right)}{\varphi(dq)} \right) \right|,$$

where

$$g(a) = \sum_{a=e, e \in \mathcal{E}} 1 \leq 1.$$

By Lemma 4 we get

$$R_1 \leq \frac{N^{\frac{36}{37}}}{\log^5 N}. \quad (3.10)$$

Now

$$R_2 \leq \frac{N}{\varphi(q) \log N} \sum_{d \leq \frac{D}{q}} \frac{1}{\varphi(d)} \sum_{a < N^{\frac{2}{3.3}}, (a, d) \geq N^{\frac{1}{10.93}}} \frac{1}{a}$$

$$\leq \frac{N}{\varphi(q) \log N} \sum_{d \leq D} \frac{1}{\varphi(d)} \sum_{m|d, m \geq N^{\frac{1}{10.93}}} \sum_{a < N^{\frac{2}{3.3}}, (a, d)=m} \frac{1}{a}$$

$$\begin{aligned}
&\ll \frac{N}{\varphi(q)} \sum_{d \leq D} \frac{1}{\varphi(d)} \sum_{m \mid d, m \geq N^{\frac{1}{10.93}}} \frac{1}{m} \\
&\ll \frac{N}{\varphi(q)} \sum_{N^{\frac{1}{10.93}} \leq m \leq D} \frac{1}{m} \sum_{d \leq D, m \mid d} \frac{1}{\varphi(d)} \ll \frac{N^{\frac{21}{22}}}{\varphi(q)}.
\end{aligned} \quad (3.11)$$

By the prime number theorem and integration by parts we have

$$\begin{aligned}
X &= (1 + o(1)) \frac{1}{\varphi(q)} \sum_{e \in \mathcal{E}} \frac{N}{e \log \frac{N}{e}} \\
&= (1 + o(1)) \frac{N}{\varphi(q)} \int_{N^{\frac{1}{10.93}}}^{N^{\frac{1}{3.3}}} \frac{dt}{t \log t} \int_{N^{\frac{1}{3.3}}} \left(\frac{N}{t}\right)^{\frac{1}{2}} \frac{du}{u \log u \log \frac{N}{ut}} \\
&= (1 + o(1)) \frac{N}{\varphi(q) \log N} \int_{2.3}^{9.93} \frac{\log \left(2.3 - \frac{3.3}{s+1}\right)}{s} ds.
\end{aligned} \quad (3.12)$$

By (3.8)—(3.12) we get

$$S_2 \leq 6.48743 C(N, q) \frac{N}{\varphi(q) \log^2 N}. \quad (3.13)$$

Similarly, we have

$$\begin{aligned}
S_3 &\leq (1 + o(1)) \frac{8 C(N, q) N}{(1 - 2\delta) \varphi(q) \log^2 N} \int_2^{2.3} \frac{\log(s-1)}{s} ds \\
&\leq 0.15823 C(N, q) \frac{N}{\varphi(q) \log^2 N}.
\end{aligned} \quad (3.14)$$

By (3.4), (3.7), (3.13), (3.14) and (3.1), we have

$$\begin{aligned}
S(N, q) &\geq \left(12.2598 - \frac{17.70495}{2} - \frac{6.48743}{2} - 0.15823\right) C(N, q) \frac{N}{\varphi(q) \log^2 N} \\
&\geq 0.001 \frac{C(N, q) N}{\varphi(q) \log^2 N}.
\end{aligned}$$

The proof of Theorem 2 is completed.

References

- 1 Vinogradov, I. M., Representation of odd numbers as the sum of three primes, *Dokl. SSSR*, 1937, 15: 291.
- 2 Tames, R. D., Weyl, H., Elementary note on prime-number problems of Vinogradov's type, *Amer. J. Math.*, 1942, 64: 539.
- 3 Richert, H. E., Aus der additiven Primzahltheorie, *J. für Math.*, 1953, 191: 179.
- 4 Van der Corput, J. G., Propriétés additives, *Acta Arith.*, 1939, 33: 181.
- 5 Zulauf, A., Beweis einer Erweiterung des Satzes von Goldbach-Vinogradov, *J. Reine Angew. Math.*, 1952, 190: 169.
- 6 Liu Ming-chit, Zhan Tao, The Goldbach problem with primes in arithmetical progressions, in *Lecture Notes Math.* 247, Cambridge: Cambridge University Press, 227—252.
- 7 Liu Jianya, Zhan Tao, The ternary Goldbach problem in arithmetical progressions, *Acta Arith.*, 1997, 82: 197.
- 8 Chen Jingrun, On the representation of a large even integer as the sum of a prime and the product of at most two primes, *Kexue Tongbao*, 1966, 17: 385.
- 9 Chen Jingrun, On the representation of a large even integer as the sum of a prime and the product of at most two primes, *Sci. Sin.*, 1973, 16: 157.
- 10 Chen Jingrun, On the representation of a large even integer as the sum of a prime and the product of at most two primes, *Scin. Sin.*, 1978, 21: 421.
- 11 Iwaniec, H., *Rosser's Sieve*, *Recent Progress in Analytic Number Theory II*, New York: Academic Press, 1981, 203—230.
- 12 Pan Chengdong, Pan Chengbiao, *Goldbach Conjecture* (in Chinese), Beijing: Science Press, 1981.